

# CANDIDATE'S REPORT

(to be filed by a candidate or his principal campaign committee)

1. Qualifying Name and Address of Candidate

Sidney H. Cates IV Campaign Fund  
7311 Malvern Drive  
New Orleans, LA 70126

2. Office Sought (Include title of office as well as parish, city, town and/or election district.)

Judge, 4<sup>th</sup> Circuit Court of Appeals

OFFICE USE ONLY

10/02

*Supp*  
*2/13*

0701357

3. Date of Primary October 5, 2002

This report covers from 1/1/2005 through 2/9/2007

4. Type of Report:

- 180th day prior to primary       40th day after general  
 90th day prior to primary       Annual (future election)  
 30th day prior to primary       Supplemental (past election)  
 10th day prior to primary  
 10th day prior to general       Amendment to prior report

5. FINAL REPORT IF:

- Withdrawn       Filed after the election AND all loans and debts paid  
 Unopposed

6. Name and Address of Financial Institution  
(You are required by law to use one or more banks, savings and loan associations, or money market mutual fund as the depository of all campaign funds.)

Liberty Bank and Trust  
P. O. Box 60131  
New Orleans, LA 70160-0131

7. Full Name and Address of Treasurer

J. H. Banks  
1746 Jackson Avenue  
New Orleans, LA 70113

8. Name of Person Preparing Report

Daytime Telephone (504) 284-8733

*MICHAEL BRUNO*

10. WE HEREBY CERTIFY that the information contained in this report and the attached schedules is true and correct to the best of our knowledge, information and belief, and that no expenditures have been made nor contributions received that have not been reported herein, and that no information required to be reported by the Louisiana Campaign Finance Disclosure Act has been deliberately omitted.

This 15 day of February, 2007

Signature of Candidate/Chairperson  
(To be signed by Chairperson only if report by principal campaign committee)

*504-299-4310*  
Daytime Telephone

Signature of Treasurer

*(504) 522-2811*  
Daytime Telephone

Re-SCANNED

MAY 29 2007

By: *(Signature)*

Missing numbered pages were blank and had no information on them.

SCANNED

FEB 27 2007

By: *(Signature)*

2007 FEB 14 PM 2:30

LOUISIANA  
ETHICS ADMINISTRATION  
CAMPAIGN FINANCE  
RECEIVED

6. FOR PRINCIPAL CAMPAIGN COMMITTEES ONLY  
a. Name and address of principal campaign committee, committee's chairperson, and subsidiary committees, if any (use additional sheets if necessary).

AMENDMENT ATTACHED

**SUMMARY PAGE**

RECEIPTS		This Period
1. Contributions (Schedule A-1) Page ____ of ____		\$ 0
2. In-kind Contributions (Schedule A-2)		\$ 0
3. Campaign paraphernalia sales of \$25 or less		
4. <b>TOTAL CONTRIBUTIONS</b> (Lines 1 + 2 + 3)		\$ 0
5. Other Receipts (Schedule A-3)		
6. Loans Received (Schedule B) Page ____ of ____		\$ 0
7. Loan Repayments Received (Schedule D)		
8. <b>TOTAL RECEIPTS</b> (Lines 4 + 5 + 6 + 7)		\$ 0

DISBURSEMENTS		This Period
9. Expenditures (Schedule E-1) Page ____ of ____		\$ 1,758
10. Other Disbursements (Schedule E-2)		
11. Loan Repayments Made (Schedule B)		\$ 10,000
12. Funds Loaned (Schedule D)		
13. <b>TOTAL DISBURSEMENTS</b> (Lines 9 + 10 + 11 + 12)		\$ 11,758

FINANCIAL SUMMARY		Amount
14. Funds on hand at beginning of reporting period (Must equal funds on hand at close from last report or 0- if first report for this election)		\$ 16,403
15. Plus total receipts this period (Line 8 above)		\$ 0
16. Less total disbursements this period (Line 13 above)		\$ 11,758
17. Less in-kind contributions (Line 2 above)		\$ 0
18. Funds on hand at close of reporting period		\$ 4,645

Form 102, Rev. 3/98, Page Rev. 3/98.

Page 2 of 15 pages.

*Amendment - 5-21-07*  
*Ludwig H. Cates III Campaign Fund - Judge 4th Circuit Court of Appeals*

**AMENDMENT**

## SUMMARY PAGE

RECEIPTS	This Period
1. Contributions (Schedule A-1)      Page ____ of ____	\$            0
2. In-kind Contributions (Schedule A-2)	\$            0
3. Campaign paraphernalia sales of \$25 or less	
4. <b>TOTAL CONTRIBUTIONS</b> (Lines 1 + 2 + 3)	<b>\$            0</b>
5. Other Receipts (Schedule A-3)	
6. Loans Received (Schedule B)      Page ____ of ____	\$            0
7. Loan Repayments Received (Schedule D)	
8. <b>TOTAL RECEIPTS</b> (Lines 4 + 5 + 6 + 7)	<b>\$            0</b>

DISBURSEMENTS	This Period
9. Expenditures (Schedule E-1)      Page ____ of ____	\$            1,758
10. Other Disbursements (Schedule E-2)	
11. Loan Repayments Made (Schedule B)	\$            10,000
12. Funds Loaned (Schedule D)	
13. <b>TOTAL DISBURSEMENTS</b> (Lines 9 + 10 + 11 + 12)	<b>\$            11,758</b>

FINANCIAL SUMMARY	Amount
14. Funds on hand at beginning of reporting period <small>(Must equal funds on hand at close from last report or -0- if first report for this election)</small>	\$            16,961
15. <i>Plus</i> total receipts this period <small>(Line 8 above)</small>	\$            0
16. <i>Less</i> total disbursements this period <small>(Line 13 above)</small>	\$            11,758
17. <i>Less</i> in-kind contributions <small>(Line 2 above)</small>	\$            0
18. Funds on hand at close of reporting period	\$            5,203

## SUMMARY PAGE (continued)

INVESTMENTS	Amount
19. Of funds on hand at beginning of reporting period (Line 14, above), amount held in investments (i.e., savings accounts, CD's, money market funds, etc.)	
20. Of funds on hand at close of reporting period (Line 18, above), amount held in investments	

SPECIAL TRANSACTIONS	This Period
21. Candidate's personal funds (Use of personal funds as either a contribution or loan to the campaign should be reported on Schedules A-1 or B. Personal funds reported as contributions may not later be repaid.)	
22. Contributions received from political committees (From Schedules A-1 and A-2)	
23. All proceeds from the sale of tickets to fundraising events (Receipts from the sale of tickets are contributions and must also be reported on Schedule A-1.)	
24. Proceeds from the sale of campaign paraphernalia (Receipts from the sale of campaign paraphernalia are contributions and must also be reported on Schedule A-1 or Line 3, above.)	
25. Expenditures from petty cash fund (Must also be reported on Schedule E-1.)	

### NOTICE

The personal use of campaign funds is prohibited.\* The use of campaign funds must be related to a political campaign or the holding of a public office or party position. A candidate or a candidate's committee which owes outstanding fines or late fees may not expend campaign contributions until all such fines are paid. The Supervisory Committee may prohibit the use of campaign funds to pay fines or penalties for intentional or egregious violations of the Campaign Finance Disclosure Act. Campaign funds may be used to reimburse a candidate for expenses related to his campaign or office, to pay taxes on the interest earned on campaign funds or to replace articles lost, stolen, or damaged in connection with a campaign.

Excess campaign funds may be returned to contributors on a pro rata basis, given as a charitable contribution as provided in 26 USC 170(c), given to a charitable organization as defined in 26 USC 501(c)(3), expended in support of or opposition to a proposition, political party, or candidacy of any person, or maintained in a segregated fund for use in future political campaigns or activity related to preparing for future candidacy to elective office.

\*The prohibition on the personal use of campaign funds does not apply to campaign funds received prior to July 15, 1988.

## SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

1. Name and address of lender	2. a. Date* _____ b. Interest rate _____ %(a.p.r.) c. Amount borrowed* ..... \$ _____ d. Balance due ..... \$ _____ *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____						
3. Endorsers/Guarantors	4. Repayments this period <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%; text-align: center;">Date</th> <th style="width: 35%; text-align: center;">Principal</th> <th style="width: 35%; text-align: center;">Interest</th> </tr> </thead> <tbody> <tr> <td style="height: 150px;"> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Date	Principal	Interest			
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1. Name and address of lender <b>Personal Loan</b> <b>Sidney H. Gates, IV</b> <b>1100 Poydras Street - 1230 Energy Centre</b> <b>New Orleans, LA 70112</b>	2. a. Date* <u>11/13/02</u> b. Interest rate <u>DEMAND</u> %(a.p.r.) c. Amount borrowed* ..... \$ <u>4,858.14</u> d. Balance due ..... \$ <u>1,698.14</u> *For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____						
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<p>1. Name and address of lender  <b>Personal Loan</b>  <b>Sidney H. Cates, IV</b>  <b>1100 Poydras Street - 1230 Energy Centre</b>  <b>New Orleans, LA 70112</b></p>	<p>2. a. Date* <u>12/26/02</u>      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>36,710.01</u></p> <p>d. Balance due ..... \$ <u>36,710.01</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c.          OPTIONAL: Total amount of credit available \$ _____</p>						
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<p>1. Name and address of lender  <b>Sidney H. Cates, IV</b>  <b>1100 Poydras Street - 1230 Energy Centre</b>  <b>New Orleans, LA 70112</b></p>	<p>2. a. Date* <u>10/16/02</u>      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>75,000</u></p> <p>d. Balance due ..... \$ <u>75,000</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c.          OPTIONAL: Total amount of credit available \$ _____</p>						
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<p>1. Name and address of lender</p> <p>Crescent Bank &amp; Trust P O Box 61813 New Orleans, LA 70161-1813</p>	<p>2. a. Date* <u>10/24/02</u> b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>25,000.00</u></p> <p>d. Balance due ..... \$ <u>0</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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<p>3. Endorsers/Guarantors</p> <p>Loan Payment</p>	<p>4. Repayments this period</p> <table border="1"> <thead> <tr> <th>Date</th> <th>Principal</th> <th>Interest</th> </tr> </thead> <tbody> <tr> <td>08/23/05</td> <td>15,000.00</td> <td>2,325.00</td> </tr> <tr> <td>02/09/07</td> <td>10,000.00</td> <td>1,658.39</td> </tr> </tbody> </table>			Date	Principal	Interest	08/23/05	15,000.00	2,325.00	02/09/07	10,000.00	1,658.39
	Date	Principal	Interest									
08/23/05	15,000.00	2,325.00										
02/09/07	10,000.00	1,658.39										
<p>(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)</p>												

<p>1. Name and address of lender</p> <p>Joseph M. Bruno 825 Baronne Street New Orleans, LA 70113</p>	<p>2. a. Date* <u>10/30/02</u> b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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<p>1. Name and address of lender</p> <p><b>Mr. &amp; Mrs. Kenneth Carter</b>                  4 Bonita Bay Court                  New Orleans, LA 70131</p>	<p>2. a. Date* <u>11/1/02</u>      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c.                  OPTIONAL: Total amount of credit available \$ _____</p>
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<p>1. Name and address of lender</p> <p><b>Frank D'Amico, Jr. APLC</b>                  622 Baronne Street                  New Orleans, LA 70113</p>	<p>2. a. Date* <u>11/1/02</u>      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>2,500.00</u></p> <p>d. Balance due ..... \$ <u>2,500.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at item 2a and list only the amount actually drawn at item 2c.                  OPTIONAL: Total amount of credit available \$ _____</p>
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<p>1. Name and address of lender</p> <p>Sidney H. Cates IV 1100 Poydras Street - Suite 1230 New Orleans, LA 70163</p>	<p>2. a. Date* <u>5/31/02</u>      b. Interest rate <u>DEMAND</u> % (a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>100,000</u></p> <p>d. Balance due ..... \$ <u>100,000</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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<p>1. Name and address of lender</p> <p>Willie Singleton 4060 Linwood Avenue Shreveport, LA 71108</p>	<p>2. a. Date* <u>8/25/02</u>      b. Interest rate <u>DEMAND</u> % (a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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1. Name and address of lender

Dr. Angela Crisp Cates  
7311 Malvern Drive  
New Orleans, LA 70126

2. a. Date\* 8/23/02 b. Interest rate DEMAND % (a.p.r.)

c. Amount borrowed\* ..... \$ 5,000.00

d. Balance due ..... \$ 5,000.00

\*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c.  
OPTIONAL: Total amount of credit available \$ \_\_\_\_\_

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Date	Principal	Interest

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1. Name and address of lender

Francis V. Gourrier, Sr.  
7110 Lake Barrington Drive  
New Orleans, LA 70128

2. a. Date\* 8/26/02 b. Interest rate DEMAND % (a.p.r.)

c. Amount borrowed\* ..... \$ 5,000.00

d. Balance due ..... \$ 5,000.00

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<p>1. Name and address of lender</p> <p><b>Kenneth M. Carter</b>                  1100 Poydras Street - Suite 1230                  New Orleans, LA 70112</p>	<p>2. a. Date* <u>8/26/02</u>      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c.                  OPTIONAL: Total amount of credit available \$ _____</p>
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<p>1. Name and address of lender</p>	<p>2. a. Date* _____      b. Interest rate <u>DEMAND</u> %(a.p.r.)</p> <p>c. Amount borrowed* ..... \$ _____</p> <p>d. Balance due ..... \$ _____</p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c.                  OPTIONAL: Total amount of credit available \$ _____</p>
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<p>3. Endorsers/Guarantors</p>	<p>4. Repayments this period</p> <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%; text-align: center;">Date</th> <th style="width: 35%; text-align: center;">Principal</th> <th style="width: 35%; text-align: center;">Interest</th> </tr> </thead> <tbody> <tr> <td style="height: 100px;"> </td> <td> </td> <td> </td> </tr> </tbody> </table>	Date	Principal	Interest			
Date	Principal	Interest					

(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

## SCHEDULE B: LOANS RECEIVED

The following information must be provided for each loan or line of credit received this reporting period, even if it has been repaid. Also, complete this schedule for loans received in prior periods that are still outstanding. Separate loans must be reported separately, even if from the same source. Any personal funds a candidate loans to his campaign must be reported on this schedule.

<p>1. Name and address of lender</p> <p>USA Ventures, Inc. #4 Bonita Bay Court New Orleans, LA 70131</p>	<p>2. a. Date* <u>8/26/02</u>      b. Interest rate <u>DEMAND</u> % (a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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Date	Principal	Interest					

(Enter the full name and address of each person or entity that has endorsed, guaranteed or otherwise secured the loan or line of credit. Also, state the amount of liability for each endorser or guarantor.)

(List payments of principal and interest separately. If separate amounts are not known, list all payments under principal.)

<p>1. Name and address of lender</p> <p>Cedar Bayou LLC 1100 Poydras Street - Suite 1230 New Orleans, LA 70112</p>	<p>2. a. Date* <u>8/26/02</u>      b. Interest rate <u>DEMAND</u> % (a.p.r.)</p> <p>c. Amount borrowed* ..... \$ <u>5,000.00</u></p> <p>d. Balance due ..... \$ <u>5,000.00</u></p> <p>*For lines of credit, give the date the line of credit was first committed at Item 2a and list only the amount actually drawn at Item 2c. OPTIONAL: Total amount of credit available \$ _____</p>
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