LOUISIANA BOARD OF ETHICS
Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT (FOR CANDIDATES)

Pursuant to *La. R.S. 18:1495.7*, any person who becomes a candidate for an office for which the holder of the office is required to file financial disclosure statements shall file a financial disclosure statement for the office for which he is a candidate.

GENERAL INFORMATION

- **You are required to file a Tier 3 Personal Financial Disclosure Statement (for candidates) if you are a candidate seeking election to a public office that represents a voting district having a population of fewer than *5,000*.**
- If you are seeking **re-election** and have previously filed an annual financial disclosure statement timely with the Board of Ethics (in the same calendar year), such filing shall satisfy the requirements of this Section.
- You are required to report financial information on the previous calendar year.
- You may not request an extension to file your personal financial disclosure statement.
- You are only required to complete *schedules* that are applicable to your personal financial status. If additional copies of the schedules are needed, copies are available at www.ethics.la.gov.
- You must file your personal financial disclosure statement with the Board of Ethics **WITHIN THREE (3) BUSINESS DAYS after the close of the qualifying period during which you filed your *Notice of Candidacy* for the office.**

- For additional information, call our office at 225-219-5600 or visit our website, www.ethics.la.gov, and view the *Disclosure—Frequently Asked Questions* section or the information sheets provided under *General Information—Publications*.
- Acceptable methods for filing a personal financial disclosure statement:
  - Fax: 225-381-7271
  - Mail: Board of Ethics, Post Office Box 4368, Baton Rouge, Louisiana 70821
  - Commercial or Hand-delivery: 617 North Third St., LaSalle Bldg., Suite 1036, Baton Rouge, LA 70802
  - Upload: Instructions for uploading are on the agency website (www.ethics.la.gov) (pdf format only)
  - Electronic Filing: Instructions for electronic filing are on the agency website (www.ethics.la.gov)

INSTRUCTIONS

Cover Sheet

- You are required to disclose financial information related to the **previous calendar year**.
- You are required to disclose whether you have filed your federal and state income tax returns for the previous year, or requested an extension in filing your returns. If you are a candidate in an election to be held prior to April 15th and you have not filed your taxes for the prior year, you need to check the appropriate box.
- You are required to sign the cover sheet certifying that the information provided is true and correct to the best of your knowledge and belief.

Schedule A: Employment Information

- You are required to disclose employment information related to both you and your spouse (if applicable).
- List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.

Schedule B: Filer/Spouse Income from the State, Political Subdivisions, and/or Gaming Interests

- You are required to complete Schedule B if you or your spouse (if applicable) received income (which exceeded $250 from each source) from the State, a political subdivision, and/or a gaming interest.
- Income received must be reported as an exact dollar figure.
- **"Income" (for an individual) means** taxable income and shall not include any income received pursuant to a life insurance policy.
- **"Political Subdivision" means** a parish, municipality, or any other unit of local government, including a school board or a special district authorized by law to perform governmental functions, e.g., hospital service districts, school boards (and schools under its authority), police juries, parish councils, boards of aldermen, cities, towns, villages, etc.
- **"Gaming Interest" means** [as defined in La. R.S. 18:1505.2L(3)(a)] (i) Any person who holds a license or permit as a distributor of gaming devices, who holds a license or permit as a manufacturer of gaming devices, who holds a license or permit as a device service entity, and any person who owns a truck stop or a licensed pari-mutuel or off-track wagering facility which is a licensed device establishment, all pursuant to the Video Draw Poker Devices Control Law; (ii)Any person who holds a license to conduct gaming activities on a riverboat, who holds a license or permit as a distributor or supplier of gaming devices or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Riverboat Economic Development and Gaming Control Act, and any person who owns a riverboat upon which gaming activities are licensed to be conducted; or (iii) Any person who holds a license or entered into a contract for the conduct of casino gaming operations, who holds a license or permit as a distributor of gaming devices or gaming equipment including slot machines, or who holds a license or permit as a manufacturer of gaming devices or gaming equipment including slot machines issued pursuant to the Louisiana Economic Development and Gaming Corporation Act, and any person who owns a casino where such gaming operations are licensed.

SCHEDULE C: Income from Gaming Interests to Business

- You are required to complete SCHEDULE C if a business in which you or your spouse (either individually or collectively) owned at least 10% received income from a gaming interest.
- **"Business" means** any corporation, limited liability company, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

SCHEDULE D: CONTRACT BETWEEN BUSINESS AND STATE/POLITICAL SUBDIVISION

- You are required to complete Schedule D if a business, in which you or your spouse (either individually or collectively) owns at least 10%, enters into a contract in the previous year with the state or political subdivision.
- **"Business" means** any corporation, limited liability company, partnership, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.
- **"Political Subdivision" means** a parish, municipality, or any other unit of local government, including a school board or a special district authorized by law to perform governmental functions, e.g., hospital service districts, school boards (and schools under its authority), police juries, parish councils, boards of aldermen, cities, towns, villages, etc.

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TIER 3 PERSONAL FINANCIAL DISCLOSURE STATEMENT (FOR CANDIDATES)

This Report Covers Calendar Year: _____

☐ ORIGINAL REPORT

☐ AMENDED REPORT

Office Sought_____ Incumbent: ☐Yes ☐No

Date of Election_____

Name (print full name) _____

Mailing Address _____

City, State, Zip _____

Name of Spouse (if applicable) (print full name) _____

Spouse's Occupation_____

Principal Business Address _____

City, State Zip _____

Check all that apply:

☐ I have filed my state income tax return for the previous year.

☐ I have filed for an extension of my state income tax return for the previous year.

☐ I have filed my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 18:1495.7 and 42:1124.3 does not provide candidates the opportunity to request an extension in filing their personal financial disclosure statements.

☐ I am a candidate in an election to be held prior to April 15 and I have not filed my tax return for the previous year.

Certificate of Accuracy

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge and belief.

_____

Signature of Filer

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Schedule A: Employment Information

☐ Check if not applicable.

☐Filer ☐Spouse ☐Full-Time ☐ Part-Time

Name of Employer: _____

Job Title: _____

Job Description: _____

_____

_____

☐Filer ☐Spouse ☐Full-Time ☐ Part-Time

Name of Employer: _____

Job Title: _____

Job Description: _____

_____

_____

☐Filer ☐Spouse ☐Full-Time ☐ Part-Time

Name of Employer: _____

Job Title: _____

Job Description: _____

_____

_____

☐Filer ☐Spouse ☐Full-Time ☐ Part-Time

Name of Employer: _____

Job Title: _____

Job Description: _____

_____

_____

- **You are required to disclose employment information related to both you and your spouse (if applicable).**
- **List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.**

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Schedule B: Filer/Spouse Income from the State, Political Subdivisions, and/or Gaming Interests

(Income which exceeded $250 from each source)

☐ Check if not applicable.

☐Filer ☐Spouse

Type of Income: ☐State ☐Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $_____

☐Filer Spouse

Type of Income: ☐State ☐Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $_____

☐Filer ☐ Spouse

Type of Income: ☐State ☐Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $_____

☐Filer ☐ Spouse

Type of Income: ☐State ☐Political Subdivision ☐ Gaming Interest

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): $_____

*** You are required to complete SCHEDULE B if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest.**
*** "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.**
*** The definition for (and examples of) political subdivision, gaming interest, and business are found in the *Instructions Section* of this form.**

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Schedule C: INCOME FROM GAMING INTERESTS TO BUSINESS

(Income which exceeded $250 from each source)

☐ Check if not applicable.

☐Business Name of business_____

Name of Income Source:_____
Address:_____
City, State, Zip:_____
Amount of Income (exact dollar amount): $_____

☐Business Name of business_____

Name of Income Source:_____
Address:_____
City, State, Zip:_____
Amount of Income (exact dollar amount): $_____

☐Business Name of business_____

Name of Income Source:_____
Address:_____
City, State, Zip:_____
Amount of Income (exact dollar amount): $_____

☐Business Name of business_____

Name of Income Source:_____
Address:_____
City, State, Zip:_____
Amount of Income (exact dollar amount): $_____

*** You are required to complete SCHEDULE C if a business in which you or your spouse (either individually or collectively) owned at least 10% received income from a gaming interest.**
*** "Income" (for a business) means gross income less costs of goods sold, and operating expenses.**
*** The definition for gaming interest and business are found in the *Instructions Section* of this form.**

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SCHEDULE D: CONTRACT BETWEEN BUSINESS AND STATE/POLITICAL SUBDIVISION

☐Check if not applicable.

☐Business Name of business_____
Amount or Value of Contract:_____

Duration of Contract:_____
Description of goods or services provided:_____
_____

☐Business Name of business_____
Amount or Value of Contract:_____

Duration of Contract:_____
Description of goods or services provided:_____
_____

☐Business Name of business_____
Amount or Value of Contract:_____

Duration of Contract:_____
Description of goods or services provided:_____
_____

☐Business Name of business_____
Amount or Value of Contract:_____

Duration of Contract:_____
Description of goods or services provided:_____
_____

- **You are required to complete Schedule D if a business, in which you or your spouse (either individually or collectively) owns at least 10%, enters into a contract in the previous year with the state or political subdivision.**
- **The definition for business and political subdivision are found in the *Instructions Section* of this form.**