CONTRACT DISCLOSURE STATEMENT

Pursuant to La. R.S. 42:1113D(4) the following persons (see below) are required to file a disclosure statement identifying the parties to and the value and term of each contract if the public servant, immediate family member or their legal entity had a contract with state government during the previous calendar year. THE REPORT SHALL BE FILED BY MAY 15.

PUBLIC SERVANTS [42:1113D(4)(a)] HOLDING ONE OF THE FOLLOWING POSITIONS ARE REQUIRED TO FILE:

a. A legislator and any person who has been certified by the secretary of state as elected to the legislature.
b. The governor and each person holding statewide elected office.
c. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Economic Development.
d. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Culture, Recreation and Tourism.
e. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Environmental Quality.
f. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Health and Hospitals.
g. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Louisiana Workforce Commission.
h. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Natural Resources.
i. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Public Safety and Corrections and any warden or assistant warden of a state penal institution.
j. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Revenue.
k. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Social Services.
l. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Transportation and Development.
m. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Wildlife and Fisheries.
n. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Veterans Affairs.
o. The executive secretary of the Public Service Commission.
p. The director of State Civil Service.
q. Each member of the State Board of Elementary and Secondary Education.
r. The commissioner of higher education and the president of each public postsecondary education system.
s. Each member of the Board of Ethics and the ethics administrator.
t. The chief of staff to the governor.
u. The commissioner of the division of administration.
v. The executive counsel to the governor.
w. The legislative director for the governor.
x. The deputy chief of staff to the governor.
y. The director of policy for the governor.
z. The assistant commissioner for management and finance, the deputy commissioner, the confidential assistant, and each assistant commissioner of the Department of Agriculture and Forestry.
aa. The superintendent of education, the deputy superintendent of education, the deputy superintendent for management and finance, and each assistant superintendent of the Department of Education.
bb. The chief deputy commissioner, each deputy commissioner, the assistant commissioner, and the executive counsel for the Department of Insurance.
cc. The first assistant attorney general of the Department of Justice.
dd. The deputy secretary of the Department of State, the deputy secretary for the office of the Uniform Commercial Code, and the deputy secretary for the office of GeauxBiz or his successor.
e. Each deputy state treasurer and each assistant state treasurer of the Department of Treasury.

IMMEDIATE FAMILY MEMBERS (except spouse) OF PUBLIC SERVANTS [42:1113D(4)(b)] REQUIRED TO FILE:

a. A legislator and any person who has been certified by the secretary of state as elected to the legislature.
b. The governor and each person holding statewide elected office.
c. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Economic Development.
d. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Culture, Recreation and Tourism.
e. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Environmental Quality.
f. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Health and Hospitals.
g. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Louisiana Workforce Commission.
h. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Natural Resources.
i. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Public Safety and Corrections and any warden or assistant warden of a state penal institution.
j. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Revenue.
k. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Social Services.
l. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Transportation and Development.
m. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Wildlife and Fisheries.
n. The secretary, deputy secretary, undersecretary, and each assistant secretary, or the equivalent position of the Department of Veterans Affairs.
o. The executive secretary of the Public Service Commission.
p. The director of State Civil Service.
q. Each member of the State Board of Elementary and Secondary Education.
r. The commissioner of higher education and the president of each public postsecondary education system.
s. Each member of the Board of Ethics and the ethics administrator.
t. The chief of staff to the governor.
u. The commissioner of the division of administration.
v. The executive counsel to the governor.
w. The legislative director for the governor.
x. The deputy chief of staff to the governor.
y. The director of policy for the governor.
z. The assistant commissioner for management and finance, the deputy commissioner, the confidential assistant, and each assistant commissioner of the Department of Agriculture and Forestry.
aa. The superintendent of education, the deputy superintendent of education, the deputy superintendent for management and finance, and each assistant superintendent of the Department of Education.
bb. The chief deputy commissioner, each deputy commissioner, the assistant commissioner, and the executive counsel for the Department of Insurance.
c. The first assistant attorney general of the Department of Justice.

d. The deputy secretary of the Department of State, the deputy secretary for the office of the Uniform Commercial Code, and the deputy secretary for the office of GeauxBiz or his successor.
e. Each deputy state treasurer and each assistant state treasurer of the Department of Treasury.

THE SPOUSES OF THE DEPUTY SECRETARIES, UNDERSECRETARIES, ASSISTANT SECRETARIES, OR EQUIVALENT POSITIONS IN THE FOLLOWING AGENCIES [42:1113D(6)] ARE NOT REQUIRED TO FILE:

a. Department of Economic Development
b. Department of Culture, Recreation and Tourism
c. Department of Environmental Quality
d. Department of Health and Hospitals
e. Louisiana Workforce Commission
f. Department of Natural Resources
g. Department of Public Safety and Corrections
h. Department of Revenue
i. Department of Children and Family Services
j. Department of Transportation and Development
k. Department of Wildlife and Fisheries
l. Department of Veterans Affairs
m. Department of Agriculture and Forestry
n. Department of Education
o. Department of Insurance
p. Department of Justice
q. Department of State
r. Department of Treasury

“LEGAL ENTITY OF A PERSON” means any corporation, partnership, or other legal entity in which a person identified in La. R.S. 42:1113D(1)(a)(ii) or the spouse of such person owns an interest of greater than five percent, except a publicly traded corporation or a legal entity in which the person owns a passive ownership interest that is the result of participation in a federally approved program of employee ownership.

“LEGAL ENTITY OF FAMILY MEMBER” means any corporation, partnership, or other legal entity in which an immediate family member of a person identified in 42:1113D(1)(a)(ii), except the spouse of such a person, owns an interest of greater than five percent, except a publicly traded corporation or a legal entity in which the immediate family member owns a passive ownership interest that is the result of participation in a federally approved program of employee ownership.

“IMMEDIATE FAMILY” means the public servant’s children, the spouses of his children, his brothers and their spouses, his sisters and their spouses, his parents, his spouse, and the parents of his spouse.

“STATE GOVERNMENT” means any branch, agency, department, or institution of state government or with the Louisiana Insurance Guaranty Association, the Louisiana Health Insurance Guaranty Association, or any other state quasi-public entity created in law.
CONTRACT DISCLOSURE STATEMENT
Pursuant to La. R.S. 42:1113D(4)

YEAR COVERED: ______________________

FILER:

☐ PUBLIC SERVANT
Name: __________________________________________________________________________________________
Position Held: __________________________________________________________________________________
Name of Department/Agency/Division: __________________________________________________________________
Address: _________________________________________________________________________________________
City, State, Zip: __________________________________________________________________________________

☐ SPOUSE OF PUBLIC SERVANT
Name: _________________________________________________________________________________________
Address: _______________________________________________________________________________________
City, State, Zip: ________________________________________________________________________________
Name of Public Servant: __________________________________________________________________________
Position Held: _________________________________________________________________________________
Name of Department/Agency/Division: __________________________________________________________________
Address: _________________________________________________________________________________________
City, State, Zip: ________________________________________________________________________________

☐ IMMEDIATE FAMILY MEMBER OF PUBLIC SERVANT (RELATIONSHIP): _________________________________
Name: _________________________________________________________________________________________
Address: _________________________________________________________________________________________
City, State, Zip: ________________________________________________________________________________
Name of Public Servant: __________________________________________________________________________
Position Held: _________________________________________________________________________________
Name of Department/Agency/Division: __________________________________________________________________
Address: _________________________________________________________________________________________
City, State, Zip: ________________________________________________________________________________

I hereby certify and acknowledge that the information provided herein is true and correct to the best of my knowledge, information and belief; and that no information required by Section 1113D(4)(a) and/or (b) of the Code of Governmental Ethics has been deliberately omitted.

______________________________________________________________
Name of Filer

______________________________________________________________
Signature of Filer

______________________________________________________________
Date

Revised March 2012

Form 411

www.ethics.la.gov
# BUSINESS ENTITIES AND PERCENTAGE OF OWNERSHIP

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# SCHEDULE A: CONTRACTS

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