

STATE OF LOUISIANA
CAMPAIGN FINANCE
RECEIVED

2013 OCT 11 AM 11:21

LOUISIANA BOARD OF ETHICS

Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT (ANNUAL)

☐ I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement.

As such, I have completed SCHEDULE L.

This Report Covers Calendar Year: 2012

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ FINAL REPORT WHERE TERM ENDS IN JANUARY (JANUARY 1 THROUGH JANUARY ☐)

Final reports must be filed on or before May 15 of the year in which your service to that office ends.
Refer to the "GENERAL INFORMATION" sheet of this form to determine eligibility.

Office/Position Held: Senate

Name of Filer (print full name) Conrad Appel III

Mailing Address 3832 Edenborn Ave

City, State, Zip Metairie, La 70002

Name of Spouse (print full name) Carol Ann Appel

Spouse's Occupation sales

Spouse's Principal Business Address 3832 Edenborn Ave

City, State, Zip Metairie, La 70002

Check all that apply:

☒ I have filed my state income tax return for the previous year.

☐ I have filed for an extension of my state income tax return for the previous year.

☒ I have filed my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year AND I am requesting an extension in filing my Tier 2 Personal Financial Disclosure.

Certification of Accuracy

I do hereby certify, after having been duly sworn, that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Signature of Filer

Sworn to and subscribed before me this 11th day of October, 2013.

Jeffrey W. Zewe

Notary Public (print name)

[Signature]

Notary Public (signature)

ID# LSBANo. 32782

Date Commission Expires at death

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule A: Employment Information☐ Check if not applicable☒ Filer ☐ Spouse ☒ Full-Time ☐ Part-TimeJob Title: PresidentName of Employer: ConstructionSouth, IncAddress: 721 Papworth Ave, Suite 102City, State, Zip: Metairie, la 70005Job Description: contractor☐ Filer ☒ Spouse ☒ Full-Time ☐ Part-TimeJob Title: sales personName of Employer: Abbott LabsAddress: 3832 Edenborn AveCity, State, Zip: Metairie, La 70002Job Description: sales☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Job Title: _____

Name of Employer: _____

Address: _____

City, State, Zip: _____

Job Description: _____

☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Job Title: _____

Name of Employer: _____

Address: _____

City, State, Zip: _____

Job Description: _____

• You are required to disclose employment information related to both you and your spouse.

• List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer ☐ Spouse ☐ BothAmount of Interest (amount exceeds 10%): 100 %Name of Business: ConstructionSouth, IncAddress: 721 Papworth AveCity, State, Zip: Metairie, La 70005Business Description: contractorNature of Association: President☐ Filer ☐ Spouse ☒ BothAmount of Interest (amount exceeds 10%): 100 %Name of Business: CarCon Equipment LLCAddress: 3832 Edenborn AveCity, State, Zip: Metairie, La 70002Business Description: equipment rentalNature of Association: managers☐ Filer ☐ Spouse ☒ BothAmount of Interest (amount exceeds 10%): 50 %Name of Business: Papworth Properties IncAddress: 721 Papworth Ave, Suite 102City, State, Zip: Metairie, La 70005Business Description: property rentalNature of Association: manager

* You are required to complete SCHEDULE B if you or your spouse is a director, officer, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule D: Income from the State, Political☐ Check if not applicable**Subdivisions, and/or Gaming Interests**

☐ Filer ☐ Spouse ☒ Business (where amount of interest exceeds 10%)
 Type of Income: ☐ State ☒ Political Subdivision ☐ Gaming Interest
 Name of Business (if applicable): ConstructionSouth, Inc
 Name of Income Source: East Jefferson General Hospital
 Address: 4200 Houma Blvd
 City, State, Zip: Metairie, La 70006
 Amount of Income (exact dollar amount): \$ 2,836

☒ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)
 Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest
 Name of Business (if applicable): _____
 Name of Income Source: La Senate
 Address: P.O. Box 44305
 City, State, Zip: baton Rouge, La 70804
 Amount of Income (exact dollar amount): \$ 36,508.00

☐ Filer ☐ Spouse ☐ Business (where amount of interest exceeds 10%)
 Type of Income: ☐ State ☐ Political Subdivision ☐ Gaming Interest
 Name of Business (if applicable): _____
 Name of Income Source: _____
 Address: _____
 City, State, Zip: _____
 Amount of Income (exact dollar amount): \$ _____

* You are required to complete SCHEDULE D if you or your spouse received income from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

* The definitions for (and examples of) political subdivision, gaming interest, and business are found in the instructions Section of this form.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule E: Income Received from
Employment**☐ Check if not applicable☒ Filer ☐ Spouse ☐ Full-Time ☐ Part-TimeName of Source of Income: ConstructionSouth IncAddress: 721 Papworth AveCity, State, Zip: Metairie, La 70005Nature of Services Rendered
(pursuant to such employment): salaryAmount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☒ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☒ Spouse ☐ Full-Time ☐ Part-TimeName of Source of Income: Abbott LabsAddress: 200 Abbott Park RoadCity, State, Zip: Abbott Park, IL 60064Nature of Services Rendered
(pursuant to such employment): salaryAmount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Full-Time ☐ Part-Time

Name of Source of Income: _____

Address: _____

City, State, Zip: _____

Nature of Services Rendered
(pursuant to such employment): _____Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE E to disclose the income received by you or your spouse for each full-time or part-time employment position held.

* Income that is reported on SCHEDULE D does not have to be restated on SCHEDULE E.

* Income received through self-employment is reported on SCHEDULE F.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

**Schedule F: Income Received from
Business Interests**☒ Check if not applicable**AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS INTERESTS:**☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse

Name of Business: _____

Address: _____

City, State, Zip: _____

Nature of services rendered OR
reason income was received: _____☐ Filer ☐ Spouse

Name of Business: _____

Address: _____

City, State, Zip: _____

Nature of services rendered OR
reason income was received: _____☐ Filer ☐ Spouse

Name of Business: _____

Address: _____

City, State, Zip: _____

Nature of services rendered OR
reason income was received: _____

*You are required to complete SCHEDULE F if you or your spouse received income from a business interest.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

*Income reported on SCHEDULE D or E does not have to be restated on SCHEDULE F.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule G: Other Income**☒ Check if not applicable (any other income that exceeds \$1,000 from each source)☐ Filer ☐ Spouse

Description of Income: _____

Nature of services rendered or
reason income was received: _____Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse

Description of Income: _____

Nature of services rendered or
reason income was received: _____Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse

Description of Income: _____

Nature of services rendered or
reason income was received: _____Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

*You are required to complete SCHEDULE G if you or your spouse received any other type of income that exceeded \$1,000 from any one source.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

*You are not required to report income that is derived from child support and alimony payments contained in a court order, or from disability payments from any source.

*Income that is reported on SCHEDULE D, E, or F does not have to be restated on SCHEDULE G.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule H: Immovable Property**

(a property that exceeds \$2,000 in value)

☐ Check if not applicable☐ Filer ☐ Spouse ☒ Both**Location of Property**

Country: USA State: La Parish/County: Jefferson

Description of Property:

home

Fair Market or
Use Value: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both**Location of Property**

Country: USA State: La Parish/County: Jefferson

Description of Property:

investment property

Fair Market or
Use Value: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both**Location of Property**

Country: State: Parish/County:

Description of Property:Fair Market or
Use Value: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to disclose the location by country, state, and parish/county.

* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

American Tower

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Enterprise PRD Partners

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Description of Security:

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

EMC Corp Mass

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

EOG resources

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

EBay

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Cummins

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Deere & Co

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Discovery Communications

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Catepillar

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Coca Cola

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Conoco Phillips

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

BHP Billiton

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Blackrock Inc

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Boeing

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Southwest Airline

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Western Asset Bond

Description of Security:

bond

☐ Filer ☐ Spouse ☒ Both

Name of Security:

AT&T

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Exxon Mobile

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Kinder Morgan Energy

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Pega Systems

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Chevron

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Cohen & Steers REIT

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

Entergy

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☒ Filer ☐ Spouse ☐ Both

Name of Security:

AGL Resources

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

AT&T

Description of Security:

stock

☒ Filer ☐ Spouse ☐ Both

Name of Security:

BHP Billiton

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Sysco

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

UPS

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Wal-Mart

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Rouse PPTYS

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Suncor Energy

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Schlumberger

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Nextera Energy

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Pall Corp

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Praxair

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

International Flavors & Fragrances

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

J B Hunt Transport

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Mondelez intl Inc

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Ensco PLC Class A

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Entergy

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

IBM

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

Revised December 2012

Form 416A

www.ethics.state.la.us

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Apple

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Baxter International

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Becton Dickson & Co

Description of Security:

Stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Berkshire Hathaway

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

CSX Corp

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Cheniere Energy Inc

Description of Security:

Stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

CitiGroup Inc

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Dolby Labs

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Emerson Electric

Description of Security:

Stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Freeport McMoran Copper

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Heckmann Corp

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Howard Hughes Corp

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Johnson & Johnson

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Kinder Morgan Management

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Estee Lauder Co

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Microsoft

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Peabody Energy

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Royal Gold Inc

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule I: Investment Holdings**☐ Check if not applicable (an investment holding that exceeds \$5,000)☐ Filer ☐ Spouse ☒ Both

Name of Security:

Siver Wheaton Corp

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Stryker Corp

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Verizon Communications

Description of Security:

stock

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule I: Investment Holdings☐ Check if not applicable

(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Whole Foods

Description of Security:

stock

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Description of Security:

☐ Filer ☐ Spouse ☒ Both

Name of Security:

Description of Security:

* You are required to complete SCHEDULE I if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

Revised December 2012

Form 416A

www.ethics.state.la.us

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule J: Transactions☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 2/15/12Description of Transaction:
redeem La Pub Facs bondAmount of Transaction: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)
☒ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 6/1/12Description of Transaction:
redeem La Gas & Fuels Tax Rev Ser bondAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 7/16/12Description of Transaction:
redeem Ernst Morial Hall bondAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 1/6/12

Description of Transaction:

buy Walmart

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 1/19/12

Description of Transaction:

buy Nuance Comm

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 1/24/12

Description of Transaction:

buy Entergy

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

Schedule J: Transactions

(a transaction that exceeds \$5,000)

☐ Check if not applicable

☐ Filer ☐ Spouse ☒ Both

Transaction Date: 2/27/12

Description of Transaction:
sell Nuance Comm

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

☐ Filer ☐ Spouse ☒ Both

Transaction Date: 3/1/12

Description of Transaction:
buy Enterprise PRD Partners

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

☐ Filer ☐ Spouse ☒ Both

Transaction Date: 3/2/12

Description of Transaction:
buy Entergy

Amount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 4/3/12Description of Transaction:
buy EOG ResourcesAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 4/19/12Description of Transaction:
buy EMC Corp MassAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 4/20/12Description of Transaction:
buy SyscoAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule J: Transactions☐ Check if not applicable

(a transaction that exceeds \$5,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both	
Transaction Date: <u>5/4/12</u>	
Description of Transaction: buy Conoco	
Amount of Transaction:	<input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both	
Transaction Date: <u>12/26/12</u>	
Description of Transaction: sell General Growth Properties	
Amount of Transaction:	<input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input checked="" type="checkbox"/> Both	
Transaction Date: <u>2/8/12</u>	
Description of Transaction: sell Sanofi ADR	
Amount of Transaction:	<input type="checkbox"/> Category I (less than \$5,000) <input checked="" type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule J: Transactions☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 3/14/12Description of Transaction:
sell Corning

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 3/14/12Description of Transaction:
buy Peabody Energy

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 3/14/12Description of Transaction:
sell Acyivision

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 5/4/12Description of Transaction:
buy Estee lauderAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 6/4/12Description of Transaction:
buy MicrosoftAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 6/4/12Description of Transaction:
buy Johnson and JohnsonAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 7/3/12Description of Transaction:
buy Energy TransferAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 7/12/12Description of Transaction:
buy Becton Dickson & CoAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 7/20/12Description of Transaction:
buy Whole FoodsAmount of Transaction: ☐ Category I (less than \$5,000) ☒ Category II (\$5,000-\$24,999)
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule J: Transactions☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 8/14/12

Description of Transaction:

buy Dolby Labs

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 11/2/12

Description of Transaction:

buy Heckmann Corp

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 11/5/12

Description of Transaction:

buy Entergy

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule J: Transactions**☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 12/26/12

Description of Transaction:

sell Alcoa

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 12/26/12

Description of Transaction:

sell McMoran

Amount of Transaction:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☒ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ BothTransaction Date: 12/26/12

Description of Transaction:

sell Peabody Energy

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE I if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule J: Transactions☐ Check if not applicable

(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothTransaction Date: 12/26/12

Description of Transaction:

buy Freeport McMoran Copper

Amount of Transaction:

☐ Category I (less than \$5,000)☒ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both

Transaction Date: _____

Description of Transaction:

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☒ Both

Transaction Date: _____

Description of Transaction:

Amount of Transaction:

☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

* You are required to complete SCHEDULE J if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (which exceeds \$5,000 each).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule K: Liabilities☒ Check if not applicable

(a liability that exceeds \$10,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____

*You are required to complete SCHEDULE K if you or your spouse owes any liability which exceeds \$10,000 on the last day of the reporting period.

*You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.

*You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.

*You are not required to disclose any loan by a licensed financial institution which loans money in the ordinary course of business.

*You are not required to disclose any liability resulting from a consumer credit transaction as defined in R.S. 9:3516(13).

*You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.

*"Consumer Credit Transaction" means a consumer loan or a consumer credit sale but does not include a motor vehicle credit transaction made pursuant to R.S. 6:969.1 et seq, R.S. 9:3516(13).

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule L: Other Offices/Positions Held☒ Check if not applicable

Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	

*You are required to complete SCHEDULE L if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.

LOUISIANA BOARD OF ETHICS

Post Office Box 4368

Baton Rouge, Louisiana 70821

Schedule M: Positions - Business

☒ Check if not applicable (to be completed by members of the Ethics Adjudicatory Board and Ethics Board, and the administrator of the Ethics Administration)

☐ Filer ☐ Spouse ☐ Both

Name of Business: _____

Address: _____

City, State, Zip: _____

Business Description: _____

Nature of Association: _____

Amount of Interest: _____ %

☐ Filer ☐ Spouse ☐ Both

Name of Business: _____

Address: _____

City, State, Zip: _____

Business Description: _____

Nature of Association: _____

Amount of Interest: _____ %

☐ Filer ☐ Spouse ☐ Both

Name of Business: _____

Address: _____

City, State, Zip: _____

Business Description: _____

Nature of Association: _____

Amount of Interest: _____ %

* You are required to complete SCHEDULE M if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.

* You are required to disclose information related to ownership interest in a business regardless of the percentage of ownership.

* "Business" means any corporation, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

* Information disclosed on SCHEDULE B does not have to be restated on SCHEDULE M.

Revised December 2012

Form 416A

www.ethics.state.la.us

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule N: Income from the State
and/or Political Subdivisions**☒ Check if not applicable(to be completed by members of the Ethics Adjudicatory Board and
Ethics Board, and the administrator of the Ethics Administration)☐ Filer ☐ Spouse ☐ BusinessType of Income: ☐ State ☐ Political Subdivision

Name of Business (if applicable): _____

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

☐ Filer ☐ Spouse ☐ BusinessType of Income: ☐ State ☐ Political Subdivision

Name of Business (if applicable): _____

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

☐ Filer ☐ Spouse ☐ BusinessType of Income: ☐ State ☐ Political Subdivision

Name of Business (if applicable): _____

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

* You are required to complete SCHEDULE N if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.

* You are required to disclose all income received by a business in which you or your spouse received regardless of the percentage of ownership in the business.

* "Income" (for a business) means gross income less costs of goods sold, and operating expenses.

* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

* Information disclosed on SCHEDULE D does not have to be restated on SCHEDULE N.

LOUISIANA BOARD OF ETHICSPost Office Box 4368
Baton Rouge, Louisiana 70821**Schedule O: Income from a
Governmental Entity**☒ Check if not applicable(to be completed by members of the Ethics Adjudicatory Board and
Ethics Board, and the administrator of the Ethics Administration)☐ Filer ☐ Spouse

Name of Governmental Entity: _____

Nature of Contract/Sub-Contract: _____

Value (of thing of economic value) Derived: _____

☐ Filer ☐ Spouse

Name of Governmental Entity: _____

Nature of Contract/Sub-Contract: _____

Value (of thing of economic value) Derived: _____

☐ Filer ☐ Spouse

Name of Governmental Entity: _____

Nature of Contract/Sub-Contract: _____

Value (of thing of economic value) Derived: _____

☐ Filer ☐ Spouse

Name of Governmental Entity: _____

Nature of Contract/Sub-Contract: _____

Value (of thing of economic value) Derived: _____

* You are required to complete SCHEDULE O if you are a member of the Ethics Adjudicatory Board; a member of the Board of Ethics; or if you serve as administrator of the Ethics Administration.

* You are required to disclose the name of each governmental entity from which you or your spouse derives a "thing of economic value" through a contract or subcontract involving a governmental entity, including the Louisiana Insurance Guaranty Association, the Louisiana Health Insurance Guaranty Association, Louisiana Citizens Property Insurance Corporation, the Property Insurance Association of Louisiana, and any other quasi-public entity.

* You are required to disclose the nature of the contract or subcontract, and the value of the "thing of economic value" derived.

**"Thing of Economic Value" means money or any other thing having economic value. The complete definition of "thing of economic value" can be found at La. R.S. 42:1102(22).

Revised December 2012

Form 416A

www.ethics.state.la.us