

LOUISIANA BOARD OF ETHICS
MINUTES
June 5, 2020

The Board of Ethics met on June 5, 2020 at 10:12 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Couvillon, Grand, Lavastida, McAnelly, Meinert, and Smith present. Board Members Bruneau, Colomb, and Dittmer were not present at 10:12 roll call. Board Members Leggio and Roberts were absent. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Carolyn Abadie Landry; and Counsel Tracy Barker, Brandi Barze, Latoya Jordan, Suzanne Mooney, Charles Reeves and Greg Thibodeaux.

Ms. Allen administered the Oath of Office to appointed Board Members Sarah Couvillon and Reverend Jose Lavastida.

Board Member Bruneau arrived to the meeting at 10:17 a.m.

Board Member Colomb arrived to the meeting at 10:18 a.m.

Mr. R. Gray Sexton, attorney for Sybil Haydel Morial, with the New Orleans Science and Mathematics Charter School, appeared before the Board in connection with a request in Docket No. 19-1127 to waive a \$1,500 late fee assessed for filing her 2018 Tier 3 Annual personal financial disclosure statement. After hearing from Mr. Sexton and Ms. Morial, on motion made, seconded and unanimously passed, the Board waived the late fee.

On motion made, seconded and unanimously passed, the Board considered an untimely request in Docket No. 20-054 submitted by Phyllis L. Miller, with the Grambling City Council, Lincoln Parish, regarding a \$1,500 late fee assessed for filing her 2018 Tier 3 Annual personal financial disclosure statement 77 days late. After hearing from Phyllis L. Miller, on motion made, seconded and unanimously passed, the Board suspended all but \$200 based on future compliance

with the reporting requirements under the Louisiana Code of Governmental Ethics and to offer a payment plan.

Board Member Dittmer arrived to the meeting at 10:37 a.m.

Mark Pope, a candidate for City Council Member, District 1, City of Lafayette, Lafayette Parish, in the October 12, 2019 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-091 for the Board to reconsider its decision to decline to waive a \$560 campaign finance late fee for filing his 10-P campaign finance disclosure report 14 days late. After hearing from Mr. Pope, on motion made, seconded and unanimously passed, the Board suspended all of the late fee based on future compliance.

Mr. Ricky J. Gonsoulin, a candidate for State Representative, 48th District in the October 12, 2019 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-187 for a waiver of the \$420, \$540, \$480 and \$660 campaign finance late fees assessed against him for filing his 10-G, 40-G and 2 Special campaign finance disclosure reports 7, 9, 8 and 11 days late, respectively. Board member Lavastida stepped out at 10:45 a.m. and returned at 10:48 a.m. After hearing from Mr. Gonsoulin, on motion made, seconded and unanimously passed, the Board waived all late fees for 10-G, 40-G, and one Special report and suspended all but \$200 based on future compliance for the Special report that was 11 days late. The late fee must be paid within 30 days or the suspended portion becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure and in connection with request in Docket No. 20-194, submitted by Kyle “Hoot” Parker, a candidate for Councilman, District 2, Livingston Parish, in the October 12, 2019 election, regarding a \$200

campaign finance late fee assessed for filing his 10-P Campaign finance disclosure report 5 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$200 campaign finance late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure and in connection with a request in Docket No. 20-197, submitted by Michael Brister, a candidate for Police Juror, District 4, Winn Parish, in the October 12, 2019 election, regarding a \$400 campaign finance late fee assessed for filing his 10-P campaign finance disclosure report 26 days late. On motion made, seconded and unanimously passed, the Board deferred to the July Board meeting.

In connection with a request in Docket No. 20-204, submitted by William Mertz, a candidate for Plaquemines Parish School Board, in the November 6, 2018 election, regarding a \$1,500 late fee assessed for filing his 2017 Tier 3 Personal Finance Disclosure statement 499 days late. On motion made, seconded and unanimously passed, the Board deferred to the July Board meeting.

Mr. Bob Fisher, a candidate for Councilman, District 6, St. Charles Parish, in the October 12, 2019 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-260 for a waiver of the \$200 campaign finance late fees assessed against him for filing his Special campaign finance disclosure report 5 days late. After hearing from Mr. Fisher, on motion made, seconded and unanimously passed, the Board suspended all but \$100 based on future compliance. The late fee must be paid within 30 days or the suspended portion becomes due and owing.

Mr. Shalon Latour, a candidate for State Representative, 37th Representative District, in the October 12, 2019 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-268

for a waiver of \$420 and \$2,000 campaign finance late fees assessed against him for filing his 10-P & 10-G campaign finance disclosure reports 7 & 40 days late, respectively. Board member Grand stepped out at 10:57 a.m. and returned at 10:59 a.m. Board member Bruneau stepped out at 10:59 a.m. and returned at 11:03 a.m. Board member Dittmer stepped out at 11:07 a.m. and was not present for the vote. After hearing from Mr. Latour, on motion made, seconded and unanimously passed, the Board suspended all but \$200 for both the 10-P and 10-G reports. The late fees must be paid within 30 days or the suspended portion becomes due and owing.

In connection with a Personal financial disclosure answer in Docket No. 20-300, filed by Joseph Piconne, III, member of the Law Enforcement Officers and Fireman's Survivor Benefits Review Board. On motion made, seconded and unanimously passed, the Board deferred until the August Meeting. Board member Dittmer was not present for the vote.

Mr. Kevin M. Cavell, chairperson, Central Louisiana Action Committee in Rapides Parish, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-330 for a waiver of a \$3,000 campaign finance late fee assessed against Central Louisiana Action Committee in Rapides Parish, a political committee, whose Special campaign finance disclosure report was not filed. Board member Dittmer was not present for the vote. After hearing from Mr. Cavell, on motion made, seconded and unanimously passed, the Board suspended all but \$500 based on future compliance.

Anthony Duplechin appeared before the Board in a request for an advisory opinion in Docket No. 20-372 regarding whether the commissioners of the Capital Area Groundwater Conservation District are "public employees" under the Louisiana Code of Governmental Ethics. Board member Dittmer was not present for the vote. On motion made, seconded and

unanimously passed, the Board advised that the commissioners appear to fall squarely in the definition of "public employee" under Section 1102(18)(a)(ii) of the Louisiana Code of Governmental Ethics as they are appointed by the governor to serve a governmental entity. The issue as to whether the composition of the commission is in compliance with Section 1111(C)(2)(d) of the Louisiana Code of Governmental Ethics involves past conduct and the Board will not issue advisory opinions involving past conduct.

Mack Cormier, a candidate for State Representative, 105th Representative District, in the October 12, 2019 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 20-383 for a waiver of \$1,380 and two \$780 campaign finance late fees assessed against Mr. Cormier for filing his 10-G, EDE-G and 40-G campaign finance disclosure reports 23, 13 and 13 days late, respectively. Board member Lavastida left at 11:43 a.m. and returned at 11:44 a.m. Board member Dittmer was not present for the vote. After hearing from Mr. Cormier, on motion made, seconded and unanimously made, the Board suspended all but \$200 on each of the 10-G, EDE-G, and 40-G late fees based on future compliance.

Board member Dittmer returned at 11:45 a.m. Board member Meinert left at 11:45 a.m. and returned at 11:46 a.m.

On motion made, seconded and unanimously passed, the Board added Docket No. 20-174 to the agenda. Mrs. Viason appeared before the Board to request the Board reconsider Docket No. 20-174. After hearing from Mrs. Viason, on motion made, seconded and unanimously passed, the Board suspended all but \$100 on both late fees.

The board recessed at 11:55 a.m. and resumed back into general business session at 12:43 p.m.

Board member Colombe left at 12:43 p.m. and returned at 12:45 p.m.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G15- G26 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G15-G26, excluding items G17, G21, G22, and G23 taking the following action:

Declined to render an opinion in Docket No. 19-769 until staff can reach Ms. Petry and request the submission of additional information in order to have an opinion rendered by the Board.

Adopted an advisory opinion in Docket No. 20-359 concluding Section 1121B(1) of the Code of Governmental Ethics would prohibit Ms. Mouton from assisting another person, for compensation, in a transaction, or in an appearance in connection with a transaction in which she participated at any time during her public employment for a period of two years following termination of her public employment, or until January 2021. However, the Code of Governmental Ethics would not prohibit her from participating as Senior Scientist or Project Manager for CE Hydro, LLC on any future projects with CPRA or any projects that Ms. Mouton did not work on while employed by CPRA.

Adopted an advisory opinion in Docket No. 20-362 concluding that Section 1113A of the Code of Governmental Ethics would not prohibit Louisiana State Board of Medical Examiners (LSBME) from entering into a contract for legal services with the law firm of Jones Walker, provided Dr. Vincent Cullota's son, Jason Culotta, does not participate in any transaction with LSMBE. However, Jason Cullota will have to file a disclosure under Section 1114 of the Code of Governmental Ethics annually while the contract is in place.

Adopted an advisory opinion in Docket No. 20-364 concluding that Imperial Calcasieu

Regional Planning Commission is a quasi-public entity, that is a regional planning commission authorized by La. R.S. 33:131 et seq. La. R.S. 33:134 which explicitly follows the conditions of civil service work on the hiring, firing, promotion, etc. of commission staff. Accordingly, Section 1121(A)(2) of the Code of Governmental Ethics would prevent the hiring of Ms. Knowlton.

Adopted an advisory opinion in Docket No. 20-365 concluding the Code of Governmental Ethics will not prohibit Mr. Phil Ashurst from being employed by Populous, Inc. immediately after his termination of public employment with the Cajundome Commission. Further, the Code of Governmental Ethics will not prohibit Populous, Inc. from doing business with the Cajundome Commission. However, pursuant to Section 1102(3) of the Code of Governmental Ethics, Mr. Ashurst is considered an "agency head" because of the supervision he exercised over the agency. As such, Section 1121A of the Code of Governmental Ethics prohibits Mr. Ashurst from assisting Populous, Inc. in any matter or transaction involving his former agency, Cajundome Commission, for a period of two years from the date of his public employment termination. Further, Section 1121D of the Code of Governmental Ethics will prohibit him from sharing in any compensation received by another person for assistance in which he is prohibited from rendering.

Adopted an advisory opinion in Docket No. 20-370 concluding that the Code of Governmental Ethics would not prohibit Mr. Christian M. Miltenberger from managing, overseeing, or otherwise, working on the account of another LSU System institution. His former public agency is LSUHSC and, as such, all prohibitions outlined in Section 1121 of the Code of Governmental Ethics apply only to LSUHSC. Should any other LSU system institution or entity - where he was not employed - become a Varonis Systems' customer, he would not be prohibited from managing that account.

Adopted an advisory opinion in Docket No. 20-371 concluding that Bruce Danner's

participation as Town of Madisonville's Prosecutor does not violate the Code of Ethics in that neither he nor any person of which he is associated has a substantial economic interest in the prosecution of a resident for violation of a signage ordinance. However, Mr. Danner should be directed to the Office of the Disciplinary Counsel should he feel that his prosecution presents a conflict of interest.

Adopted an advisory opinion in Docket No. 20-422 concluding that the members of the Infrastructure Advisory Board would not be required to file annual personal financial disclosure statements pursuant to Section 1124.2.1 of the Code of Governmental Ethics since the Infrastructure Advisory Board does not have the authority to expend, disburse, or invest \$10,000 or more in funds in a fiscal year.

On motion made, seconded and unanimously passed, the Board adopted an advisory opinion in Docket No. 20-360 concluding that Section 1113(A)(1)(a) of the Code of Governmental Ethics would prevent the doctor (an employee) from entering into a lease with the Richardson Medical Center/Richland Parish Hospital Service District No. 1B.

On motion made, seconded and unanimously passed, the Board deferred the request for an advisory opinion in Docket No. 20-366 to the July meeting.

Chairman McAnelly recused himself from consideration of Docket No. 20-368 and vacated the Chair. Board member Dittmer assumed the Chair.

On motion made, seconded and unanimously passed, the Board adopted an advisory opinion in Docket No. 20-368 concluding that the Code of Governmental Ethics would not prohibit Deloitte from responding to requests for proposals or entering contracts with his agency. However, Mr. Patrick Forbes is prohibited from receiving any thing of economic value for or in consideration of services rendered or to be rendered to a person who has or is seeking to have a business,

financial, or contractual relationship with the agency. Thus, Mr. Forbes would be prohibited from receiving a thing of economic by virtue of his wife's compensation for services rendered to Deloitte while Deloitte is seeking to have or has any contractual, business or financial relationship with the Office of Community Development.

Board member Dittmer vacated the Chair and Chairman McAnelly resumed the Chair.

On motion made, seconded and unanimously passed, the Board adopted an advisory opinion in Docket No. 20-369 concluding that Code of Governmental Ethics prohibits a public servant or member of the public servant's immediate family, or legal entity in which he has a controlling interest from bidding on or entering into any contract, subcontract, or other transaction that is under the supervision or jurisdiction of the agency of such public servant. TEDA is under the authority of the councilman, as it is an entity created by the parish. Further, TPCG provides budgeting for TEDA. As such, if John Navy were to file the application for the grant, John Navy would be entering into a transaction under the supervision of his agency. Section 1111.1 of the Code of Governmental Ethics may provide a limited exception to the general prohibition on the solicitation and acceptance of gifts. However, it does not create a loophole whereby John Navy is allowed to enter into a transaction under the supervision of his agency.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G27- G30 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G27-G30, taking the following action:

In connection with a personal financial disclosure answer filed in Docket No. 20-361, the Board advised that members of the Louisiana Board of River Pilot Commissioners and

Examiners are not required to file annual personal financial disclosure statements since the commission does not have the authority to expend, disburse or invest \$10,000 or more in funds during a fiscal year.

In connection with a personal financial disclosure answer filed in Docket No. 20-398, the Board advised Allan Warren and all members of the Cypress Black Bayou Recreation and Water Conservation District that they are required to file Annual personal financial disclosure statement under Section 1124.2.1(D)(1)(a)(ii) of the Code of Governmental Ethics.

In connection with a personal financial disclosure answer filed in Docket No. 20-399, the Board advised Walter Bigby, Jr. and all members of the Cypress Black Bayou Recreation and Water Conservation District that they are required to file Annual personal financial disclosure statement under Section 1124.2.1(D)(1)(a)(ii) of the Code of Governmental Ethics.

In connection with a personal financial disclosure answer filed in Docket No. 20-400, the Board rescinded the late fee for Dr. Gerald Stell, Webster Parish Coroner, since the extension request has since been filed on the appropriate form.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the May 8, 2020 meeting.

The Board considered a proposed consent opinion in Docket No. 17-652 regarding Daniel Eittman, former Band Director at Northshore High School in Slidell. On motion made, seconded and unanimously passed, the Board dismissed charges and adopted for publication the consent opinion in which Daniel Eitmann agrees to a violation of Section 1111A of the Code of Governmental Ethics with a \$1,500 civil penalty. Also, the Board executed a Confession of

Judgment and payment plan. Board member Colomb stepped out at 1:05 p.m. and was not present for the vote.

Board member Colomb returned at 1:07 p.m.

The Board considered an advisory opinion in Docket No. 20-363 regarding whether the Code of Governmental Ethics would prohibit Jamie Boudreaux's daughter, Jolie Boudreaux, from becoming the Head Librarian for Cameron Parish while he serves on the Cameron Parish Library Board. On motion made, seconded and unanimously passed, the Board advised that the Code of Governmental Ethics prohibits Mr. Jamie Boudreaux's immediate family member, Jolie Boudreaux, from serving as the Head Librarian while he serves on the Cameron Parish Library Board. Going from a part time employee at the Grand Lake Branch to Head Librarian over six branches of libraries is not a normal promotional advancement and therefore the exception in Section 1119C of the Code of Governmental Ethics would not apply. However, there would be no prohibition to Jolie's employment as Head Librarian once Mr. Boudreaux no longer serves on the Library Board.

In connection with a request for an advisory opinion in Docket No. 20-367, on motion made, seconded and unanimously passed, the Board deferred the opinion until the July Board meeting.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against candidates and committees included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 20-

382, and 20-391, taking the following action:

The Board unanimously reduced to \$600 the late fees assessed against the following:

Docket No. 20-376 from John Neal, 10-G of a \$1,800 late fee;

The Board unanimously suspended all but \$100, based on future compliance and payment within 30 days, the late fees assessed against the following:

Docket No. 20-378 from Ricky McPhearson, 40-G of a \$1,000 late fee;

The Board unanimously suspended all but \$200, based on future compliance, the late fees assessed against the following:

Docket No. 20-379 from Britton Carroll, 10-P of a \$2,000 late fee reduced to \$600;

Docket No. 20-379 from Britton Carroll, 10-G of a \$2,000 late fee reduced to \$600;

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 20-384 from LaKeisha J. Johnson, 10-G of a \$540 late fee;

Docket No. 20-387 from Leadership for Louisiana, 40-G of a \$2,000 late fee;

Docket No. 20-392 from Robert McKnight, 10-G of a \$480 late fee; and,

Docket No. 20-394 from Barry Dale Hardy, 10-G of a \$320 late fee;

The Board unanimously suspended all but \$500, based on future compliance and payment within 30 days, the late fees assessed against the following:

Docket No. 20-385 from United Food & Commercial Workers International Union Active Ballot Club, 10-G of a \$3,000 late fee;

The Board unanimously suspended all but \$200, based on future compliance and payment within 30 days, the late fees assessed against the following:

Docket No. 20-386 from John Richmond, Special of a \$960 late fee;

Docket No. 20-397 from Thomas Rodrigue, 10-G of a \$480 late fee;

The Board unanimously suspended all but \$360, based on future compliance and payment within 30 days, the late fees assessed against the following:

Docket No. 20-395 from Renee Hoffpauir-Klan, 10-G of a \$2,000 late fee reduced to \$688;

The Board unanimously suspended all but \$420, based on future compliance and payment within 30 days, the late fees assessed against the following:

Docket No. 20-396 from Rickey A. Jones, EDE-P of a \$2,000 late fee reduced to \$1,200.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 20-382 for a waiver of the \$1,000 late fee that was previously reduced to \$400 and assessed against McKinley “Pop” Keller, candidate for Police Juror, District 6, Avoyelles Parish in the October 12, 2019 election, whose 10-G campaign finance disclosure report was filed 102 days late. On motion made, seconded and unanimously passed, the Board suspended all but \$160 late fee based on future compliance and payment within 30 days.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 20-391 for a waiver of the \$480 late fee assessed against Robert H. “Bob” Titus, candidate for State Representative, 96th District, in the October 12, 2019 election, for filing his 10-G campaign finance disclosure report 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive \$480 late fee.

Chair McAnelly requested that Kathleen Allen bring a campaign packet to show all board members at the next meeting.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against lobbyists contained in the Lobbying Waiver Request Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Lobbying waiver chart taking the following action:

The Board unanimously waived the \$800 late fee in Docket No. 20-252 assessed against Chester Stroyny for filing his 2020 lobbying registration 16 days late since this is Mr. Stroyny’s first late filing.

The Board unanimously waived the \$1,500 late fee in Docket No. 20-253 assessed against Worth Hal Thomas for filing his 2020 lobbying registration 47 days late since this is Mr. Thomas’

first late filing.

The Board unanimously waived the \$1,500 late fee in Docket No. 20-373 assessed against Saleem Baird for filing his 2020 lobbying registration 47 days late since this is Mr. Baird's first late filing.

The Board unanimously suspended all based on future compliance regarding the \$1,500 late fee in Docket No. 20-374 assessed against Jason Woodsby for filing his 2020 lobbying registration 37 days late.

The Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 20-411 and 20-415 taking the following action:

The Board unanimously suspended all based on future compliance with the reporting requirements under the Code of Governmental Ethics the late fees assessed against the following:

Docket No. 20-401 Mandrel Pansy, Sr., 2018 Tier 2.1, 5 days late of a \$250 late fee;
Docket No. 20-403 Tangeyon Wall, 2018 Tier 2.1, 2 days late of a \$100 late fee;
Docket No. 20-406 Jackelyn Gallo, 2017 Tier 2.1, 139 days late of a \$1,500 late fee; and
Docket No. 20-277 Deryle Mattison, 2018 Tier 2.1, 12 days late of a \$50 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 20-405 Lennie Raley, 2017 Tier 3, 84 days late of a \$1,500 late fee.

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 20-408 Alvin Pearson Jr., 2018 Tier 3, not filed of a \$1,500 late fee;
Docket No. 20-409 Robin Parker, 2017 Tier 3, 103 days late of a \$1,500 late fee; and
Docket No. 20-420 Joe Walker Jr., 2018 Tier 3, 11 days late of a \$550 late fee.

The Board unanimously suspended all but \$1,000 based on future compliance with the reporting requirements under the Code of Governmental Ethics. The \$1,000 is payable within 30 days and if payment is not received within 30 days, the full amount of the late fee becomes due and owing of the late fees assessed against the following:

Docket No. 20-413 Stephanie Savoy, 2017 Tier 3, 557 days late of a \$1,500 late fee.

The Board unanimously declined to waive and offer a payment plan of the late fees assessed against the following:

Docket No. 20-414 Travis Darnell, 2018 Tier 2, 3 days late of a \$300 late fee.

The Board considered a request in Docket No. 20-411 for a waiver of the \$1,500 late fee assessed against Emily Bellaci, former member, Behavior Analyst Board, for filing her 2017 Tier 2.1 Annual personal financial disclosure statement 137 days late. On motion made, seconded and unanimously passed, the Board suspended all but \$450 based on future compliance with the reporting requirements under the Code of Governmental Ethics. The \$450 is payable within 30 days and if payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board considered a request in Docket No. 20-415 for a waiver of the \$1,500 late fee assessed against Earl Graves, former member, St. Tammany Parish Recreation District #7, for filing his 2017 Tier 2.1 Annual personal financial disclosure statement 331 days late. On motion made, seconded and unanimously passed, the Board suspended all but \$1050 based on future compliance with the reporting requirements under the Code of Governmental Ethics. The \$1050 is payable within 30 days and if payment is not received within 30 days, the full amount of the late fee becomes due and owing.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Hospital Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Hospital Disclosure Waiver Chart, taking the following action:

The Board unanimously waived the late fees assessed against the following:

Docket No. 20-417 Dr. Jose Mata, 2020 Hospital Disclosure, 14 days late of a \$700 late fee;

Docket No. 20-418 Roland Louis, 2020 Hospital Disclosure, 14 days late of a \$700 late fee; and

Docket No. 20-419 Dr. Kurt O'Brien, 2020 Hospital Disclosure, 14 days late of a \$700 late fee.

The Board unanimously agreed to take action on the requests for “untimely” waivers of late fees assessed against individuals contained in the untimely waiver requests en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the untimely waiver requests, taking the following action:

The Board unanimously declined to consider the untimely waiver against the following:

Docket No. 20-404 Gary Warford, 2017 & 2018 Tier 3, 566 & 105 days late of two \$1,500 late fees; and

Docket No. 20-410 John Brown, Sr., 2018 amended Tier 2, 19 days late of a \$1,900 late fee.

On motion made, seconded and unanimously passed, the Board adopted the general supplemental agenda.

On motion made, seconded and unanimously passed, the Board moved to discuss General supplemental agenda after the Executive agenda.

The Board unanimously resolved into executive session.

EXECUTIVE SESSION

The Board unanimously resolved into general business session.

After adoption of the general summary agenda, the Board considered the following general supplemental business agenda items:

On motion made, seconded and unanimously passed, the Board closed the file and dismissed charges in Docket No. 18-085 regarding charges issued against Dan Klein.

In connection with an investigation report in Docket No. 19-021 regarding Sara Hall not taking the Ethics training. On motion made, seconded and unanimously passed, the Board closed the file with a letter of caution and dismissed the charges since Ms. Hall completed the training and has moved to Mississippi.

Ms. Allen provided a 2020 Regular Legislative Session packets for all Board members. Ms. Allen highlighted the four bills that will be sent to the Governor and they are as follows: HB81, HB784, SB155, and SB238.

On motion made, seconded and unanimously passed, the Board unanimously adjourned at 3:55 P.M.

Secretary

Chairman