

LOUISIANA BOARD OF ETHICS
MINUTES
May 19, 2016

The Board of Ethics met on May 19, 2016 at 2:30 p.m. in the Griffon Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Alston, Bruneau, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Monroe, Shaddock, Shelton and Smith present. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Jennifer Land, Suzanne Mooney, Brett Robinson and Haley Williams.

Chairman Monroe announced to the Board that Ms. Elizabeth Alston and Mr. Liddell Smith were recently appointed to the Board and that the selection of a new vice-chairman would be deferred. Chairman Monroe also read a note to the Board members from former Board Member Larzelere.

Ms. Allen administered the Oath of Office to newly appointed Board Members Elizabeth Alston and Liddell Smith.

The Board unanimously resolved into executive session to consider complaints and reports deemed confidential pursuant to Section 1141 of the Code of Governmental Ethics.

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EXECUTIVE BUSINESS

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The Board unanimously resolved into general business session and adjourned at 5:02 p.m.

Secretary

APPROVED:

Chairman

LOUISIANA BOARD OF ETHICS
MINUTES
May 20, 2016

The Board of Ethics met on May 20, 2016 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Alston, Bruneau, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Monroe, Shaddock, Shelton and Smith present. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Deborah Grier; and Counsel Tracy Barker, Jennifer Land, Brett Robinson and Haley Williams.

Mr. Donald J. Domino, a member of the St. Mary Parish Tourist Commission, appeared before the Board in connection with a request for reconsideration in Docket No. 15-1414 for a waiver of the \$1,500 late fee assessed against him for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 157days late. After hearing from Mr. Domino, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,000 conditioned upon future compliance with the Code of Governmental Ethics.

Mr. Brian E. Lawlor, a former member of the New Orleans Workforce Investment Board, appeared before the Board in connection with a request in Docket No. 16-086 for a waiver of the \$1,500 late fee assessed against him for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 86 days late. After hearing from Mr. Lawlor, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$750 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

Ms. Carllene B. MacMillan, a member of the State Board of Nursing, appeared before the

Board in connection with a request in Docket No. 16-161 for a waiver of the \$1,500 late fee assessed against her for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 151 days late. After hearing from Ms. MacMillan, on motion made, seconded and passed by a vote of 10 yeas by Board Members Alston, Bruneau, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Shaddock, Shelton and Smith and 1 nay by Board Member Monroe, the Board waived the \$1,500 late fee.

Mr. William Stoudt, a member of the Volunteer Louisiana Commission, appeared before the Board in connection with a request in Docket No. 16-168 for a waiver of the \$1,500 late fee assessed against him for filing his 2013 Tier 2.1 Annual personal financial disclosure statement 210 days late. After hearing from Mr. Stoudt, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing. Board Member Bruneau recused himself.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-206 for a waiver of the \$800 late fee assessed against Wendell C. Bogan, a candidate for St. Mary Parish Council, District 3 in the October 24, 2015 election, for filing his 10-P campaign finance disclosure report filed 20 days late. On motion made, seconded and unanimously passed, the Board deferred the matter to the June meeting.

Ms. Stephanie Robinson Clarke, a former member of the West Feliciana Parish Tourist Commission, appeared before the Board in connection with a request in Docket No. 16-344 for a waiver of the \$1,500 late fee assessed against her for filing her 2013 Tier 2.1 Annual personal financial disclosure statement 369 days late. After hearing from Ms. Clarke, on motion made,

seconded and passed by a vote of 10 yeas by Board Members Alston, Bruneau, Ingrassia, Lavastida, Leggio, McAnelly, Michiels, Shaddock, Shelton and Smith and 1 nay by Board Member Monroe, the Board waived the \$1,500 late fee.

Ms. Antoinette Williams, a candidate for Plaquemine City Marshal in the November 4, 2014 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 16-359 for a waiver of the \$2,000 and \$2,000 late fees assessed against her for filing her EDE-P and 10-G campaign finance disclosure 416 and 404 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred consideration of the request until later in the meeting.

Mr. Gene Dawson appeared on behalf of Mel Percy, a candidate for West Feliciana Parish Council in the October 24, 2015 election, before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 16-363 for a waiver of the \$280 late fee assessed against him for filing his 10-G campaign finance disclosure 7 days late. After hearing from Mr. Dawson, on motion made, seconded and unanimously passed, the Board declined to waive the \$280 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

The Board recessed at 10:11 a.m. and resumed back into general business session at 10:21 a.m.

Mr. Thomas Chamberlain appeared before the Board in connection with a request in Docket No. 16-387 for a waiver of the \$650 late fee assessed against him for failure to timely file a January 2016 Executive Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board temporarily deferred consideration of the request until later in the meeting.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-411 for a waiver of the \$900 and \$420 late fees assessed against Jim Wise, a candidate for Vernon Parish Clerk of Court in the October 24, 2015 election, for filing his 10-P and 10-G campaign finance disclosure reports 15 and 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$900 late fee with respect to the 10-P campaign finance disclosure report but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act and declined to waive the \$420 late fee with respect to the 10-G campaign finance disclosure report.

The Board considered a request for the withdrawal of a request for an advisory opinion in Docket No. 16-416 regarding whether Gerald Burns can participate, as a board member for the Livingston Parish Sewer District No. 1, in matters involving the Sela Gardens Sewer Plant. On motion made, seconded and unanimously passed, the Board allowed the withdrawal of the request for the advisory opinion.

The Board considered a request in Docket No. 16-423 for a waiver of the \$1,500 late fee assessed against Brenda J. Swanigan, a board member of the Inspire Charter Academy, for filing her 2013 Tier 3 Annual personal financial disclosure statement 204 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$750 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request for an advisory opinion in Docket No. 16-431 regarding whether Blake Cooper, Executive Director of the Central Louisiana Regional Port, may receive

complimentary travel and lodging to attend an education trip to Israel from the American Israel Education Foundation (AIEF) which is affiliated with the American Israel Public Affairs Committee (AIPAC). On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics is presented by Mr. Cooper receive complimentary travel and lodging to attend an education trip to Israel from the AIEF to attend an educational seminar on U.S.-Israel relations in Israel while he serves as the Executive Director of the Louisiana Regional Port, since the invitation for Mr. Cooper to participate in the trip was not influenced in any way by his service as the Executive Director of the Louisiana Regional Port. In addition, neither the American Israel Public Affairs Committee (AIPAC) nor the AIEF has a contractual or other business or financial relationship with the Louisiana Regional Port.

Mr. Thomas Chamberlain appeared before the Board in connection with a request in Docket No. 16-387 for a waiver of the \$650 late fee assessed against him for failure to timely file a January 2016 Executive Lobbying Expenditure Report. After hearing from Mr. Chamberlain, on motion made, seconded and passed by a vote of 9 yeas by Board Members Alston, Bruneau, Ingrassia, Leggio, McAnelly, Michiels, Shaddock, Shelton and Smith and 2 nays by Board Members Lavastida and Monroe, the Board waived the \$650 late fee.

Ms. Antoinette Williams, a candidate for Plaquemine City Marshal in the November 4, 2014 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 16-359 for a waiver of the \$2,000 and \$2,000 late fees assessed against her for filing her EDE-P and 10-G campaign finance disclosure reports 416 and 404 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee with respect to the EDE-P campaign finance disclosure report

but suspended \$1,750 conditioned upon future compliance with the Campaign Finance Disclosure Act and declined to waive the \$2,000 late fee with respect to the 10-G campaign finance disclosure report but suspended \$1,750 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing. The Board further instructed the staff to offer Ms. Williams the option of a payment plan.

Mr. Donald Lobell, a candidate for Livingston Parish Council in the October 24, 2015 election, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 16-418 for a waiver of the \$1,000 late fee that was assessed against him for filing his 10-G campaign finance disclosure report 28 days late. After hearing from Mr. Lobell, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,000 late fee but suspended \$700 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

Mr. Donald Travis "Tab" Lobell, a member of the Livingston Parish Council, District 8, appeared before the Board in connection with a request in Docket No. 16-350 for a waiver of the \$2,500 late fee assessed against him for filing his amended 2015 Tier 2 candidate personal financial disclosure statement 121 days late. After hearing from Mr. Lobell, on motion made, seconded and unanimously passed, the Board waived the \$2,500 late fee.

Ms. Nancy Dougherty, attorney for Louisiana State University (LSU), and Ms. Miriam Segar, LSU Senior Athletic Instructor, appeared before the Board in connection with a request in Docket No. 16-320 to approve a disqualification plan disqualifying Charles Winstead, Jr., Head Coach of

the LSU Men's Golf Team, from participating in any recommendations or decisions to recruit his son or award his son any athletic scholarships to play on the LSU Men's Golf Team. After hearing from Ms. Dougherty and Ms. Segar, on motion made, seconded and unanimously passed, the Board instructed the staff to prepare a draft disqualification plan for the Board to consider at the June meeting which removes Coach Winstead and his staff from any involvement in the recommendation to recruit or decision to award a golf scholarship to his son. Instead, the Sr. Associate Athletic Director with Men's Golf sport oversight, Mark Ewing, will be responsible for the decision as to whether to recruit Coach Winstead's son. Further, if a scholarship recommendation is to be made as to Coach Winstead's son, Mr. Ewing will make such recommendation to Director Alleva, who will have the final decision on the matter.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G16-G58 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G16-G58, excluding Items G36 and G51, taking the following action:

Allowed the withdrawal of a request for an advisory opinion in Docket No. 16-063 regarding the Department of Health and Hospitals receiving donations from the Baton rouge Area Foundation to cover administrative costs and operating expenses within its Medicaid program.

Declined to render an advisory opinion in Docket No. 16-111 regarding whether Robin Busby may be employed by the Jackson Parish Hospital while her sister, Amy Magee, is a Jackson Parish Police Juror, since the request pertains to past conduct as Ms. Busby has already been

employed by the Jackson Parish Hospital.

Adopted an advisory opinion in Docket No. 16-127 concluding that (1) no violation of the Code of Governmental Ethics is presented by Braville LeBlanc, a member of the Ponchatoula City Council, serving as the uncompensated Assistant Chief of the Ponchatoula Volunteer Fire Department (PVFD), since Mr. LeBlanc does not receive compensation from the PVFD; and, (2) Section 1123(1) of the Code of Governmental Ethics would prohibit Stormy Joiner, a full-time fire fighter employed by the City of Ponchatoula, from serving as the uncompensated Assistant Chief of the Ponchatoula Volunteer Fire Department (PVFD), since Mr. Joiner is compensated as a volunteer fire fighter for the PVFD.

Adopted an advisory opinion in Docket No. 13-135 concluding that no violation of the Code of Governmental Ethics is presented by Terri Courvelle serving as a member of the St. Landry Parish Fire District #3 Board of Commissioners (District Board) while her brother-in-law, Matthew Rabalais, is employed by the District, since the appointing authority to the District Board is the St. Landry Parish Council and is not under the supervision or jurisdiction of Mr. Rabalais' agency and since Mr. Rabalais has been employed for over a year prior to Ms. Courvelle being appointed to an agency head position. The Board further advised that Section 1112B would prohibit Ms. Courvelle from participating in any transaction in which Mr. Rabalais has a substantial economic interest.

Absent requested specific information, declined to render an advisory opinion in Docket No. 16-136 regarding whether a member of the Natchitoches Parish Council may participate in a vote involving a parish department in which his brother is employed and whether a family member of a council member may be selected and serve on a Fire District Board.

Adopted an advisory opinion in Docket No. 16-220 concluding that no violation of the Code of Governmental Ethics is presented by Anthony Lewis serving as an attorney for the Workforce Development Board (Development Board) under the Workforce Innovation Act (WIOA) when he previously served on the Workforce Investment Board (Investment Board) under the Workforce Investment Act (WIA), since the Investment Board is the former agency of Mr. Lewis and his potential contractual work would be with the Development Board, a completely separate agency.

Declined to render an advisory opinion in Docket No. 16-221 regarding whether Lorrie Briggs may accept employment with Ekahaya Youth Project (Ekahaya) while she is employed with the Department of Children and Family Services (DCFS), since the issue is moot, as Ms. Briggs is no longer seeking the position

Adopted an advisory opinion in Docket No. 16-255 concluding that no violation of the Code of Governmental Ethics is presented by Gerrelda Davis, currently employed as a State Budget Management Analyst 4 with the Office of Resource Management and Assistance within the Division of Administration and formerly employed as the Director of Bureau of Primary Care and Rural Health within the Department of Health and Hospital's Office of Public Health, accepting the position of Executive Director of the Louisiana Primary Care Association subject to certain restrictions. The Board advised that Ms. Davis would be prohibited from assisting the Association, or any of its member organizations, in transactions involving the Bureau, including a direct act or communication with the Bureau or any internal discussion or communication with the Association or member organization, until September 29, 2016. Additionally, Ms. Davis would be prohibited from rendering any service pursuant to a contract between the Bureau and the Association, until September 29, 2016. Furthermore, Ms. Davis would be prohibited from assisting the Association,

or any of its member organizations, in a transaction in which she participated at any time during her public employment with the Office, or in an appearance in connection therewith, and involving the State of Louisiana, including any internal discussion or communication with the Association or any direct act or communication with the State of Louisiana or any of its agencies, for two years following the termination of her employment with the Office. Finally, for the same period, the Association would be prohibited from assisting another person, including its member organizations, for compensation, in a transaction, or in an appearance in connection with a transaction, in which Ms. Davis at any time participated during her public service with the Bureau or the Office and involving that agency.

Adopted an advisory opinion in Docket No. 16-264 concluding that no violation of the Code of Governmental Ethics is prohibited by Vincent Russo, a former Special Assistant in the Office of Engineering within the Department of Transportation and Development (DOTD), receiving compensation for assisting SJB Group, LLC in transactions, or in an appearance in connection with a transaction involving the DOTD in which he did not participate as an employee of DOTD, so long as he is not rendering the same services back to his former agency. However, Section 1121D of the Code of Governmental Ethics would prohibit Mr. Russo from in any way sharing in compensation based on this assistance by SJB. The Board further concluded that SJB's assistance to other engineering firms in connections with its services to the DOTD would not be prohibited, so long as it does not assist other engineering firms in connection with transactions in which Mr. Russo participated while he was an employee of the DOTD.

Adopted an advisory opinion in Docket No. 16-266 concluding that no violation of the Code of Governmental Ethics is presented by James Clark, a former employee of the Governor's Office

of Homeland Security and Emergency Preparedness, providing services pursuant to a contract between his current employer, Deloitte & Touché, LLP, and the State of Louisiana involving the Disaster Recovery Division, since Mr. Clark's service as the Executive Director of Disaster Recovery ended in March of 2014 and as the Assistant Deputy Director of Grants & Administration, Mr. Clark was responsible for the grants for State Management Cost, which cover the Office's costs for facilities, salaries, contracts and other operating costs to administer the programs. Therefore, it would not present a violation of the Code of the Code of Governmental Ethics if Mr. Clark provides technical services in support of Stafford Act programs pursuant to a contract between Deloitte and the State.

Adopted an advisory opinion in Docket No. 16-271 concluding that no violation of the Code of Governmental Ethics would be presented by Kenneth Matthews, a soon to be retired St. Tammany Parish High School teacher, seeking part-time employment with Achieve 3000 after he retires on May 24, 2016, since Mr. Matthews would not be providing any service in which he participated while employed with the St. Tammany Parish School System.

Adopted an advisory opinion in Docket No. 16-272 concluding that no violation of the Code of Governmental Ethics is presented by Kevin Williams serving as a member of the St. Bernard Parish Planning Commission while being employed in the St. Bernard Parish Recreation Department. The Board further instructed the staff to advise Mr. Williams that the opinion request may present an issue associated with the Louisiana Dual Office-Holding laws which are under the jurisdiction of the Attorney General.

Adopted an advisory opinion in Docket No. 16-273 concluding that no violation of the Code

of Governmental Ethics is presented by Bill McKay, an employee of Employee Business Form Solutions, being approved to fill a vacancy on the Rapides Parish Board of Waterworks District No. 3 (District Board) subsequent to Employee Business Form Solutions terminating its business relationship with the town, since the business relationship between Mr. McKay's employer and the Town of Ball no longer exists.

Adopted an advisory opinion in Docket No. 16-276 concluding that the members of the Board of Animal Health are not required to file annual personal financial disclosure statements, since the Board of Animal Health is a regulatory board that lacks the authority to expend funds.

Adopted an advisory opinion in Docket No. 16-278 concluding that no violation of the Code of Governmental Ethics is presented by Ethan Ashley serving as a member of the Orleans Parish School Board, District 2 if elected, while serving as the Director of Community Engagement for the Urban League of Greater New Orleans (Urban League). However, Sections 1111C(2)(d) and 1111E(1) of the Code of Governmental Ethics would prohibit Mr. Ashley from receiving anything of economic value personally for assisting the Urban League in matters before the Orleans Parish School Board. If elected, Section 1112 of the Code of Governmental Ethics would prohibit Mr. Ashley from participating in transactions in which he or certain persons such as the Urban League had a substantial economic interest. Finally, he would be prohibited from receiving compensation from persons that he would be prohibited from receiving a gift pursuant to Section 1115(A)(1) or (B) of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 16-281 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Lonny Cavalier from receiving compensation from

The Bayou Journal, the official journal for Assumption Parish, as a freelance journalist after he commences his employment with the Assumption Parish Sheriff's Office on July 1, 2016. The Board further concluded that no violation of the Code of Governmental Ethics is presented by Mrs. Cavalier continuing her employment as a receptionist for The Bayou Journal, since she meets the factors set forth in the exception to Section 1111C(2)(d) of the Code of Governmental Ethics.

Adopted an advisory opinion in Docket No. 16-283 concluding that no violation of the Code of Governmental Ethics is presented by Marcelle Guidry, the Park Manager at Palmetto Island State Park, selling baskets as a vendor at the seafood cook-off and festival at Palmetto Island State Park, since Ms. Guidry will be applying to be a vendor at the seafood cook-off and festival with the Friends of Palmetto Island State Park, a private entity not within her agency.

Adopted an advisory opinion in Docket No. 16-284 concluding that no violation of the Code of Governmental Ethics is presented by Lake Faustina, LLC , a company owned by Terrebonne Parish Councilman Alidore Leon Marmande, selling borrow/dirt to the Terrebonne Levee and Conservation District for projects in which the Terrebonne Parish Consolidated Government (TPCG) is not involved while Mr. Marmande serves as a member of the Terrebonne Parish Council, since the Levee District is considered a separate agency from the TPCG. However, Section 1113A of the Code of Governmental Ethics would prohibit Lake Faustina, LLC from entering into transactions involving the TPCG while Mr. Marmande serves as a member of the Terrebonne Parish Council, since Mr. Marmande owns more than twenty-five percent of the company.

Adopted an advisory opinion in Docket No. 16-287 concluding that no violation of the Code of Governmental Ethics is presented by Kurt Weigle serving as an uncompensated member of the

board of directors for two non-profit corporations, the Birdfoot Chamber Music Festival and the New Orleans Police and Justice Foundation (NOPJ), while he is employed by the Downtown Development District. Additionally, because NOPJ is a nonprofit public service organization, Mr. Weigle would not be prohibited from participating as the President and CEO of the District in transactions in which NOPJ has a substantial economic interest, provided that he is not compensated for his service as a member of the board of directors of NOPJ. However, Mr. Weigle would be prohibited from participating as the President and CEO of the District in transactions in which Birdfoot has a substantial economic interest. Finally, the Board concluded that the District would not be prohibited from providing financial support to Birdfoot and NOPJ. Board Member Alston recused herself.

Declined to render an advisory opinion in Docket No. 16-297 regarding Ernie Drake, III, an attorney who represents the City of Ponchatoula on a contractual basis, hiring a former clerk for the City of Ponchatoula to work in a clerical position in his law office while he represents the City, since the issue is moot as the former city clerk has accepted a position elsewhere. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-303 concluding that no violation of the Code of Governmental Ethics is presented by Claudette Aubert serving as CEO of the Greater Grace Charter Academy, Inc (Charter Academy) in St. James Parish while volunteering her services to New Hope International Family Worship Center (Worship Center), since Section 1123(1) of the Code of Governmental Ethics provides an exception for participation in the affairs of charitable, religious, nonprofit education, public service, or civic organizations when no compensation is received. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-306 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Angelique Williams, an employee of the Child Care Licensing Division within the Department of Education, from becoming a certified Mental Health Consultant with the Department of Health and Hospitals (DHH), since Ms. Williams' agency, the Department of Education's Child Care Licensing Division, licenses all child care providers located in Louisiana. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-308 concluding that no violation of the Code of Governmental Ethics is presented by Dr. Sue Austin, a former employee of the Department of Health and Hospital's Office of Behavioral Health (OBH), contracting with the OBH to conduct psychological evaluations of homeless individuals who are being served by a federal grant, since Dr. Austin never provided direct services as part of her employment with the OBH and the tasks to be completed under the contract with the OBH are completely different from anything she did in her position with the OBH. Furthermore, Dr. Austin did not supervise the individual responsible for the grant nor did she oversee the program in any way. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-309 concluding that (1) members of the St. Landry Parish Democratic Executive Committee (Committee) are not required to take mandatory ethics training, since the members of the Committee are not public servants pursuant to the definition provided in Sections 1102(18) or 1102(19) of the Code of Governmental Ethics; and, (2) declined to render an advisory opinion with respect to whether Bambi Polotzola is precluded from serving on the Committee after her appointment as the Director of the Governor's Office of Disability Affairs, since there are no provisions within the Code of Governmental Ethics that address whether a person who is a public servant because of an appointment may serve as a member

of a Democratic Parish Executive Committee. The Board instructed the staff to refer the requestor to the Attorney General's Office for guidance on the issue. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-310 concluding that Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit the Varnado Water Works District from purchasing diesel fuel from Terry's Shell while Katie Boyd, daughter of Terry Boyd, is employed on a part-time basis by the District, since Terry Boyd is the sole owner of Terry's Shell. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-315 concluding that no violation of the Code of Governmental Ethics is presented by Andrew and Grace Griffin selling or leasing a building and property to the Lafourche Parish Animal Shelter while Andrew Griffin is employed as a mechanic with the Lafourche Parish Government, since Mr. Griffin's agency is the Road and Bridge Division and the Animal Shelter is a separate agency from his. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-316 concluding that no violation of the Code of Governmental Ethics is presented by Kelly Knight, the former Director of Financial Aid at South Louisiana Community College, contracting with the Louisiana Community and Technical College System to provide surveying services within two years from the termination of her employment with the South Louisiana Community College, since neither Ms. Knight nor her company will be entering into a contract with her former agency for the provision of any service. Further, neither Ms. Knight nor her company will be entering into any contract in which she will be rendering any service which she provided when employed as the Director of Financial Aid. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-319 concluding that no violation of the Code of Governmental Ethics is presented by Glenn Henderson serving as a member of the Ferriday Board of Aldermen while serving as a board member for the Concordia Recreation District No. 1 (Recreation District). The Board instructed the staff to refer the requestor to the Attorney General's Office for guidance on the issue. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-321 concluding that Section 1113A of the Code of Governmental Ethics would prohibit Terry Faul, a member of the First Ward Drainage District Board, from being re-appointed to the First Ward Drainage District Board by the Acadia Parish Police Jury when his brother, Richard Faul, serves as a newly-elected member of the Acadia Parish Police Jury, since an appointment is a transaction as defined by the Code of Governmental Ethics. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-325 concluding that no violation of the Code of Governmental Ethics is presented by Bricolage Academy, a Type 1 charter school authorized by the Orleans Parish School Board, hiring Erin Denson to work in a teaching position for the 2016-17 school year while her husband, Josh Denson, serves as the charter school's executive director, since Erin Denson would be employed as a certified classroom teacher. The Board further advised that Section 1112B(1) of the Code of Governmental Ethics would prohibit Josh Denson from participating in the supervision and management of Erin Denson's employment with Bricolage Academy and that Section 1112C of the Code of Governmental Ethics would require Josh Denson to disqualify himself from participating in matters involving his wife's employment. The disqualification plan must be effected in accordance with La. R.S. 42:1112C and Chapter 14 of the Administrative Rules for the Board of Ethics. The disqualification plan, which must be approved

by the Board of Ethics, should completely remove Josh Denson from any responsibility regarding his wife's employment with the Bricolage Academy. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-327 concluding that Sections 1111C(2)(d) and 1113B of the Code of Governmental Ethics would prohibit Douglas Arabie, owner of Arabie Trucking Co., from receiving compensation for services performed for any developers who have matters before the Planning Commission Board and from being in any way interested in those transactions before the Planning Commission Board if he were to be selected to be a member of the Planning Commission Board while his company performs work for companies that have contracts with the Lafourche Parish Government, since the subdivision developers receive final approval from the Planning Commission Board and are regulated through the Planning Commission Board. They also would have a substantial economic interest which may be substantially affected by the performance or nonperformance of the Planning Commission Board members. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-330 concluding that Section 1121A(2) of the Code of Governmental Ethics prohibits Aquicline Rener-Arnold, a former member of the Iberia Parish Council, from being appointed to the position of Registrar of Voters for Iberia Parish, since the Registrar must be appointed by the Council and Ms. Rener-Arnold would be prohibited from being appointed to that position until January 12, 2018. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-331 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Charlotte Turner, a Deputy Clerk with the Tangipahoa Parish Clerk of Court's Office, from performing compensated services for an attorney,

Angela Elly, after hours at her home, since Ms. Turner serves as the Minute Clerk assigned to Division F of the 21st Judicial Court in Tangipahoa Parish and the expungement packets completed by Ms. Turner for Ms. Elly may be allotted to Division F in which Ms. Elly occasionally represents clients. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-334 concluding that Section 1113A(1)(a) of the Code of Governmental Ethics would prohibit Raymond Hill, II, through his wholly-owned company, Thermo-technics Air Conditioning, Heating and Refrigeration, Inc. (Thermo-technics), from bidding on or entering into any contracts, subcontracts or other transactions with the Shreveport Public Assembly & Recreation Department (SPAR) while his son, Ray Hill, is employed as the Superintendent of Buildings for SPAR. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-380 concluding that no violation of the Code of Governmental Ethics is presented by LaQuanda Jackson, an employee of the Safe Drinking Water Program within the Department of Health and Hospitals (DHH), teaching food safety classes to members of the public, since Ms. Jackson would not be assisting a person in a transaction involving DHH or one in which she participated as an employee of DHH. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-381 concluding that no violation of the Code of Governmental Ethics is presented by Catherine Papillion being employed as a secretary with the St. Landry Council on Aging while her husband's second cousin, Mary Chapman, serves as the Director of the St. Landry Council on Aging, since Ms. Papillion, the spouse of Ms. Chapman's second cousin, does not fall within the definition of an immediate family member. Board Member

Alston recused herself.

Adopted an advisory opinion in Docket No. 16-382 concluding that no violation of the Code of Governmental Ethics is presented by Dr. Haywood Joiner, Jr., a member of the Rapides Parish Civil Service Board and the Rapides Workforce Development Board, deliberating and voting on matters involving the Workforce Innovation and Opportunity Act, as long as Dr. Joiner does not have a personal substantial economic interest in any matters debated or voted on involving the Workforce Innovation and Opportunity Act. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-398 concluding that no violation of the Code of Governmental Ethics is presented by a company, Ekim Norvel, LLC, d/b/a Michael Levron Productions (Ekim), owned by Michael Levron providing event production services for events held by non-profit carnival organizations at the Houma-Terrebonne Civic Center at a time when his father, Al Levron, is employed as the Parish Manager of the Terrebonne Parish Consolidated Government, since Ekim would be contracting directly with the carnival organizations and there would be no contractual relationship between the Civic Center and Ekim. The Board further advised that if Al Levron if has a specific question regarding certain transactions involving events at which Ekim is providing event production services, then he should seek additional guidance from the Board of Ethics regarding that specific matter. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-408 concluding that Section 1119A of the Code of Governmental Ethics would prohibit Lauren Broussard from being employed in the Lafayette Region for the Department of Children and Family Services Child Support Enforcement while her mother, Sandra Broussard, serves as the appointing authority of Child Support

Enforcement, Economic Stability and Child Welfare for the Lafayette Region, since as the appointing authority, Sandra Broussard is the chief administrative officer and an agency head for the Lafayette Region. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-415 concluding that no violation of the Code of Governmental Ethics is presented by Tim Thomas, an Engineer 6 employed by the Department of Transportation and Development (DOTD), seeking employment with Greenman-Pedersen, Inc. under the facts presented, specifically the fact that Greenman-Pedersen does not have a contract to provide services on any projects assigned to Gang 216. The Board further advised that Mr. Thomas should be cautioned that, as a former agency head for Gang 216, he is prohibited from receiving any compensation from Greenman-Pedersen, or any other person, for assisting in any project involving Gang 216, his former agency, for two years following the termination of his public service. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-417 concluding that no violation of the Code of Governmental Ethics is presented by Adrienne Sonnier, a former employee of the Department of Health and Hospitals (DHH), Office of Public Health, from seeking employment with the Southwest Louisiana Area Health Education Center, since the Southwest Louisiana Area Health Education Center is administered by the U. S. Health Resources and Services Administration's Bureau of Health Professions and is not a "person" as defined in Section 1102 (16) of the Code of Governmental Ethics. Board Member Alston recused herself.

Adopted an advisory opinion in Docket No. 16-301, based on the representation that none of the facts have changed and affirming the Board's prior advisory opinion rendered in Docket No.

11-1315, concluding that no violation of the Code of Governmental Ethics is presented by the Baton Rouge Area Foundation (BRAAF) paying for the travel expenses of a proposed delegation to the Netherlands to study water and coastal related research, engineering, restoration, protection and resiliency efforts in connection with the new coastal research center for Louisiana, since BRAAF is not a prohibited source of gifts and may make an unconditional donation to the agencies in question, which the agency may use for reimbursement of said travel expenses. Board Members Alston and Shelton recused themselves.

On a motion made, seconded and passed by a vote of 8 yeas by Board Members Ingrassia, Lavastida, Leggio, Michiels, Monroe, Shaddock, Shelton and Smith and 2 nays by Board Members Bruneau and McAnelly, adopted an advisory opinion in Docket No. 16-335 concluding that Section 1113 of the Code of Governmental Ethics would prohibit Smith's Body Shop & Wrecker Service from doing business with the Jackson Parish Ambulance Service District, since the son and daughter-in-law of Wayne Smith, the sole owner of Smith's Body Shop & Wrecker Service, are employed by the District. The Board further advised that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Josh Smith, son of Wayne Smith, from working for Smith's if the company were to do business with the District. Board Member Alston recused herself.

In connection with an Answer filed in Docket No. 15-1204 by Trevor V. Barber, a former member of the Workforce Investment Board, Youth Council #81, in response to a notice of delinquency regarding his failure to file his amended 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Mr. Barber that he was not required to file the 2014 Tier 2.1 personal financial disclosure statement, since members of the Workforce Commission Youth Council are not required to file because the council was created by a parish with population

less than 200,000.

In connection with an Answer filed in Docket No. 16-420 by Peggy McCoy, a member of the John K. Kelly Grand Bayou Reservoir Board, in response to a notice of delinquency regarding her failure to file her amended 2014 Tier 2.1 Annual personal financial disclosure statement, instructed the staff to advise Ms. McCoy that she has 7 business days to report the income by exact dollar amount without penalty.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the April 14-15, 2016 meetings.

The Board considered a proposed consent opinion in Docket No. 10-705 regarding Maurice Brown, the former Mayor of the Town of White Castle, who was involved in and convicted during “Operation Blighted Official.” On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Maurice Brown agrees that a violation of Sections 1111A, 1111C(2)(d), and 1115 of the Code of Governmental Ethics, from 2008 through 2010 while he served as the Mayor for the Town of White Castle, occurred by his agreement to receive and his receipt of a total value of \$500 in cash, tickets and other gifts from CIFER 5000 at a time when CIFER 5000 sought a contract with his agency, the Town of White Castle, and in which no fine is imposed based upon Mr. Brown’s sentence in the federal investigation into this matter, including the 10 year prison sentence and \$5,000 restitution.

The Board considered a proposed consent opinion in Docket No. 12-1647 regarding Haywood Fair, a member of the Madison Parish Hospital Service District Board, receiving airfare

for his spouse to which he was not duly entitled to receive. On motion made, seconded and unanimously passed, the Board (1) adopted for publication the consent opinion in which Haywood Fair, in his capacity as a member of the Madison Parish Hospital Service District, agrees that a violation of Section 1111A of the Code of Governmental Ethics occurred by his use of a Madison Parish Hospital Service District credit card to purchase airfare for his spouse in the amount of \$3,184.80 and to make purchases with the credit card in connection with his use of a hospital tractor on his personal property which he was not duly entitled to receive and in which Haywood Fair agrees to reimburse the Madison Parish Hospital Service District in the amount of \$3,184.80, payable in installments, and in the event of a failure to reimburse in full, the Board may file suit to compel immediate payment of any balance due. Further, if any installment payment is missed, the entire remaining amount will become immediately due and owing; and, (2) dismissed the pending charges against Mr. Fair.

The Board considered a proposed consent opinion in Docket No. 13-1188 regarding William Reeves, Jr., a member of the West Allen Parish Water District, also serving as the compensated Deputy Director of the Louisiana Rural Water Association while it had a contractual and business relationship with the Water District. On motion made, seconded and unanimously passed, the Board (1) adopted for publication the consent opinion in which William Reeves, Jr., while serving as a member of the West Allen Parish Water District Board of Commissioners, agrees that a violation of Section 1111C(2)(d) occurred by receiving a thing of economic value in the form of a salary from the Louisiana Rural Water Association for the provision of services at a time when the Louisiana Rural Water Association had a contractual, financial or business relationship with the West Allen Parish Water District and that a violation of Section 1113B of the Code of Governmental Ethics

occurred by providing training and technical site visits, in his capacity as an employee of the Louisiana Rural Water Association, to the West Allen Parish Water District and in which Mr. Reeves agrees to pay a fine of \$1,500 with \$750 to be suspended conditioned upon future compliance with the Code of Governmental Ethics and in the event of a failure to pay, the Board may file suit to compel immediate payment of any balance due; and, (2) dismissed the pending charges against Mr. Reeves.

The Board considered a request for an advisory opinion in Docket No. 16-286 regarding whether John Thomas, Hammond City Fire Chief, may accept an all-expense paid trip as part of winning the Liberty Mutual Firemark Award and whether the City of Hammond can accept a \$10,000 donation as part of the award. On motion made, seconded and unanimously passed, the Board deferred the matter and instructed the staff to solicit additional information.

The Board considered a request for an advisory opinion in Docket No. 16-318 regarding whether Faye Morrison, an employee of the Department of Public Safety and Corrections, would be required to disclose a reimbursement from the FBI of travel related expenses incurred in connection with attending the annual FBI CODIS meeting. On motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics is presented if Ms. Morrison receives meal vouchers and reimbursement from the FBI of travel and lodging expenses incurred in connection with attending the annual FBI CODIS meeting, since the FBI is part of the federal government. The Board further advised that Ms. Morrison would not be required to file a disclosure affidavit in accordance with Section 1123(41) of the Code of Governmental Ethics.

Board Member Alston recused herself from the remaining items on the General Business agenda and was excused from the meeting at 12:13 p.m.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against candidates included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart, excluding Docket Nos. 16-362, 16-365, 16-371 and 16-418, taking the following action:

The Board unanimously rescinded the late fees based on Rule 1205B against the following:

Docket No. 16-379 from William Scott, III of a \$780 late fee; and,
Docket No. 16-391 from Michelle Wilcox of a \$120 late fee.

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-364 from Demetria Robinson Carter of a \$720 late fee;
Docket No. 16-368 from Helen Hart of a \$480 late fee;
Docket No. 16-369 from Louis Byers of a \$660 late fee and a \$420 late fee;
Docket No. 16-372 from Ralph Patin of a \$1,000 late fee, a \$320 late fee and a \$160 late fee;
Docket No. 16-373 from Ricky Diggs of a \$180 late fee;
Docket No. 16-374 from L. Davis Silk of a \$720 late fee;
Docket No. 16-375 from Daniel Lorraine of a \$320 late fee;
Docket No. 16-390 from Lennix Tweet Madere of a \$560 late fee;
Docket No. 16-395 from William P. Frost of a \$60 late fee;
Docket No. 16-410 from Greg Hood, Sr. of a \$200 late fee; and,
Docket No. 16-419 from Albert Franklin of a \$900 late fee.

The Board unanimously waived the late fees against the following:

Docket No. 16-377 from Ashley L. Page of a \$480 late fee;
Docket No. 16-378 from Gerrin John Narcisse of a \$440 late fee;
Docket No. 16-389 from Ricky L. Moses of a \$1,380 late fee; and,
Docket No. 16-393 from Donald C. Hodge, Jr. of a \$100 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-358 for a waiver of the \$500 late fee assessed against Jennifer Treadway, a candidate for State Treasurer in the October 24, 2015 election, for filing her 10-G campaign finance disclosure report 5 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$500 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-360 for a waiver of the \$360 late fee assessed against Judge Robert Pitre for filing his 2015 Annual campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$360 late fee but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-366 for a waiver of the two (2) \$420 late fees assessed against Erick St. Amant, a candidate for St. Charles Parish Council in the October 24, 2015 election, for filing his 10-P and 10-G campaign finance disclosure reports 7 and 7 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$420 late fee with respect to the 10-P campaign finance disclosure report but suspended \$220 conditioned upon future compliance with the Campaign Finance Disclosure Act and declined to waive the \$420 late fee with

respect to the 10-G campaign finance disclosure report but suspended \$220 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-367 for a waiver of the \$320 late fee assessed against Patrick Stubblefield, a candidate for Richland Parish Police Jury in the October 24, 2015 election, for filing his 10-G campaign finance disclosure report 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$320 late fee but suspended \$220 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-370 for a waiver of the \$240 late fee assessed against Heather Reid Howle, a candidate for West Feliciana Parish Council, District D in the October 24, 2015 election, for filing her 10-G campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee but suspended \$140 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-373 for a waiver of the \$2,000 late fee assessed against Ricky Diggs, a candidate for Ascension Parish President in the October 24, 2015 election, for filing his

10-G campaign finance disclosure report 40 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,000 late fee but suspended \$1,800 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-388 for a waiver of the \$600, \$600 and \$420 late fees assessed against Nakeia Newton Madere, a candidate for East Carroll Parish Assessor in the October 24, 2015 election, for filing her 30-P, 10-P and 10-G campaign finance disclosure reports 56, 36 and 7 days late. On motion made, seconded and unanimously passed, the Board (1) declined to waive the \$600 late fee in connection with the 30-P campaign finance disclosure report but suspended \$400 conditioned upon future compliance with the Campaign Finance Disclosure Act; (2) declined to waive the \$600 late fee in connection with the 10-P campaign finance disclosure report but suspended \$400 conditioned upon future compliance with the Campaign Finance Disclosure Act; and, (3) declined to waive the \$420 late fee in connection with the 10-G campaign finance disclosure report but suspended \$220 conditioned upon future compliance with the Campaign Finance Disclosure Act conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-394 for a waiver of the \$1,000 late fee assessed against Ray Morrison, a candidate for Vernon Parish Council, District 2 in the October 24, 2015 election, for filing his 40-G campaign finance disclosure report 86 days late. On motion made, seconded and

unanimously passed, the Board declined to waive the \$1,000 late fee in connection with the 10-G campaign finance disclosure report but suspended \$900 conditioned upon future compliance with the Campaign Finance Disclosure Act conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-395 for a waiver of the \$600 late fee assessed against William P. Frost, a candidate for St. Mary Parish Council-at-Large in the October 24, 2015 election, for filing his 10-G campaign finance disclosure report 18 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$600 late fee but suspended \$400 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-362 for a waiver of the \$240 late fee assessed against George M. Haase, Sr., a candidate for St. Tammany Parish Council in the October 24, 2015 election, for filing his 10-G campaign finance disclosure report 6 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$240 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-365 for a waiver of the \$440 late fee assessed against Angelina Iles, a candidate for Rapides Parish Police Jury in the October 24, 2015 election, for filing her 10-G campaign finance disclosure report 11 days late. On motion made, seconded and

unanimously passed, the Board declined to waive the \$440 late fee but suspended \$340 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 16-371 for a waiver of the two (2) \$60 late fees assessed against Rev. Rodney Blair Nicholas, a candidate for St. John the Baptist Parish Assessor in the October 24, 2015 election, for filing his 10-P and 10-G campaign finance disclosure reports 1 and 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$120 but suspended the entire late fee conditioned upon future compliance with the Campaign Finance Disclosure Act.

The Board considered a request in Docket No. 16-413 for a waiver of the \$50 late fee assessed against Jerald Jones for the late filing of his February 2016 Legislative, Executive and Local Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$50 late fee.

The Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure Waiver Chart, excluding Docket Nos. 16-345, 16-350, 16-352, 16-424 and 16-425, taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 16-346 from Noel Bunol, IV of a \$1,500 late fee;
Docket No. 16-353 from Shannon Madison of a \$1,500 late fee;
Docket No. 16-426 from Jody Bourgeois of a \$1,500 late fee; and,
Docket No. 16-427 from Joseph Hilton of a \$1,500 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 16-354 from Gerrin Narcisse of a \$2,500 late fee; and,
Docket No. 16-421 from Johna Hays of a \$1,500 late fee.

The Board considered a request in Docket No. 16-348 for a waiver of the \$1,500 late fee assessed against Billy Ray Prince, III, Georgetown Chief of Police, Grant Parish, for filing his 2013 Tier 3 Annual personal financial disclosure statement 371 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$500 conditioned upon future compliance with the Code of Governmental Ethics and with the option of a payment plan.

The Board considered a request in Docket No. 16-355 for a waiver of the \$1,500 late fee assessed against Gene Frantom Tarver, a former member of the Northeast Delta Human Services Authority, for filing his 2014 Tier 2.1 Annual personal financial disclosure statement 106 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,050 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-356 for a waiver of the \$1,500 late fee assessed against J. Steven Welsh, Ph.D., a former member of both Bayou Community Academy Charter School and MAX Charter School, for filing his 2014 Tier 3 Annual personal financial

disclosure statement 58 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 16-357 for a waiver of the \$1,500 late fee assessed against Evelyn W. Mitchell, an unsuccessful candidate for the Independence Board of Aldermen, Tangipahoa Parish in the March 5, 2016 election, for filing her 2014 Tier 3 Candidate personal financial disclosure statement 69 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-422 for a waiver of the \$50 late fee assessed against Henrietta Byrd, a former member of the Natchez Board of Aldermen, Natchitoches Parish, regarding a \$50 late fee assessed for filing her 2014 Tier 3 Annual personal financial disclosure statement 1 day late. On motion made, seconded and unanimously passed, the Board declined to waive the \$50 late fee but suspended the entire late fee conditioned upon future compliance with the Code of Governmental Ethics.

The Board considered a request in Docket No. 16-345 for a waiver of the two (2) \$200 late fees assessed against Terry Brian Smith, Converse Board of Aldermen, Sabine Parish, for filing his 2013 Tier 3 Annual personal financial disclosure statement 4 days late and his 2014 Tier 3 Annual personal financial disclosure statement 4 days late. On motion made, seconded and unanimously passed, the Board declined to waive the late fees totaling \$400.

The Board considered a request in Docket No. 16-352 for a waiver of the \$1,500 late fee assessed against Jean Montes, a former member of the Lycee' Francais de la Nouvelle Orleans Charter School, for filing his 2013 Tier 3 Annual personal financial disclosure statement 404 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 16-424 for a waiver of the \$1,500 late fee assessed against Susan Lynn Larson, a member of the New Orleans Public Library Board, for filing her 2012 Tier 2.1 Annual personal financial disclosure statement 286 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 16-425 for a waiver of the \$1,500 late fee assessed against John William "Johnny" Smith, Sr., a member of the West Carroll Parish School Board, District 1, for filing his 2014 Tier 3 Annual personal financial disclosure statement 195 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee.

The Board considered a request in Docket No. 16-349 for a waiver of the \$400 late fee assessed against Howard Allen, a member of the East Union Parish Hospital Service District, for filing his 2016 Hospital Service District Disclosure Statement 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee with the option of a payment plan. The Board further instructed the staff to advise Mr. Allen that he is required to file every year that he serves as a member of the hospital district.

The Board considered a request for reconsideration in Docket No. 15-1222 for a waiver of

the \$1,050 late fee assessed against Colin Blake Miller for failing to timely file a 2015 Supplemental Lobbyist Registration. On motion made, seconded and unanimously passed, the Board granted the reconsideration request and waived the \$1,050 late fee, since Mr. Miller amended the Supplemental registration to reflect the date of termination of his relationship with the Forum for Equality and based on the amendment, the Supplemental registration was not late.

Ms. Allen provided a status report with respect to legislation for the 2016 Regular Legislative Session.

Ms. Allen provided an overview regarding the proposed changes to the Rules for the Louisiana Board of Ethics concerning the procedures with respect to the late filing of disclosure reports and the assessment of late fees. The Board directed the staff to amend the rules in accordance with the Administrative Procedures Act.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board dismissed the charges in Docket No. 13-329 against Mary Fontenot Smith, a candidate for the Orleans Parish Council in the November 6, 2012 election, for failing to file her EDE-P and 10-G campaign finance disclosure reports, since the reports had been filed and late fees assessed.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board, agreed to consider Docket No. 7114-040 in executive session for discussion purposes only.

The Board unanimously resolved into executive business session.

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EXECUTIVE BUSINESS

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The Board unanimously resolved into general business session.

On motion made, seconded and unanimously passed, the Board instructed the staff to accept the settlement offer submitted by Gary Wainwright in Docket No. 7114-040 in which he will submit a payment of \$16,700 in connection with late fees assessed for his failure to timely file Campaign Finance Disclosure reports and in which Mr. Wainwright agrees to pay all court costs in East Baton Rouge Parish and Orleans Parish.

The Board unanimously adjourned at 12:40 p.m.

Secretary

APPROVED:

Chairman

