

LOUISIANA BOARD OF ETHICS
MINUTES
January 19, 2017

The Board of Ethics met on January 19, 2017 at 2:30 p.m. in the Griffon Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Bruneau, Dittmer, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Monrose and Smith present. Absent was Board Member Shelton. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, David Bordelon, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

Chairman Monrose opened the floor for nominations to elect a new Board Chairman. On motion made, seconded and unanimously passed, Board Member McAnelly was elected as the new Chairman.

Board Member Monrose vacated the Chair.

Chairman McAnelly assumed the Chair and opened the floor for nominations to elect a new Board Vice Chairman. On motion made, seconded and unanimously passed, Board Member Michiels was elected as the new Vice Chairman.

The Board unanimously resolved into executive session to consider complaints and reports deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

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EXECUTIVE BUSINESS

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The Board unanimously resolved into general business session.

Ms. Allen advised the Board that the proposed recommendations for 2017 legislation would be provided at the meeting on Friday.

The Board unanimously adjourned at 4:50 p.m.

Secretary

APPROVED:

Chairman

LOUISIANA BOARD OF ETHICS
MINUTES
January 20, 2017

The Board of Ethics met on January 20, 2017 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Bruneau, Dittmer, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Monroe and Smith present. Absent was Board Member Shelton. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, David Bordelon, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

The Board considered a request in Docket No. 16-163 for a waiver of the \$2,500 late fee assessed against Walter P. Reed, District Attorney, 22nd Judicial District, for failure to file his 2014 Tier 2 Annual personal financial disclosure statement. On motion made, seconded and unanimously passed, the Board continued the matter to the March meeting.

Mr. Logan Williamson, a member of the Jefferson Parish Human Services Authority, appeared before the Board in connection with a request for reconsideration in Docket No. 16-600 for a waiver of the \$1,500 late fee assessed him for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 106 days late. After hearing from Mr. Williamson, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request for reconsideration in Docket No. 16-603 of the Board's suspension of all but \$450 of a \$1,500 late fee assessed against Vincent P. Borrello Jr., a member of the Board of Examiners of Certified Shorthand Reporters, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 108 days late. On motion made, seconded and unanimously passed,

the Board continued the matter to the February meeting.

Mr. Donald "D.T." Thompson, a candidate for Opelousas Chief of Police in the November 4, 2014 election, and his attorney, Mr. Taylor Bassett, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 16-685 for a waiver of the six \$1,000 (\$6,000) late fees assessed against him for filing his 30-P, 10-P, 10-G, EDE-G, 40-G and 2015 Supplemental campaign finance disclosure reports 497, 476, 446, 728, 474 and 301 days late, respectively. After hearing from Mr. Thompson and Mr. Bassett, on motion made, seconded and unanimously passed, the Board declined to waive the six \$1,000 fines but suspended \$500 of each of the \$1,000 fines for a total of \$3,000 conditioned upon future compliance with the Campaign Finance Disclosure Act and with the option to enter into a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1279 for a waiver of the \$300 and \$960 late fees assessed against Jerry L. Denton, Jr., a candidate for City Court Judge, City of Denham Springs in the November 8, 2016 election, for filing his 30-P and 10-G campaign finance disclosure reports 5 and 16 days late. On motion made, seconded and unanimously passed, the Board continued the matter to the February meeting.

Ms. Leu Anne Greco, General Counsel for the LSU Foundation; Mr. Jim Marchand, LSU Assistant General Counsel; Mr. Rob Stewart, LSU Foundation President; and, Mr. Dan Layzell, Interim CFO for the LSU Foundation, appeared before the Board in connection with a request for an advisory opinion in Docket No. 16-1340 regarding the propriety of the CEO of the LSU Foundation also holding the position of LSU Vice-President for Institutional Advancement. After

hearing from Ms. Greco, Mr. Marchand, Mr. Stewart and Mr. Layzell, on motion made, seconded and unanimously passed, the Board continued the matter to the February meeting in order to obtain additional information.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G8-G28 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G8-G28 taking the following action:

Affirmed the prior advisory opinion rendered in Docket No. 16-913 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Melynne Wright from serving on the St. John the Baptist Library's Board of Control while she is employed at the A Storage Inn, since A Storage Inn has a financial agreement with the St. John the Baptist Library; therefore, Ms. Wright may not accept any compensation from A Storage Inn while serving on the St. John the Baptist Library's Board of Control.

Adopted an advisory opinion in Docket No. 16-1028 concluding that Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit James Tassin, Deputy Chief and an elected board member of the Luling Volunteer Fire Department, from accepting a paid position with the Luling Volunteer Fire Department, since Mr. Tassin is an elected, rather than an appointed, member of the Board of Directors. The Board further advised that should Mr. Tassin resign his position as a board member, he would be prohibited by Section 1121A(2) of the Code of Governmental Ethics from being employed by the Luling Volunteer Fire Department for a period of two years after his resignation.

Adopted an advisory opinion in Docket No. 16-1045 concluding that the employees and

members of the Board of Directors for the Quad Area Community Action Agency, Inc. are not required to take the annual ethics training in accordance with Section 1170A of the Code of Governmental Ethics, since the Agency was not created by the legislature, its powers were not specifically defined by the legislature, and its property does not belong to the public. Therefore, the Agency is not a governmental entity subject to the Code of Governmental Ethics. Additionally, the duties performed by the state agencies are ministerial and they simply serve as a conduit through which federal funds are disbursed to the Agency, which actually carries out the functions and purposes of Low Income Home Energy Assistance Program (LIHEAP), Weatherization Assistance Program (WAP), Adult Education Program (AEP) and Community Service Block Grant (CSBG).

Adopted an advisory opinion in Docket No. 16-1111 concluding that (1) no violation of the Code of Governmental Ethics is presented by Telford Williams continuing to participate as a landlord in the Housing Authority of East Baton Rouge Parish's Section 8 program at a time when he is married to Shenika Robinson, a Social Services Analyst 1 employed with the Section 8 Department of Housing Authority, since Mr. Williams entered into the lease agreement and HAP Contract prior to his marriage to Ms. Robinson, both of which automatically renew on a month-to-month basis. Therefore, it would not present a violation of the Code of Governmental Ethics if Mr. Williams continues to participate as a landlord in the Section 8 program, under his existing HAP Contract with the Housing Authority, pursuant to the terms and conditions in place at the time he married Ms. Robinson. The Board further advised that Mr. Williams would be prohibited from entering into a new 12-month lease agreement and corresponding HAP Contract with the Housing Authority, requesting a rent increase, or continuing as a landlord if the payment terms change for any reason. Additionally, Mr. Williams would be required to disclose the income that he receives from the Housing Authority

and the tenant pursuant to Section 1114 of the Code of Governmental Ethics; and, (2) Section 1112B(1) of the Code of Governmental Ethics would prohibit Ms. Robinson from participating in any matter involving the tenant residing in Mr. Williams' unit. In addition, the Board advised that a disqualification plan that completely removes Ms. Robinson from any responsibility regarding the tenant residing in Mr. Williams' unit must be submitted to the Board for approval.

Adopted an advisory opinion in Docket No. 16-1200 concluding that Section 1121B of the Code of Governmental Ethics would prohibit Rita Hudson from contracting as a consultant with the Lafayette District of the Department of Transportation and Development (DOTD) on the I-49 Connector Project after retiring from the DOTD, since providing right of way services through a consulting contract with Ms. Hudson's former agency would be considered rendering the same services back to her former agency through a contract.

Adopted an advisory opinion in Docket No. 16-1201 concluding that, generally, no violation of the Code of Governmental Ethics is presented by Wendy Fremin, an employee of the Health Standards Section of the Department of Health and Hospitals (DHH), offering consulting services to healthcare providers as long as she does not provide assistance with transactions in which she participated while employed by the Health Standards Section of the Department of Health. The Board further advised that Section 1121B(1) of the Code of Governmental Ethics would prohibit Ms. Fremin, for a period of two years from her retirement from state employment, from contracting directly or indirectly through another company with the Department of Health to provide the same services she provided during her public service. Additionally, Section 1121C of the Code of Governmental Ethics would prohibit any entity that Ms. Fremin is an officer, director, trustee, partner, or employee of, from assisting providers in a transaction in which she participated while

employed by the Department of Health.

Adopted an advisory opinion in Docket No. 16-1202 concluding that no violation of the Code of Governmental Ethics is presented by Blake Bordelon, an employee of the Department of Environmental Quality (DEQ), Underground Storage Tank and Remediation Division, performing future remediation consulting services at gas tank storage sites, provided he does not receive compensation for services on projects that he participated in while employed by the DEQ. The Board further advised that Section 1121B(1) of the Code of Governmental Ethics would prohibit Mr. Bordelon, for two years from the time of his termination of employment, from contracting directly or indirectly through another company with DEQ to provide the same services. Additionally, Section 1121C of the Code of Governmental Ethics would prohibit any legal entity in which Mr. Bordelon is an officer, director, trustee, partner, or employee from assisting a person in a transaction, or in an appearance in connection with a transaction, in which he participated while employed by DEQ for a period of two years following his termination of public employment.

Declined to render an advisory opinion in Docket No. 16-1204 regarding whether it would be a conflict of interest for David Alvarez to provide services to Einstein Charter Schools through a federal grant while Mr. Alvarez is a candidate in an election to become an Orleans Parish School Board member or serves in such capacity, since the issue is now moot as Mr. Alvarez was unsuccessful in his election.

Adopted an advisory opinion in Docket No. 16-1205 concluding that no violation of the Code of Governmental Ethics is presented by Roxanna Womack continuing her employment with the Denham Springs Police Department while her husband, J. Shannon Womack, serves as Police Chief, since Mrs. Womack has been employed with the Department for more than one year prior to Mr.

Womack becoming the Police Chief. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Mr. Womack from participating in any matter in which Mrs. Womack has a substantial economic interest. Furthermore, a disqualification plan that completely removes Mr. Womack from any responsibility regarding Mrs. Womack's employment must be submitted to the Board for approval.

Allowed the withdrawal of the request for an advisory opinion in Docket No. 16-1237 regarding the eligibility of Michael Brocato, Jr., Operations Manager for the St. Mary Levee District, to run and serve as an elected St. Mary Parish Councilman at large, since Mr. Brocato indicated that he does not intend to run for public office.

Adopted an advisory opinion in Docket No. 16-1239 concluding that Section 1113B of the Code of Governmental Ethics would prohibit Reginald H. Smith, Jr., a board member of the New Orleans Municipal Yacht Harbor Management Corporation, which is a sub-division of the City of New Orleans, from placing his name on a waiting list for a slip lease in anticipation of availability in 2018, since any application submitted to be on the wait list would be a transaction under the supervision or jurisdiction of his board.

Allowed the withdrawal of the request for an advisory opinion in Docket No. 16-1271 regarding Kriss Fortunato's potential employment with SMG within two years of leaving Jefferson Parish Government as a public information officer, since Ms. Fortunato indicated that she is no longer interested in the position with SMG.

Adopted an advisory opinion in Docket No. 16-1272 concluding that if Lee Ann Clement and her husband, Roger Clement, purchase The Banner Democrat, which serves as the official newspaper of record for legal notices and advertisements for the Town of Lake Providence, (1) Section

1111C(2)(d) of the Code of Governmental Ethics would prohibit LeeAnn and Roger Clement from receiving compensation from The Banner while Ms. Clement is employed by the Town, since The Banner has a financial, business and contractual relationship with the Town and since the Town pays the Banner to advertise legal notices; and, (2) Section 1112 of the Code of Governmental Ethics would prohibit LeeAnn Clement, as Town Clerk, from participating in a transaction involving the Town of Lake Providence and The Banner, since she, her husband and their business would have a personal substantial economic interest in the transaction as co-owners of The Banner; and, (3) Section 1113 of the Code of Governmental Ethics would prohibit LeeAnn and Roger Clement, and The Banner, from entering into a contract or transaction with the Town of Lake Providence to provide publication and distribution of legal notices and other Town matters while LeeAnn Clement is employed as the Town Clerk.

Adopted an advisory opinion in Docket No. 16-1273 concluding that Section 1112B(5) of the Code of Governmental Ethics would prohibit Darrin Guidry, an elected member of the Terrebonne Parish Council, from voting on matters in which South Louisiana Bank or its wholly owned company, South Louisiana Financial Services, LLC, has a substantial economic interest at a time when Mr. Guidry has a collateralized loan obligation with South Louisiana Bank. The Board further advised that Section 1120 of the Code of Governmental Ethics provides that Mr. Guidry must recuse himself from voting on any matter in which South Louisiana Bank or its wholly owned company, South Louisiana Financial Services, LLC, has a substantial economic interest, at a time when Mr. Guidry has a collateralized loan obligation with South Louisiana Bank. Nevertheless, Mr. Guidry may participate in discussion and debate concerning the matter, provided that he discloses his conflict or potential conflict on the record of the Council prior to his participation in the discussion

or debate and prior to the vote that is the subject of discussion or debate.

Absent specific information, declined to render an advisory opinion in Docket No. 16-1280 regarding whether the Code of Governmental Ethics would prohibit certain transactions by members of the GB Cooley Hospital Service District Board in Monroe.

Adopted an advisory opinion in Docket No. 16-1296 concluding that no violation of the Code of Governmental Ethics is presented by Arlanda J. Williams, an elected member of the Terrebonne Parish Council, continuing her employment with Gilsbar, LLC, at a time when the company has a contract of insurance with Terrebonne Parish, since Mrs. Williams is a salaried employee of Gilsbar and her salary would not be affected by its contract of insurance with Terrebonne Parish. Furthermore, Mrs. Williams is not an owner, officer, director, trustee, or partner of Gilsbar. As such, her employment meets the exception provided in BD No. 82-02D and she would not be prohibited from continuing her employment with Gilsbar. The Board further advised that Mrs. Williams is required to disclose the income that she receives from Gilsbar, at a time when Gilsbar has a contract of insurance with the Parish, in accordance with Section 1114 of the Code of Governmental Ethics. In addition, Section 1112B(3) of the Code of Governmental Ethics would prohibit Mrs. Williams from voting on matters in which Gilsbar, LLC has a substantial economic interest, at a time when she is employed with Gilsbar. However, after recusing herself from voting on the matter, Mrs. Williams may participate in discussion and debate concerning the matter, provided that she discloses her conflict or potential conflict on the record of the Council prior to her participation in the discussion or debate and prior to the vote that is the subject of discussion or debate. Finally, Section 1113A of the Code of Governmental Ethics would prohibit Mrs. Williams from monitoring employee health insurance claims for the Parish, administering group health benefit enrollments for new Parish

employees, or performing any other duties on behalf of Gilsbar, pursuant to its contract of insurance with Terrebonne Parish.

Adopted an advisory opinion in Docket No. 16-1309 concluding that no violation of the Code of Governmental Ethics is presented by Blake Bedsole from purchasing a 10 acre tract of land from the DeSoto Police Jury while his father, John Bedsole, serves on the E-911 Board, since Blake Bedsole will not be in any way interested in nor entering into a contract or transaction with his father's agency, the E-911 Board.

Approved the disqualification plan submitted in Docket No. 17-002 regarding the employment of Adrienne Oakley at Iowa High/Middle School while her husband, Mike Oakley, serves as its principal, since the disqualification plan as submitted will eliminate any potential violations of Section 1112 of the Code of Governmental Ethics.

Approved the disqualification plan submitted in Docket No. 17-003 regarding the employment of Dielle Barrentine at Sulphur High School while her husband, Robert Barrentine, serves as its principal, since the disqualification plan as submitted will eliminate any potential violations of Section 1112 of the Code of Governmental Ethics.

Approved the disqualification plan submitted in Docket No. 17-004 regarding the employment of Angela Guillory at Oak Park Middle School while her father-in-law, Martin Guillory, serves as its principal, since the disqualification plan as submitted will eliminate any potential violations of Section 1112 of the Code of Governmental Ethics.

Approved the disqualification plan submitted in Docket No. 17-005 regarding the employment of Megan Clemons at Washington-Marion Magnet High School while her mother, Jackie Shelton, serves as its principal, since the disqualification plan as submitted will eliminate any potential

violations of Section 1112 of the Code of Governmental Ethics.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G29-G40 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G29-G40 taking the following action:

In connection with an Answer filed in Docket No. 16-1096 by Larry Rancher, a former member of the Delhi Board of Aldermen, District A, Richland Parish, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Rancher that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1097 by Jimmy Champagne, a former member of the Duson Board of Aldermen, Acadia and Lafayette Parishes, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Champagne that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1099 by Thomas Stewart, former Mayor of the Town of Albany, Livingston Parish, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Stewart that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1100 by Imon Jones, a former member

of the Sabine Parish School Board, District 1, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Jones that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1179 by Kenneth Matassa, Ascension Parish President, in response to a notice of delinquency regarding his failure to amend a 2014 Tier 2 Annual personal financial disclosure statement, instructed the staff to advise Mr. Matassa that his 2014 Tier 2 Annual personal financial disclosure statement is correct since he submitted documentation showing he properly disclosed taxable income as required by the statute.

In connection with an Answer filed in Docket No. 16-1238 by Kirk Boudreaux, a member of the Gonzales City Council, in response to notices of delinquency regarding in lieu of filing an amendment to his personal financial disclosure statements, instructed the staff to advise Mr. Boudreaux that he is not required to file an amendment to his 2014 or 2015 Tier 2 Annual personal financial disclosure statements, since Mr. Boudreaux accurately disclosed the taxable income that he received from the City on his 2014 and 2015 Tier 2 personal financial disclosure statements in accordance with Section 1124.2 of the Code of Governmental Ethics.

In connection with an Answer filed in Docket No. 16-1248 by Paige Melancon, a former member of the Leonville Town Council, St. Landry Parish, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Melancon that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1249 by Anthony Buras, Jr., a former

member of the Plaquemines Parish Council, District 5, in response to a notice of delinquency regarding his failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Mr. Buras that he is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since his term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1254 by Martha Smith, a former member of the Tullos Board of Aldermen, LaSalle Parish, in response to a notice of delinquency regarding her failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Ms. Smith that she is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since her term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1256 by Wilhelmina Pickett, a former member of the Doyline Board of Aldermen, Webster Parish, in response to a notice of delinquency regarding her failure to file a 2015 Tier 3 Annual personal financial disclosure statement, instructed the staff to advise Ms. Pickett that she is not required to file a 2015 Tier 3 Annual personal financial disclosure statement, since her term ended on December 31, 2014.

In connection with an Answer filed in Docket No. 16-1257 by Lynn Giordano, a former member of the Jefferson Parish Housing Authority, in response to a notice of delinquency regarding her failure to file a 2015 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Ms. Giordano that she is required to file a 2015 Tier 2.1 Annual personal financial disclosure statement and that failure to file will result in the assessment of late fees.

In connection with an Answer filed in Docket No. 16-1258 by Willie Doherty, a former member of the Sparta Ground Water Commission, in response to a notice of delinquency regarding his failure to file a 2015 Tier 2.1 Annual personal financial disclosure statement, instructed the staff

to advise Mr. Doherty that he is required to file a 2015 Tier 2.1 Annual personal financial disclosure statement and that failure to file will result in the assessment of late fees.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the December 15-16, 2016 meetings.

The Board considered a proposed consent opinion in Docket No. 15-1124 regarding Helen Tucker and Regan Pyle contracting to perform services for Downsville Charter School while their immediate family members served as a board member and president of Downsville Charter School. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinions in which (1) Regan Pyle agrees that a violation of Section 1113B of the Code of Governmental Ethics occurred by entering into a contract with the Downsville Charter School to provide substitute teaching services to the charter school at a time when her father, Reggie Skains, served as Board President of the Downsville Charter School and in which no fine to is be imposed; and, (2) Helen Tucker agrees that a violation of Section 1113B of the Code of Governmental Ethics occurred by entering into a contract with the Downsville Charter School to provide substitute teaching services to the charter school at a time when her daughter, Vicki Antley, served as a Board Member of the Downsville Charter School and in which no fine to is be imposed.

The Board considered a proposed consent opinion in Docket No. 15-1173 regarding LaToya Jackson, the Executive Director and agency head of Vision Academy Charter School, participating in transactions in which her sister, Bridgette Clark, had a substantial economic interest as the owner of Clark Entities, LLC. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which LaToya Jackson, in her capacity as the Charter School

Director and Executive Director of Learning Solutions, Inc. and the Vision Academy Charter School, agree that a violation of Section 1112B of the Code of Governmental Ethics occurred by participating in transactions - offering, approving, entering into contracts with Clark Entities, LLC, on behalf of the Learning Solutions, Inc./Vision Academy and approving payments by Learning Solutions, Inc./Vision Academy to Clark Entities, LLC - in which her sister, Bridgette Clark, had a substantial economic interest as the sole member/owner of Clark Entities, LLC and in which Ms. Jackson agrees to pay a fine of \$5,000 and that, in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a proposed consent opinion in Docket No. 15-1385 regarding the Louisiana State Troopers Association making campaign contributions in the name of its executive director, David Young, and then later reimbursing him for those contributions. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which (1) David Young, the Executive Director of the Louisiana State Troopers Association (LSTA), agrees that a violation of Section 1505.2A(1) of the Campaign Finance Disclosure Act occurred by making contributions in his name on behalf of the LSTA totaling \$17,500 to John Bel Edwards (\$5,000, \$2,000, \$1,000, \$500 and \$1,000); Cloyce Clark (\$500, \$500); Paula Davis (\$250), Scott A. Angelle (\$2,500); Pete Schneider, III (\$250); Paul Hollis (\$250); Frank A. Hoffman (\$250); Chris Broadwater (\$1,000); John (Jay) Dardenne (\$1,000); Joel Robideaux (\$500, \$500) and the House Democratic Campaign (\$500); and; (2) the Louisiana State Troopers Association (LSTA) agrees that a violation of Section 1505.2A(1) of the Campaign Finance Disclosure Act occurred by making contributions totaling \$17,500 to John Bel Edwards (\$5,000, \$2,000, \$1,000, \$500 and \$1,000); Cloyce Clark

(\$500, \$500); Paula Davis (\$250), Scott A. Angelle (\$2,500); Pete Schneider, III (\$250); Paul Hollis (\$250); Frank A. Hoffman (\$250); Chris Broadwater (\$1,000); John (Jay) Dardenne (\$1,000); Joel Robideaux (\$500, \$500) and the House Democratic Campaign (\$500) in the name of David Young and in which David Young and the Louisiana State Troopers Association agree to pay a joint fine of \$5,000 and that, in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a proposed consent opinion in Docket No. 16-079 regarding Kurt Becnel, a successful candidate for St. John the Baptist Parish Council, District 1 in the October 24, 2015 election, receiving excessive contributions. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Kurt Becnel, the successful candidate for St. John the Baptist Parish Council, District 1 in the October 24, 2015 election, agrees that a violation of Section 1505.2H of the Campaign Finance Disclosure Act occurred by his receipt of \$500 in excessive contributions from A3M Vacuum Services in connection with his campaign for St. John the Baptist Parish Council in the October 24, 2015 election and in which Mr. Becnel agrees to pay a fine of \$500.

The Board considered a request for an advisory opinion in Docket No. 16-910 regarding whether Kirk Quinn, a member of the Cameron Parish Police Jury, District 3, may be employed with Cameron Fisheries when Cameron Fisheries has a lease agreement with the West Cameron Port. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics would be presented by Kirk Quinn being employed by Cameron Fisheries while he serves as a member of the Cameron Parish Police Jury, since the West Cameron Port

Commission is a complete and separate agency from Mr. Kirk's agency, Cameron Parish Police Jury.

The Board considered a request for an advisory opinion in Docket No. 16-1169 regarding the potential appointment of Kenneth Johnson, Jr., an employee of Liberty Bank, to the Success Preparatory Academy Charter School Board. On motion made, seconded and unanimously passed, the Board declined to render an advisory opinion with respect to a charter school being a depository and instructed the staff to refer the matter to the Attorney General's Office.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against candidates and committees included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket No. 16-1277, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-1221 from Vincent Alexander of a \$600 late fee;
Docket No. 16-1268 from Louisiana River Pilots Association of a \$2,000 late fee; and,
Docket No. 16-1278 from Association for LA Public Health Advocates of a \$3,000 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1223 for a waiver of the \$2000, \$2000 and \$2000 late fees assessed against Oddist H. Lambrecht, as a person supporting/opposing a candidate for in the October 24, 2015 election, for filing his 30-P, 10-P and 10-G campaign finance disclosure reports 153, 390, and 361 days late, respectively. On motion made, seconded and unanimously passed, the Board declined to waive the three (3) \$2,000 late fees totaling \$6,000 but suspended \$1,800 of the

\$2,000 late fee with respect to the 30-P campaign finance disclosure report; \$1,800 of the \$2,000 late fee with respect to the 10-P campaign finance disclosure report; and, \$1,800 of the \$2,000 late fee with respect to the 10-G campaign finance disclosure report conditioned upon future compliance with the Campaign Finance Disclosure Act and with the option of a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1269 for a waiver of the \$600 late fee assessed against Lonny Myles, a candidate for City Judge, City Court, City of Zachary in the November 8, 2016 election, for filing his 30-P campaign finance disclosure report 27 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1270 for a waiver of the \$100 late fee assessed against Dennis W. Moore, a candidate for Judge, Criminal District Court, Section D, in the November 8, 2016 election, for filing his 10-P campaign finance disclosure report 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1274 for a waiver of the \$240 late fee assessed against Kennedy Ugbo, a candidate for Councilman, Metro District 9 in the November 8, 2016 election, for filing his 10-P campaign finance disclosure report 4 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended \$40 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made

within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1275 for a waiver of the \$2,000 late fee assessed against Blake Miguez, a candidate for State Representative, District 49 in the October 24, 2015 election, for failure to file his 2015 Supplemental campaign finance disclosure report but whose 2015 Annual campaign finance disclosure report was filed on November 2, 2016. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee but suspended \$1,700 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1276 for a waiver of the \$400 late fee assessed against Eric Turner, a candidate for Marshal, Town of Farmerville, in the November 8, 2016, for filing his 10-P campaign finance disclosure report 15 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended \$300 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

Chairman McAnelly vacated the Chair. Vice Chairman Michiels assumed the Chair.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-1277 for a waiver of the \$480 late fee assessed against East Baton Rouge Parish Library PAC Chairperson Emilie Smart, and Treasurer, Jo Ellen Kearny, for the 10/24/2015 Proposition Election, for filing the Special (48 Hour) campaign finance disclosure report

12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$480 late fee. Board Member McAnelly recused himself.

Chairman McAnelly resumed the Chair.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Lobbyist Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Lobbyist Waiver Chart taking the following action:

The Board considered a request in Docket No. 16-1198 for a waiver of the \$1,500 late fee assessed against Deidra Jackson for her failure to timely file a Lobbyist Supplemental Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 16-1265 for a waiver of the \$800 late fee assessed against Barry Goldwater, Jr., for the late filing of the September 2016 Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board waived the \$800 late fee, since it was Mr. Goldwater’s first late filing.

The Board considered a request in Docket No. 16-1266 for a waiver of the \$1,500 and \$450 late fees assessed against Jeremy Shane Spillman for the late filing of the July and August 2016 Lobbying Expenditure Reports, respectively. On motion made, seconded and unanimously passed, the Board rescinded the \$1,500 late fee in connection with the July report based on Rule 1205B(3) and declined to waive the \$450 late fee in connection with the August report.

The Board considered a request in Docket No. 16-1267 for a waiver of the \$50 late fee assessed against Michael Paternostro for the late filing of the July 2016 Lobbying Expenditure

Report. On motion made, seconded and unanimously passed, the Board rescinded the \$50 late fee based on Rule 1205B(3).

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-960 from William Maddox of a \$2,500 late fee and advise that the Board will not reconsider until PFD has been filed;
Docket No. 16-1246 from Chris Guedry of a \$250 late fee;
Docket No. 16-1247 from Jean Celestin of a \$300 late fee;
Docket No. 16-1251 from Patrick Williams of a \$200 late fee;
Docket No. 16-1253 from Bryan Bertucci of a \$600 late fee;
Docket No. 16-1255 from Elliot Jordan of a \$250 late fee;
Docket No. 16-1259 from James Hoof of a \$1,500 late fee and advise that the Board will not reconsider until PFD has been filed; and,
Docket No. 16-1263 from Roger Shoemaker of a \$200 late fee.

The Board considered a request in Docket No. 16-1224 for a waiver of the \$1,500 late fee assessed against Timmy Thomas Hymel, a member of the Morgan City, City Council, St. Mary Parish, for filing his amended 2015 Tier 3 Annual personal financial disclosure statement 34 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1225 for a waiver of the \$600 late fee

assessed against Jackie M. Womack, a member of the Merryville Board of Aldermen, Beauregard Parish, for filing her 2015 Tier 3 Annual personal financial disclosure statement 12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1226 for a waiver of the \$50 late fee assessed against Carrie Phillips, a member of the Lake Bullard Homeowners Improvement District, for filing her 2015 Tier 2.1 Annual personal financial disclosure statement 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the \$50 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1250 for a waiver of the \$100 late fee assessed against Richard Paul Myers, a former member of the Mermentau River Harbor and Terminal District, for filing his 2015 Tier 2.1 Annual personal financial disclosure statement 2 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1252 for a waiver of the \$100 late fee assessed against Jeffery Wayne Elmore, a former member of the State Board of Commerce and Industry, for filing his 2015 Tier 2.1 Annual personal financial disclosure statement 2 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1260 for a waiver of the \$1,500 late fee assessed against David L. Barton, a former member of the Richland Parish School Board , District 9, for filing his 2015 Tier 3 Annual personal financial disclosure statement 29 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1261 for a waiver of the \$200 late fee assessed against Justin Kyle Cox, a member of the Pointe Coupee Parish Police Jury, District 11,for filing his 2015 Tier 3 Annual personal financial disclosure statement 4 days late. On motion made, seconded and unanimously passed, the Board waived the \$200 late fee.

The Board considered a request in Docket No. 16-1262 for a waiver request of the \$150 late fee assessed against Martha Viguerie Thibodeaux, a former member of the South Louisiana Discovery Center Commission, for filing her 2015 Tier 2.1 Annual personal financial disclosure statement 3 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$150 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-1264 for a waiver request of the \$50 late fee assessed against Arthur C. "Boots" Hackney, an unsuccessful candidate for the Farmerville Board of Aldermen, District B, Union Parish in the November 2016 election, for filing his amended 2015 Tier 3 Candidate personal financial disclosure statement 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the \$50 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request for reconsideration in Docket No. 16-635 for a waiver of the \$1,500 late fee assessed against Benjamin E. Bowie, a former member of the Lafayette Downtown Development District, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 273 days late. On motion made, seconded and unanimously passed, the Board affirmed its prior decision to decline to waive the \$1,500 late fee.

The Board recessed at 10:37 a.m. and resumed back into general business session at 10:50 a.m.

Ms. Allen provided an overview of suggestions to propose legislation for consideration during the 2017 Regular Legislative Session. Following discussion of the suggested proposals, on motion made, seconded and unanimously passed, the Board (1) instructed the staff to send a letter to the chairmen of the House and Senate Governmental Affairs Committees to advise them that the Board would like to undertake a study of the personal financial disclosure and ethics provisions and possible modifications to campaign finance provisions and that the Board would appreciate their participation in such study; and, (2) agreed to suggest that 42:1123(36) be amended to apply to any future events or provisions that are covered in an executive order by a declaration of a state emergency by the governor to allow public employees to receive contributions/donations to a specific group of public employees.

The Board unanimously adjourned at 11:57 a.m.

Secretary

APPROVED:

Chairman