

**LOUISIANA BOARD OF ETHICS**  
 Post Office Box 4368  
 Baton Rouge, Louisiana 70821

## TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)

ORIGINAL REPORT

This Report Covers Calendar Year: 2010

AMENDED REPORT

I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE L.

**Office/Position Held:** Senator

**Name of Filer** (print full name) Conrad Henry Appel III

Mailing Address 3832 Edenborn Ave

City, State, Zip Metalrie, LA, 70002

**Name of Spouse** (print full name) Carol Ann Appel

Spouse's Occupation sales

Spouse's Principal Business Address 3832 Edenborn Ave

City, State, Zip Metalrie, LA, 70002

**Check all that apply:**

- I have filed my state income tax return for the previous year.
- I have filed for an extension of my state income tax return for the previous year.
- I have filed my federal income tax return for the previous year.
- I have filed for an extension of my federal income tax return for the previous year.
- I have filed for an extension of my federal income tax return for the previous year AND I am requesting an extension in filing my Tier 2 Personal Financial Disclosure.

**Certification of Accuracy**

I do hereby certify, after having been duly sworn, that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Sworn to and subscribed before me this 13<sup>th</sup> day of September 2011.

William W. Hall

Notary Public (print name)  
 William W. Hall  
 LA Bar Number: 6445  
 Notary Number: 06690 Public (signature)

ID# 36801

Date Commission Expires upon death

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### Schedule A: Employment Information

Check if not applicable

Filer    Spouse                       Full-Time    Part-Time

Job Title: President

Name of Employer: ConstructionSouth, Inc

Address: 721 Papworth Ave

City, State, Zip: Metairie, LA, 70005

Job Description: President

Filer    Spouse                       Full-Time    Part-Time

Job Title: Sales

Name of Employer: Abbott Labs

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, LA, 70002

Job Description: \_\_\_\_\_

Filer    Spouse                       Full-Time    Part-Time

Job Title: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Job Description: \_\_\_\_\_

Filer    Spouse                       Full-Time    Part-Time

Job Title: \_\_\_\_\_

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Job Description: \_\_\_\_\_

- You are required to disclose employment information related to both you and your spouse.
- List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.

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**Schedule B: Positions - Business**

Check if not applicable

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Both
Amount of Interest (amount exceeds 10%): <u>33.33</u> %		
Name of Business: <u>Estate of Joyce Appel</u>		
Address: <u>3832 Edenborn Ave</u>		
City, State, Zip: <u>Metairie, LA, 70002</u>		
Business Description: <u>investments</u>		
Nature of Association: <u>executor</u>		
<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Both
Amount of Interest (amount exceeds 10%): <u>100</u> %		
Name of Business: <u>ConstructionSouth, Inc</u>		
Address: <u>721 Papworth Ave</u>		
City, State, Zip: <u>Metairie, La 70005</u>		
Business Description: <u>construction</u>		
Nature of Association: <u>President</u>		
<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input checked="" type="checkbox"/> Both
Amount of Interest (amount exceeds 10%): <u>100</u> %		
Name of Business: <u>Construction Management Services, Inc</u>		
Address: <u>721 Papworth Ave</u>		
City, State, Zip: <u>Metairie, La 70005</u>		
Business Description: <u>construction Management</u>		
Nature of Association: <u>President</u>		

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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### Schedule B: Positions - Business

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Amount of Interest (amount exceeds 10%): <u>100</u> % Name of Business: <u>CarCon LLC</u> Address: <u>3832 Edenborn Ave</u> City, State, Zip: <u>Metairie, La 70002</u> Business Description: <u>investment</u> Nature of Association: <u>manager</u>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Amount of Interest (amount exceeds 10%): <u>100</u> % Name of Business: <u>Trinity Company Real Estate</u> Address: <u>3832 Edenborn Ave</u> City, State, Zip: <u>Metairie, La 70002</u> Business Description: <u>real estate brokerage</u> Nature of Association: <u>manager</u>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Amount of Interest (amount exceeds 10%): <u>100</u> % Name of Business: <u>Lakefront Development LLC</u> Address: <u>3832 Edenborn Ave</u> City, State, Zip: <u>Metairie, La 70002</u> Business Description: <u>investment</u> Nature of Association: <u>manager</u>

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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**Schedule B: Positions - Business**

Check if not applicable

Filer     Spouse     Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: CarCon Equipment LLC

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, La 70002

Business Description: equipment rental

Nature of Association: manager

Filer     Spouse     Both

Amount of Interest (amount exceeds 10%): \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

Filer     Spouse     Both

Amount of Interest (amount exceeds 10%): \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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## Schedule C: Positions - Nonprofit

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____

\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit agency.

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**Schedule D: Income from the State, Political  
 Subdivisions, and/or Gaming Interests**

Check if not applicable

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Business (where amount of interest exceeds 10%)
Type of Income: <input checked="" type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest		
Name of Business (if applicable): <u>Senator</u>		
Name of Income Source: <u>Senate salary and per diem</u>		
Address: _____		
City, State, Zip: <u>Baton Rouge, LA</u>		
Amount of Income (exact dollar amount): <u>\$ 37,407.00</u>		
<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Business (where amount of interest exceeds 10%)
Type of Income: <input type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest		
Name of Business (if applicable): _____		
Name of Income Source: _____		
Address: _____		
City, State, Zip: _____		
Amount of Income (exact dollar amount): \$ _____		
<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Business (where amount of interest exceeds 10%)
Type of Income: <input type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest		
Name of Business (if applicable): _____		
Name of Income Source: _____		
Address: _____		
City, State, Zip: _____		
Amount of Income (exact dollar amount): \$ _____		

\* You are required to complete SCHEDULE D if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* The definitions for (and examples of) political subdivision, gaming interest, and business are found in the Instructions Section of this form.

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**Schedule E: Income Received from Employment**

Check if not applicable

<input checked="" type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input checked="" type="checkbox"/> Full-Time	<input type="checkbox"/> Part-Time
Name of Source of Income: <u>ConstructionSouth, Inc</u>			
Address: <u>721 Papworth Ave</u>			
City, State, Zip: <u>Metairie, LA 70005</u>			
Nature of Services Rendered (pursuant to such employment): <u>President</u>			
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999)			
<input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)			
<input type="checkbox"/> Filer	<input checked="" type="checkbox"/> Spouse	<input checked="" type="checkbox"/> Full-Time	<input type="checkbox"/> Part-Time
Name of Source of Income: <u>Abbott Labs</u>			
Address: <u>3832 Edenborn Ave</u>			
City, State, Zip: <u>Metairie, LA 70002</u>			
Nature of Services Rendered (pursuant to such employment): <u>sales</u>			
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999)			
<input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)			
<input type="checkbox"/> Filer	<input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time	<input type="checkbox"/> Part-Time
Name of Source of Income: _____			
Address: _____			
City, State, Zip: _____			
Nature of Services Rendered (pursuant to such employment): _____			
Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999)			
<input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)			

\* You are required to complete SCHEDULE E to disclose the income received by you or your spouse for each full-time or part-time employment position held.

\* Income that is reported on SCHEDULE D does not have to be restated on SCHEDULE E.

\* Income received through self-employment is reported on SCHEDULE F.

\* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

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## Schedule F: Income Received from Business Interests

Check if not applicable

### AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS INTERESTS:

- Category I (less than \$5,000)     
  Category II (\$5,000-\$24,999)  
 Category III (\$25,000-\$100,000)     
  Category IV (more than \$100,000)

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: <u>Estate of Joyce Appel</u> Address: <u>3832 Edenborn Ave</u> City, State, Zip: <u>Metairie, LA 70002</u> Nature of services rendered OR reason income was received: <u>estate income</u>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: _____ Address: _____ City, State, Zip: _____ Nature of services rendered OR reason income was received: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: _____ Address: _____ City, State, Zip: _____ Nature of services rendered OR reason income was received: _____

\*You are required to complete SCHEDULE F if you or your spouse received income from a business interest.  
 \* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.  
 \* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.  
 \*Income reported on SCHEDULE D or E does not have to be restated on SCHEDULE F.

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### Schedule G: Other Income

Check if not applicable (any other income that exceeds \$1,000 from each source)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse  Description of Income: _____  Nature of services rendered or reason income was received: _____  Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse  Description of Income: _____  Nature of services rendered or reason income was received: _____  Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse  Description of Income: _____  Nature of services rendered or reason income was received: _____  Amount of Income: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

\*You are required to complete SCHEDULE G if you or your spouse received any other type of income that exceeded \$1,000 from any one source.  
 \* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.  
 \* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.  
 \*You are not required to report income that is derived from child support and alimony payments contained in a court order, or from disability payments from any source.  
 \*Income that is reported on SCHEDULE D, E, or F does not have to be restated on SCHEDULE G.

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### Schedule H: Immovable Property

(a property that exceeds \$2,000 in value)

Check if not applicable

Filer  Spouse  Both

**Location of Property**

Country: USA State: LA Parish/County: Jefferson

**Description of Property:**

home

Fair Market or Use Value:  Category I (less than \$5,000)  Category II (\$5,000-\$24,999)  
 Category III (\$25,000-\$100,000)  Category IV (more than \$100,000)

Filer  Spouse  Both

**Location of Property**

Country: \_\_\_\_\_ State: \_\_\_\_\_ Parish/County: \_\_\_\_\_

**Description of Property:**

Fair Market or Use Value:  Category I (less than \$5,000)  Category II (\$5,000-\$24,999)  
 Category III (\$25,000-\$100,000)  Category IV (more than \$100,000)

Filer  Spouse  Both

**Location of Property**

Country: \_\_\_\_\_ State: \_\_\_\_\_ Parish/County: \_\_\_\_\_

**Description of Property:**

Fair Market or Use Value:  Category I (less than \$5,000)  Category II (\$5,000-\$24,999)  
 Category III (\$25,000-\$100,000)  Category IV (more than \$100,000)

\* You are required to disclose the location by country, state, and parish/county.

\* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

<p align="center"><b>LOUISIANA BOARD OF ETHICS</b>          Post Office Box 4368          Baton Rouge, Louisiana 70821</p>
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### Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: General Growth Properties
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Activision
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Baxter
Description of Security: stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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## Schedule I: Investment Holdings

(an investment holding that exceeds \$5,000)

Check if not applicable

Filer  Spouse  Both

Name of Security:

Berkshire Hathaway

Description of Security:

stock

Filer  Spouse  Both

Name of Security:

China Yuchai

Description of Security:

stock

Filer  Spouse  Both

Name of Security:

CitiGroup

Description of Security:

stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

Revised June 2011

Form 416A

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## Schedule I: Investment Holdings

(an investment holding that exceeds \$5,000)

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Corning
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Howard Hughes
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: McMoran
Description of Security: stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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## Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Nustar Energy</b>
Description of Security: <b>partnership</b>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>American Tower</b>
Description of Security: <b>stock</b>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>BHP Billiton ADR</b>
Description of Security: <b>stock</b>

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.  
 \* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.  
 \* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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## Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Boeing</b>
Description of Security: <b>stock</b>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Borg Warner</b>
Description of Security: <b>stock</b>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Cummins</b>
Description of Security: <b>stock</b>

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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### Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Deere and Co <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Discovery Comm <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: EBay <hr/> Description of Security: stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.  
 \* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.  
 \* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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### Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Ecolab <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Enterprise PRD Partners <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Goldman Sachs <hr/> Description of Security: stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: IBM <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: J B Hunt <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Lubrizol <hr/> Description of Security: stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.  
 \* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.  
 \* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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**Schedule I: Investment Holdings**  
 (an investment holding that exceeds \$5,000)

Check if not applicable

Filer  Spouse  Both

Name of Security:

Monsanto

Description of Security:

stock

Filer  Spouse  Both

Name of Security:

Nextera Energy

Description of Security:

stock

Filer  Spouse  Both

Name of Security:

Norfolk Southern

Description of Security:

stock

\* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

**LOUISIANA BOARD OF ETHICS**

Post Office Box 4368  
Baton Rouge, Louisiana 70821

**Schedule I: Investment Holdings**

(an investment holding that exceeds \$5,000)

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Occidenatl Petroleum <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Pall Corp <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Praxair <hr/> Description of Security: stock

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## Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Procter &amp; Gamble</b>
Description of Security: <b>stock</b>
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Rovi Corp</b>
Description of Security: 
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: <b>Schlumberger</b>
Description of Security: <b>stock</b>

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<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Suncor Energy
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Teekay Corp
Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: UPS
Description of Security: stock

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<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Verisk Analytical <hr/> Description of Security: stock
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Name of Security: <hr/> Description of Security:
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Name of Security: <hr/> Description of Security:

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## Schedule I: Investment Holdings

Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Atlas Pipeline
Description of Security: partnership
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Plains All American Pipeline
Description of Security: partnership
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Enterprise Products
Description of Security: partnership

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Check if not applicable (an investment holding that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Corn and More
Description of Security: partnership
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Eating Establishment Manhattan
Description of Security: partnership
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Name of Security: Eating Establishment Wahoo
Description of Security: partnership

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 Baton Rouge, Louisiana 70821

### Schedule J: Transactions

(a transaction that exceeds \$5,000)

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>May 7, 2010</u> Description of Transaction: buy General Growth Properties <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input checked="" type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>May 7, 2010</u> Description of Transaction: buy CitiGroup <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>May 3, 2010</u> Description of Transaction: sell Transocean <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

\* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).  
 \* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

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### Schedule J: Transactions

Check if not applicable (a transaction that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>Jan 19, 2010</u> Description of Transaction: buy General Growth Properties <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input checked="" type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>Jan 19, 2010</u> Description of Transaction: sell Enbridge <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both Transaction Date: <u>Jan 26, 2010</u> Description of Transaction: sell Microsoft <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

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