LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT
(ANNUAL)

☒ORIGINAL REPORT
☐AMENDED REPORT

This Report Covers Calendar Year: 2010

☐ I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE L.

Office/Position Held: Senator

Name of Filer (print full name) Conrad Henry Appel III

Mailing Address 3832 Edenborn Ave

City, State, Zip Metairie, LA, 70002

Name of Spouse (print full name) Carol Ann Appel

Spouse's Occupation sales

Spouse's Principal Business Address 3832 Edenborn Ave

City, State, Zip Metairie, LA, 70002

Check all that apply:

☒I have filed my state income tax return for the previous year.

☐I have filed for an extension of my state income tax return for the previous year.

☒I have filed my federal income tax return for the previous year.

☐I have filed for an extension of my federal income tax return for the previous year.

☐I have filed for an extension of my federal income tax return for the previous year **AND** I am requesting an extension in filing my Tier 2 Personal Financial Disclosure.

Certification of Accuracy

I do hereby certify, after having been duly sworn, that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Signature of Filer

LOUISIANA ETHICS ADMINISTRATION CAMPAIGN FINANCE RECEIVED
2011 SEP 14 PM 4:05

Sworn to and subscribed before me this 13th day of September 2011.

William W Hall

Notary Public (print name)
William W. Hall
LA Bar Number: 6449
Notary Number 36801
Notary Public (signature)

ID# 36801

Date Commission Expires upon death

2011 SEP 14 PM 4:05
LOUISIANA ETHICS ADMINISTRATION CAMPAIGN FINANCE RECEIVED

LOUISIANA BOARD OF ETHICS
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Schedule A: Employment Information

☐ Check if not applicable

☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-Time
Job Title: President
Name of Employer: ConstructionSouth, Inc
Address: 721 Papworth Ave
City, State, Zip: Metairie, LA, 70005
Job Description: President

☐ Filer ☒ Spouse ☒ Full-Time ☐ Part-Time
Job Title: Sales
Name of Employer: Abbott Labs
Address: 3832 Edenborn Ave
City, State, Zip: Metairie, LA, 70002
Job Description:

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time
Job Title:
Name of Employer:
Address:
City, State, Zip:
Job Description:

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time
Job Title:
Name of Employer:
Address:
City, State, Zip:
Job Description:

- **You are required to disclose employment information related to both you and your spouse.**
- **List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.**

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Schedule B: Positions - Business

☐ Check if not applicable

☒ Filer ☐ Spouse ☐ Both

Amount of Interest (amount exceeds 10%): 33.33 %

Name of Business: Estate of Joyce Appel

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, LA, 70002

Business Description: investments

Nature of Association: executor

☒ Filer ☐ Spouse ☐ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: ConstructionSouth, Inc

Address: 721 Papworth Ave

City, State, Zip: Metairie, La 70005

Business Description: construction

Nature of Association: President

☐ Filer ☐ Spouse ☒ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: Construction Management Services, Inc

Address: 721 Papworth Ave

City, State, Zip: Metairie, La 70005

Business Description: construction Management

Nature of Association: President

* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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Schedule B: Positions - Business

☐ Check if not applicable

☐ Filer ☐ Spouse ☒ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: CarCon LLC

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, La 70002

Business Description: investment

Nature of Association: manager

☐ Filer ☐ Spouse ☒ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: Trinity Company Real Estate

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, La 70002

Business Description: real estate brokerage

Nature of Association: manager

☐ Filer ☐ Spouse ☒ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: Lakefront Development LLC

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, La 70002

Business Description: investment

Nature of Association: manager

* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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Schedule B: Positions - Business

☐ Check if not applicable

☐ Filer ☐ Spouse ☒ Both

Amount of Interest (amount exceeds 10%): 100 %

Name of Business: CarCon Equipment LLC

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, La 70002

Business Description: equipment rental

Nature of Association: manager

☐ Filer ☐ Spouse ☐ Both

Amount of Interest (amount exceeds 10%): %

Name of Business:

Address:

City, State, Zip:

Business Description:

Nature of Association:

☐ Filer ☐ Spouse ☐ Both

Amount of Interest (amount exceeds 10%): %

Name of Business:

Address:

City, State, Zip:

Business Description:

Nature of Association:

* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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Schedule C: Positions - Nonprofit

☒ Check if not applicable

☐ Filer ☐ Spouse
Name of Organization: ____________________
Address: ____________________
City, State, Zip: ____________________
Nature of Association: ____________________
Description of Organization: ____________________

☐ Filer ☐ Spouse
Name of Organization: ____________________
Address: ____________________
City, State, Zip: ____________________
Nature of Association: ____________________
Description of Organization: ____________________

☐ Filer ☐ Spouse
Name of Organization: ____________________
Address: ____________________
City, State, Zip: ____________________
Nature of Association: ____________________
Description of Organization: ____________________

***You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit agency.**

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Schedule D: Income from the State, Political Subdivisions,and/or Gaming Interests

☐ Check if not applicable

☒ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)

Type of Income: ☒ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable): Senator

Name of Income Source: Senate salary and per diem

Address:

City, State, Zip: Baton Rouge, LA

Amount of Income (exact dollar amount): $ 37,407.00

☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable):

Name of Income Source:

Address:

City, State, Zip:

Amount of Income (exact dollar amount): $

☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)

Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest

Name of Business (if applicable):

Name of Income Source:

Address:

City, State, Zip:

Amount of Income (exact dollar amount): $

* You are required to complete SCHEDULE D if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
* The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

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Schedule E: Income Received from Employment

☐ Check if not applicable

☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-Time

Name of Source of Income: ConstructionSouth, Inc

Address: 721 Papworth Ave

City, State, Zip: Metairie, LA 70005

Nature of Services Rendered (pursuant to such employment): President

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☒ Category IV (more than $100,000)

☐ Filer ☒ Spouse ☒ Full-Time ☐ Part-Time

Name of Source of Income: Abbott Labs

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, LA 70002

Nature of Services Rendered (pursuant to such employment): sales

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☒ Category IV (more than $100,000)

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Name of Source of Income:

Address:

City, State, Zip:

Nature of Services Rendered (pursuant to such employment):

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

* You are required to complete SCHEDULE E to disclose the income received by you or your spouse for each full-time or part-time employment position held.
*Income that is reported on SCHEDULE D does not have to be restated on SCHEDULE E.
*Income received through *self-employment* is reported on SCHEDULE F.
* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

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Schedule F: Income Received from Business Interests

☐ Check if not applicable

AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS INTERESTS:

☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)

☒ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☒ Filer ☐ Spouse

Name of Business: Estate of Joyce Appel

Address: 3832 Edenborn Ave

City, State, Zip: Metairie, LA 70002

Nature of services rendered OR reason income was received: estate income

☐ Filer ☐ Spouse

Name of Business:

Address:

City, State, Zip:

Nature of services rendered OR reason income was received:

☐ Filer ☐ Spouse

Name of Business:

Address:

City, State, Zip:

Nature of services rendered OR reason income was received:

*You are required to complete SCHEDULE F if you or your spouse received income from a business interest.
* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
*Income reported on SCHEDULE D or E does not have to be restated on SCHEDULE F.

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Schedule G: Other Income

☐ Check if not applicable **(any other income that exceeds $1,000 from each source)**

☐ Filer ☐ Spouse

Description of Income: ____________________

Nature of services rendered or reason income was received: ____________________

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ Filer ☐ Spouse

Description of Income: ____________________

Nature of services rendered or reason income was received: ____________________

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ Filer ☐ Spouse

Description of Income: ____________________

Nature of services rendered or reason income was received: ____________________

Amount of Income: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999)
☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

*You are required to complete SCHEDULE G if you or your spouse received any other type of income that exceeded $1,000 from any one source.
* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
*You are not required to report income that is derived from child support and alimony payments contained in a court order, or from disability payments from any source.
*Income that is reported on SCHEDULE D, E, or F does not have to be restated on SCHEDULE G.

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Schedule H: Immovable Property

☐ Check if not applicable

(a property that exceeds $2,000 in value)

☐ Filer ☐ Spouse ☒ Both

Location of Property

Country: USA State: LA Parish/County: Jefferson

Description of Property:

home

Fair Market or Use Value: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☒ Category IV (more than $100,000)

☐ Filer ☐ Spouse ☐ Both

Location of Property

Country: State: Parish/County:

Description of Property:

Fair Market or Use Value: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ Filer ☐ Spouse ☐ Both

Location of Property

Country: State: Parish/County:

Description of Property:

Fair Market or Use Value: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

* You are required to disclose the location by country, state, and parish/county.
* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐Filer ☐Spouse ☒Both

Name of Security:
General Growth Properties

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Activision

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Baxter

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐Filer ☐Spouse ☒Both

Name of Security:
Berkshire Hathaway

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
China Yuchai

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
CitiGroup

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Corning

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Howard Hughes

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
McMoran

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Nustar Energy

Description of Security:
partnership

☐ Filer ☐ Spouse ☒ Both

Name of Security:
American Tower

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
BHP Billiton ADR

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐Filer ☐Spouse ☒Both

Name of Security:
Boeing

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Borg Warner

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Cummins

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Deere and Co

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Discovery Comm

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
EBay

Description of Security:
stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.
* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.
* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Ecolab

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Enterprise PRD Partners

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Goldman Sachs

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
IBM

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
J B Hunt

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Lubrizol

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Monsanto

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Nextera Energy

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Norfolk Southern

Description of Security:
stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.
* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.
* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐Filer ☐Spouse ☒Both

Name of Security:
Occidenatl Petroleum

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Pall Corp

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Praxair

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐Filer ☐Spouse ☒Both

Name of Security:
Procter & Gamble

Description of Security:
stock

☐Filer ☐Spouse ☒Both

Name of Security:
Rovi Corp

Description of Security:

☐Filer ☐Spouse ☒Both

Name of Security:
Schlumberger

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Suncor Energy

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Teekay Corp

Description of Security:
stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:
UPS

Description of Security:
stock

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

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Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Verisk Analytical

Description of Security:
stock

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

*** You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds $5,000.**
*** You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.**
*** You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.**

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Atlas Pipeline

Description of Security:
partnership

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Plains All American Pipeline

Description of Security:
partnership

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Enterprise Products

Description of Security:
partnership

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Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings

☐ Check if not applicable

(an investment holding that exceeds $5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Corn and More

Description of Security:
partnership

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Eating Establishment Manhattan

Description of Security:
partnership

☐ Filer ☐ Spouse ☒ Both

Name of Security:
Eating Establishment Wahoo

Description of Security:
partnership

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LOUISIANA BOARD OF ETHICS
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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: May 7, 2010

Description of Transaction:
buy General Growth Properties

Amount of Transaction: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☒ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: May 7, 2010

Description of Transaction:

buy CitiGroup

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: May 3, 2010

Description of Transaction:

sell Transocean

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

*** You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds $5,000 each).**
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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jan 19, 2010

Description of Transaction:
buy General Growth Properties

Amount of Transaction: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☒ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jan 19, 2010

Description of Transaction:

sell Enbridge

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jan 26, 2010

Description of Transaction:

sell Microsoft

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

*** You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds $5,000 each).**
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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jan 26, 2010

Description of Transaction:

sell Schwab

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Mar 3, 2010

Description of Transaction:

sell Praxair

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Apr 9, 2010

Description of Transaction:

buy Yahoo

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds $5,000 each).
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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Apr 26, 2010

Description of Transaction:

Banco Santander

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Apr 30, 2010

Description of Transaction:

buy Ebay

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: May 3, 2010

Description of Transaction:

buy Visa

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds $5,000 each).

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: May 12, 2010

Description of Transaction:

sell Canadian Natural Res

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jun 3, 2010

Description of Transaction:

sell Medcohealth

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Jun 16, 2010

Description of Transaction:

sell Baxter

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Jul 2, 2010

Description of Transaction:

buy Echolab

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Jul 20, 2010

Description of Transaction:

buy Goldman Sachs

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Aug 10, 2010

Description of Transaction:

buy Occidental Petro

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Sep 1, 2010

Description of Transaction:

sell Yahoo

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Sep 3, 2010

Description of Transaction:

sellBlackstone

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Sep 3, 2010

Description of Transaction:

buy Teekay

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Sep 22, 2010

Description of Transaction:

buy J B Hunt

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Oct 1, 2010

Description of Transaction:

sell Microsoft

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Oct 4, 2010

Description of Transaction:

buy J P Morgan

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Baton Rouge, Louisiana 70821

Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Oct 7, 2010

Description of Transaction:

sell C H Robinson Worldwide

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Oct 8, 2010

Description of Transaction:

sell Plains All American

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Oct 12, 2010

Description of Transaction:
buy J B Hunt

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Oct 15, 2010

Description of Transaction:

sell J P Morgan

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Nov 4, 2010

Description of Transaction:

buy Blackrock

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐**Filer** ☐**Spouse** ☒**Both**

Transaction Date: Nov 10, 2010

Description of Transaction:

sell Meade Johnson

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Nov 18, 2010

Description of Transaction:

buy Boeing

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Nov 23, 2010

Description of Transaction:

sell Boardwalk Pipeline

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Nov 30, 2010

Description of Transaction:

buy Discovery Comm

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

☐ Check if not applicable

(a transaction that exceeds $5,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Dec 1, 2010

Description of Transaction:
sell Range Resources

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Dec 7, 2010

Description of Transaction:

sell Partnerre Ltd

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Dec 13, 2010

Description of Transaction:

sell Phillip Morris

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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Schedule J: Transactions

(a transaction that exceeds $5,000)

☐ Check if not applicable

☐ **Filer** ☐ **Spouse** ☒ **Both**

Transaction Date: Dec 15, 2010

Description of Transaction:

sell Visa

Amount of Transaction: ☐ Category I (less than $5,000) ☒ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☐ **Both**

Transaction Date: ____________

Description of Transaction:

Amount of Transaction: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

☐ **Filer** ☐ **Spouse** ☐ **Both**

Transaction Date: ____________

Description of Transaction:

Amount of Transaction: ☐ Category I (less than $5,000) ☐ Category II ($5,000-$24,999) ☐ Category III ($25,000-$100,000) ☐ Category IV (more than $100,000)

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LOUISIANA BOARD OF ETHICS
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Schedule K: Liabilities

☒ Check if not applicable

(a liability that exceeds $10,000)

☐ Filer ☐ Spouse
Name of Creditor:
Address:
City, State, Zip:
Name of Guarantor (If applicable):

☐ Filer ☐ Spouse
Name of Creditor:
Address:
City, State, Zip:
Name of Guarantor (If applicable):

☐ Filer ☐ Spouse
Name of Creditor:
Address:
City, State, Zip:
Name of Guarantor (If applicable):

☐ Filer ☐ Spouse
Name of Creditor:
Address:
City, State, Zip:
Name of Guarantor (If applicable):

***You are required to complete SCHEDULE K if you or your spouse owes any liability which exceeds $10,000 on the last day of the reporting period.**
***You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.**
***You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.**
***You are not required to disclose any loan by a licensed financial institution which loans money in the ordinary course of business.**
***You are not required to disclose any liability resulting from a consumer credit transaction as defined in R.S. 9:3516(13).**
***You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.**
***"Consumer Credit Transaction" means a consumer loan or a consumer credit sale but does not include a motor vehicle credit transaction made pursuant to R.S. 6:969.1 et seq, R.S. 9:3516(13).**

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
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Schedule L: Other Offices/Positions Held

☒ Check if not applicable

Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:
Name of Office/Position:

***You are required to complete SCHEDULE L if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.**

LOUISIANA BOARD OF ETHICS
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Schedule M: Positions - Business

☒ Check if not applicable

(to be completed by members of the Ethics Adjudicatory Board and Ethics Board, and the administrator of the Ethics Administration)

☐ Filer ☐ Spouse ☐ Both

Name of Business: ____________________

Address: ____________________

City, State, Zip: ____________________

Business Description: ____________________

Nature of Association: ____________________

Amount of Interest: ________ %

☐ Filer ☐ Spouse ☐ Both

Name of Business: ____________________

Address: ____________________

City, State, Zip: ____________________

Business Description: ____________________

Nature of Association: ____________________

Amount of Interest: ________ %

☐ Filer ☐ Spouse ☐ Both

Name of Business: ____________________

Address: ____________________

City, State, Zip: ____________________

Business Description: ____________________

Nature of Association: ____________________

Amount of Interest: ________ %

*** You are required to complete SCHEDULE M if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.**
*** You are required to disclose information related to ownership interest in a business regardless of the percentage of ownership.**
*** "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.**
*** Information disclosed on SCHEDULE B does not have to be restated on SCHEDULE M.**

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

☒ Check if not applicable

Schedule N: Income from the State and/or Political Subdivisions

(to be completed by members of the Ethics Adjudicatory Board and Ethics Board, and the administrator of the Ethics Administration)

☐Filer ☐Spouse ☐Business
Type of Income: ☐State ☐Political Subdivision
Name of Business (if applicable): ______
Name of Income Source: ______
Address: ______
City, State, Zip: ______
Amount of Income (exact dollar amount): $ ______

☐Filer ☐Spouse ☐Business
Type of Income: ☐State ☐Political Subdivision
Name of Business (if applicable): ______
Name of Income Source: ______
Address: ______
City, State, Zip: ______
Amount of Income (exact dollar amount): $ ______

☐Filer ☐Spouse ☐Business
Type of Income: ☐State ☐Political Subdivision
Name of Business (if applicable): ______
Name of Income Source: ______
Address: ______
City, State, Zip: ______
Amount of Income (exact dollar amount): $ ______

* You are required to complete SCHEDULE N if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.
* You are required to disclose all income received by a business in which you or your spouse received regardless of the percentage of ownership in the business.
* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
* Information disclosed on SCHEDULE D does not have to be restated on SCHEDULE N.

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☒ Check if not applicable

Schedule O: Income from a Governmental Entity

(to be completed by members of the Ethics Adjudicatory Board and Ethics Board, and the administrator of the Ethics Administration)

☐ Filer ☐ Spouse

Name of Governmental Entity: ______________________

Nature of Contract/Sub-Contract: ______________________

Value (of thing of economic value) Derived: __________

☐ Filer ☐ Spouse

Name of Governmental Entity: ______________________

Nature of Contract/Sub-Contract: ______________________

Value (of thing of economic value) Derived: __________

☐ Filer ☐ Spouse

Name of Governmental Entity: ______________________

Nature of Contract/Sub-Contract: ______________________

Value (of thing of economic value) Derived: __________

☐ Filer ☐ Spouse

Name of Governmental Entity: ______________________

Nature of Contract/Sub-Contract: ______________________

Value (of thing of economic value) Derived: __________

* You are required to complete SCHEDULE O if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.
* You are required to disclose the name of each governmental entity from which you or your spouse derives a "thing of economic value" through a contract or subcontract involving a governmental entity, including the Louisiana Insurance Guaranty Association, the Louisiana Health Insurance Guaranty Association, Louisiana Citizens Property Insurance Corporation, the Property Insurance Association of Louisiana, and any other quasi-public entity.
* You are required to disclose the nature of the contract or subcontract, and the value of the "thing of economic value" derived.
*"Thing of Economic Value" means money or any other thing having economic value. The complete definition of "thing of economic value" can be found at La. R.S. 42:1102(22).

Revised June 2011