

LOUISIANA BOARD OF ETHICS

Post Office Box 4368
Baton Rouge, Louisiana 70821

TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT (for candidates)

This Report Covers Calendar Year: 2020

ORIGINAL REPORT

AMENDED REPORT

I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE D.

Office Sought: Bossier City Councilman At Large

Incumbent: Yes No

Date of Election: 3/20/2021

NAME OF FILER (print full name): David A. Montgomery, Jr.

Mailing Address: 44 Duck Haven Pt.

City, State, Zip: Bossier City, LA, 71111

NAME OF SPOUSE (if applicable) (print full name): Lisa T. Montgomery

Spouse's Occupation: Abstractor

Spouse's Principal Business Address: 333 Texas Street, Suite 700

City, State, Zip: Shreveport, LA, 71101

CHECK ALL THAT APPLY

I have filed my state income tax return for the previous year.

I have filed for an extension of my state income tax return for the previous year.

I have filed my federal income tax return for the previous year.

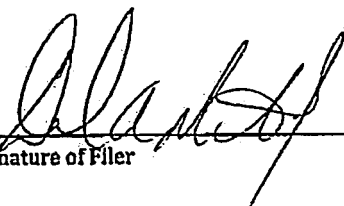
I have filed for an extension of my federal income tax return for the previous year.

NOTE: La. R.S. 18:1495.7 and 42:1124.2 does not provide candidates the opportunity to request an extension in filing their personal financial disclosure statements.

I am a candidate in an election to be held prior to April 15 and I have not filed my tax return for the previous year.

CERTIFICATE OF ACCURACY

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.



Signature of Filer

Schedule A: Employment Information

Check if not applicable

Filer Spouse Full-Time Part-Time
 Name of Employer: Montgomery Agency
 Job Title: Co-Owner
 Job Description: Insurance Sales

Filer Spouse Full-Time Part-Time
 Name of Employer: Blanchard, Walker, O'quin & Robert
 Job Title: Abstractor
 Job Description: Title Search

Filer Spouse Full-Time Part-Time
 Name of Employer: _____
 Job Title: _____
 Job Description: _____

Filer Spouse Full-Time Part-Time
 Name of Employer: _____
 Job Title: _____
 Job Description: _____

Filer Spouse Full-Time Part-Time
 Name of Employer: _____
 Job Title: _____
 Job Description: _____

- * You are required to disclose employment information related to both you and your spouse (if applicable).
- * List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.
- * Self-employment information is reported on Schedule B.

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Schedule B: Positions - Business

Check if not applicable

Filer Spouse Both

Amount of Interest: 50 %

Name of Business: Montgomery Agency, Inc.

Address: 1913 Citizens Bank Drive

City, State, Zip: Bossier City, LA, 71112

Business Description: Insurance Agency

Nature of Association: Shareholder 50% and Employee

Filer Spouse Both

Amount of Interest: 50 %

Name of Business: Brothers Venture

Address: 44 Duck Haven Pt.

City, State, Zip: Bossier City, LA, 71111

Business Description: Real Estate

Nature of Association: 50% Owner - Member

Filer Spouse Both

Amount of Interest: 100 %

Name of Business: David A. Montgomery, Jr. Insurance

Address: 44 Duck Haven Pt.

City, State, Zip: Bossier City, LA, 71111

Business Description: Insurance Sales and Brokerage

Nature of Association: Sole Proprietorship - Owner 100%

* You are required to complete SCHEDULE B if you or your spouse is a director, officer, stockholder, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

Schedule C: Positions - Nonprofit

Check if not applicable

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Organization: _____ Address: _____ City, State, Zip: _____ Nature of Association: _____ Description of Organization: _____

***You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit organization.**

Schedule D: Other Offices/Positions Held

Check if not applicable

Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____
Name of Office/Position: _____

* You are required to complete SCHEDULE D if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.

Schedule E: Immovable Property

Check if not applicable (where the value of the interest in the parcel exceeds \$2,000)

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Location of Property: State: <u>Louisiana</u> Parish/County: <u>Bossier</u> Description of Property: <u>4 Acres Real Estate 1761 Swan Lake Road Bossier City, LA 71111</u> Value of the Interest in the Parcel: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)
<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Location of Property: State: <u>Louisiana</u> Parish/County: <u>Bossier</u> Description of Property: <u>Office Building 1913 Citizens Bank Drive Bossier City, LA 71111</u> Value of the Interest in the Parcel: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)
<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Location of Property: State: <u>Louisiana</u> Parish/County: <u>Bossier</u> Description of Property: <u>Residence 44 Duck Haven Pt. Bossier City, LA 71111</u> Value of the Interest in the Parcel: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)

* You are required to disclose the location by state and parish/county.
 * You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

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Schedule F: Income from the State, Political

Check if not applicable **Subdivisions, and/or Gaming Interests**

Filer Spouse Business (where amount of interest exceeds 10%)
Type of Income: State Political Subdivision Gaming Interest
Name of Business (if applicable): Montgomery Agency, Inc.
Name of Income Source: Bossier Parish Police Jury
Address: 204 Burt Blvd
City, State, Zip: Benton, LA, 71006
Amount of Income (exact dollar amount): \$ 100,387.00

Filer Spouse Business (where amount of interest exceeds 10%)
Type of Income: State Political Subdivision Gaming Interest
Name of Business (if applicable): Montgomery Agency, Inc.
Name of Income Source: Caddo-Bossier Port Commission
Address: 10397 Highway 1
City, State, Zip: Shreveport, LA, 71115
Amount of Income (exact dollar amount): \$ 46,238.00

Filer Spouse Business (where amount of interest exceeds 10%)
Type of Income: State Political Subdivision Gaming Interest
Name of Business (if applicable): David A. Montgomery, Jr. Insurance
Name of Income Source: Caddo-Bossier Port Commission
Address: 10397 Highway 1
City, State, Zip: Shreveport, LA, 71115
Amount of Income (exact dollar amount): \$ 13,494.00

- * You are required to complete SCHEDULE F if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
- * "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

Schedule F: Income from the State, Political

Check if not applicable **Subdivisions, and/or Gaming Interests**

Filer Spouse Business (where amount of interest exceeds 10%)
 Type of Income: State Political Subdivision Gaming Interest
 Name of Business (if applicable): Montgomery Agency, Inc.
 Name of Income Source: Cons Waterworks/Sewerage District No. 1 Bossier
 Address: 204 Burt Blvd
 City, State, Zip: Benton, LA, 71006
 Amount of Income (exact dollar amount): \$ 14,211.00

Filer Spouse Business (where amount of interest exceeds 10%)
 Type of Income: State Political Subdivision Gaming Interest
 Name of Business (if applicable): Montgomery Agency, Inc.
 Name of Income Source: Bossier Parish Sheriff
 Address: 204 Burt Blvd
 City, State, Zip: Benton, LA, 71006
 Amount of Income (exact dollar amount): \$ 79,977.00

Filer Spouse Business (where amount of interest exceeds 10%)
 Type of Income: State Political Subdivision Gaming Interest
 Name of Business (if applicable): _____
 Name of Income Source: City of Bossier City - W-2 Councilman
 Address: 620 Benton Rd
 City, State, Zip: Bossier City, LA, 71111
 Amount of Income (exact dollar amount): \$ 10,710.00

- * You are required to complete SCHEDULE F if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
- * "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

Schedule F: Income from the State, Political

Check if not applicable **Subdivisions, and/or Gaming Interests**

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): Montgomery Agency, Inc.

Name of Income Source: 26th JDC Drug Court

Address: 3022 Old Minden Rd, Suite 218

City, State, Zip: Bossier City, LA, 71111

Amount of Income (exact dollar amount): \$ 2,737.00

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): Montgomery Agency, Inc.

Name of Income Source: Sport-Bossier Convention & Tourist Bureau

Address: P.O. Box 1761

City, State, Zip: Shreveport, LA, 71166

Amount of Income (exact dollar amount): \$ 11,262.00

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): Montgomery Agency, Inc.

Name of Income Source: 26th Judicial District Court

Address: 1204 Burt Blvd

City, State, Zip: Benton, LA, 71006

Amount of Income (exact dollar amount): \$ 1,874.00

- * You are required to complete SCHEDULE F if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
- * "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

Schedule F: Income from the State, Political

Check if not applicable **Subdivisions, and/or Gaming Interests**

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): Montgomery Agency, Inc.

Name of Income Source: Cypress Black Bayou Rec & Water Cons District

Address: 135 Cypress Park Drive

City, State, Zip: Benton, LA, 71006

Amount of Income (exact dollar amount): \$ 11,019.00

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): _____

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

Filer Spouse Business (where amount of interest exceeds 10%)

Type of Income: State Political Subdivision Gaming Interest

Name of Business (if applicable): _____

Name of Income Source: _____

Address: _____

City, State, Zip: _____

Amount of Income (exact dollar amount): \$ _____

- * You are required to complete SCHEDULE F if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
- * "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

Schedule G: Income Received from Employment

Check if not applicable

Filer Spouse Full-time Part-time

Name of Employer: Montgomery Agency, Inc.

Address: 1913 Citizens Bank Drive

City, State, Zip: Bossier City, LA, 71111

Nature of services (pursuant to such employment): Insurance Sales - Co-Owner

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

Filer Spouse Full-time Part-time

Name of Employer: Blanchard, Walker, O'quin & Robert

Address: 333 Texas Street, Suite 700

City, State, Zip: Shreveport, LA, 71101

Nature of services (pursuant to such employment): Title Search

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

Filer Spouse Full-time Part-time

Name of Employer: _____

Address: _____

City, State, Zip: _____

Nature of services (pursuant to such employment): _____

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

- * You are required to complete SCHEDULE G to disclose the income received by you or your spouse for each full-time or part-time employment position held.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * Income that is reported on SCHEDULE F does not have to be restated on SCHEDULE G.
- * Income received through self-employment is reported on SCHEDULE H, unless it is reported on Schedule F.

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Schedule H: Income Received From Business

Check if not applicable

AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS:

- Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: <u>Brothers Venture</u> Address: <u>44 Duck Haven Pt.</u> City, State, Zip: <u>Bossier City, LA, 71111</u> Nature of services rendered or reason income was received: <u>Real Estate</u>
<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: <u>David A. Montgomery, Jr. Insurance</u> Address: <u>44 Duck Haven Pt.</u> City, State, Zip: <u>Bossier City, LA, 71111</u> Nature of services rendered or reason income was received: <u>Insurance Sales & Brokerage</u>
<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: <u>Business First Bank</u> Address: <u>500 Laurel Street, Suite 101</u> City, State, Zip: <u>Baton Rouge, LA, 70801</u> Nature of services rendered or reason income was received: <u>Member Board of Directore</u>
<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Business: <u>Montgomery Agency, Inc.</u> Address: <u>1913 Citizens Bank Drive</u> City, State, Zip: <u>Bossier City, LA, 71111</u> Nature of services rendered or reason income was received: <u>Insurance Sales</u>

- * You are required to complete SCHEDULE H if you or your spouse received income from a business.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * Income reported on SCHEDULE F or G does not have to be restated on SCHEDULE H.
- * Income received through *self-employment* is reported on SCHEDULE H.
- * "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

Schedule I: Other Income

Check if not applicable (any other income that exceeds \$1,000)

Filer Spouse
 Description of Income:
 Interest and Dividends from Investments

Nature of services rendered or reason income was received:
 Investment Income

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

Filer Spouse
 Description of Income:

Nature of services rendered or reason income was received:

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

Filer Spouse
 Description of Income:

Nature of services rendered or reason income was received:

Amount of Income: Category I (less than \$5,000) Category II (\$5,000-\$24,999)
 Category III (\$25,000-\$100,000) Category IV (more than \$100,000)

- * You are required to complete SCHEDULE I if you or your spouse received any other type of income (includes any income from private source such as rental income, federal retirement, etc.) that exceeded \$1,000.
- * "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- * You are not required to report income that is derived from child support and alimony payments contained in a court order, or from disability payments from any source.
- * Income that is reported on SCHEDULE F, G, or H does not have to be restated on SCHEDULE I.
- * Income from retirement accounts not reported on Schedule F should be included on Schedule I.

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Schedule J: Investment Holdings

Check if not applicable

(an investment holding that exceeds \$5,000)

Filer Spouse Both

Name of Security:

Business First Bank

Description of Security:

7000 Shares Common Stock

Filer Spouse Both

Name of Security:

Montgomery Agency, Inc.

Description of Security:

48 Shares Common Stock

Filer Spouse Both

Name of Security:

Miami Holdings

Description of Security:

7200 Shares Common stock

- * You are required to complete SCHEDULE J if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.
- * You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.
- * You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.

Schedule K: Transactions

Check if not applicable (a transaction that exceeds \$5,000)

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Transaction Date: <u>11/10/2020</u> Description of Transaction: Sale of Residence 130 Stonebridge Blvd. Bossier City, LA 71111 <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input checked="" type="checkbox"/> Spouse <input type="checkbox"/> Both Transaction Date: <u>2/28/2020</u> Description of Transaction: Sale of Residence 34 Waterbury Dr. Bossier City, LA 71111 <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input checked="" type="checkbox"/> Category IV (more than \$100,000)
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Both Transaction Date: _____ Description of Transaction: <hr/> Amount of Transaction: <input type="checkbox"/> Category I (less than \$5,000) <input type="checkbox"/> Category II (\$5,000-\$24,999) <input type="checkbox"/> Category III (\$25,000-\$100,000) <input type="checkbox"/> Category IV (more than \$100,000)

* You are required to complete SCHEDULE K if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (when the value of the transaction exceeded \$5,000 in the previous calendar year).

* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.

Schedule L: Liabilities

Check if not applicable (a liability that exceeds \$10,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____

***You are required to complete SCHEDULE L if you or your spouse owes any liability which exceeds \$10,000 on the last day of the reporting period.**
***You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.**
***You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.**
***You are not required to disclose any loan by a licensed financial institution which loans money in the ordinary course of business.**
*** You are not required to disclose any liability resulting from a consumer credit transaction as defined in R.S. 9:3516(13).**
***You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.**
***"Consumer Credit Transaction" in R.S. 9:3516(13) means a consumer loan or a consumer credit sale but does not include a motor vehicle credit transaction made pursuant to R.S. 6:969.1 et seq.**