

**LOUISIANA BOARD OF ETHICS**  
Mail: P.O. Box 4368, Baton Rouge, LA 70821  
Fax: 225-381-7271  
Upload: <https://eap.ethics.la.gov/FileUpload>

## **(CANDIDATE) TIER 2 PERSONAL FINANCIAL DISCLOSURE STATEMENT**

This Report Covers Calendar Year: 2023

☒ ORIGINAL REPORT

☐ AMENDED REPORT

☐ I currently hold an office that would require me to file a Tier 2.1, or Tier 3 Personal Financial Disclosure Statement. As such, I have completed SCHEDULE D.

Office Sought: State Senate, District 9

Date of Election: October 14, 2023

Incumbent: ☐ Yes ☒ No

NAME OF FILER (print full name): Mary Anne Mushatt

Mailing Address: 6030 S. Robertson Street

City, State, Zip: New Orleans, LA 70118

NAME OF SPOUSE(if applicable)(print full name): David Mushatt

Spouse's Occupation: physician

Spouse's Principal Business Address: Tulane University School of Medicine, 1430 Tulane Avenue

City, State, Zip: New Orleans, LA 70118

### **CHECK ALL THAT APPLY**

☒ I have filed my state income tax return for the previous year.

☐ I have filed for an extension of my state income tax return for the previous year.

☒ I have filed my federal income tax return for the previous year.

☐ I have filed for an extension of my federal income tax return for the previous year.

**NOTE:** La. R.S. 18:1495.7 and 42:1124.2 does not provide candidates the opportunity to request an extension in filing their personal financial disclosure statements.

☐ I am a candidate in an election to be held prior to April 15 and I have not filed my tax return for the previous year.

### **CERTIFICATE OF ACCURACY**

I do hereby certify that the information contained in this personal financial disclosure statement is true and correct to the best of my knowledge, information, and belief.

Mary Anne Mushatt

Signature of Filer

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Upload: <https://cap.ethics.la.gov/FileUpload>**Schedule A: Employment Information**☐ Check if not applicable

<input checked="" type="checkbox"/> Filer <input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time <input checked="" type="checkbox"/> Part-Time
Name of Employer: <u>Self</u>	
Job Title: <u>writer</u>	
Job Description: <u>writer of novels</u>	
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____	
Job Title: _____	
Job Description: _____	
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____	
Job Title: _____	
Job Description: _____	
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____	
Job Title: _____	
Job Description: _____	
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse	<input type="checkbox"/> Full-Time <input type="checkbox"/> Part-Time
Name of Employer: _____	
Job Title: _____	
Job Description: _____	

- \* You are required to disclose employment information related to both you and your spouse (if applicable).
- \* List the name of the employer; the title of the position; a brief description of the job; and disclosure as to whether the position is full-time or part-time.
- \* Self-employment information is reported on Schedule B.

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Upload: <https://eap.ethics.la.gov/FileUpload>**Schedule B: Positions - Business**☐ Check if not applicable☒ Filer    ☐ Spouse    ☐ BothAmount of Interest: 50% %Name of Business: writerAddress: 6030 S. Robertson StreetCity, State, Zip: New Orleans, LA 70118Business Description: writerNature of Association: self-employed☐ Filer    ☐ Spouse    ☐ Both

Amount of Interest: \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

☐ Filer    ☐ Spouse    ☐ Both

Amount of Interest: \_\_\_\_\_ %

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Business Description: \_\_\_\_\_

Nature of Association: \_\_\_\_\_

\* You are required to complete SCHEDULE B if you or your spouse is a director, officer, stockholder, owner, partner, member, or trustee of a business AND if you or your spouse (either individually or collectively) owns an interest in a business which exceeds 10%.

\* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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<input type="checkbox"/> Filer <input type="checkbox"/> Spouse
Name of Organization: _____
Address: _____
City, State, Zip: _____
Nature of Association: _____
Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse
Name of Organization: _____
Address: _____
City, State, Zip: _____
Nature of Association: _____
Description of Organization: _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse
Name of Organization: _____
Address: _____
City, State, Zip: _____
Nature of Association: _____
Description of Organization: _____

**\*You are required to complete SCHEDULE C if you or your spouse is a director or officer of a nonprofit organization.**

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Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	
Name of Office/Position:	

\* You are required to complete SCHEDULE D if you hold any other office or position which would require you to file a personal financial disclosure statement under La. R.S. 42:1124.2.1 or 42:1124.3.

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Location of Property:

State: LouisianaParish/County: OrleansDescription of Property: family home

Value of the Interest in the Parcel:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☐ Filer ☒ Spouse ☐ Both

Location of Property:

State: MassachusettsParish/County: Duke's CountyDescription of Property: unddeveloped land

Value of the Interest in the Parcel:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both

Location of Property:

State: \_\_\_\_\_

Parish/County: \_\_\_\_\_

Description of Property: \_\_\_\_\_

Value of the Interest in the Parcel:

☐ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)

\* You are required to disclose the location by state and parish/county.

\* You are required to provide a brief description of the immovable property and its fair market value or use value (determined by the assessor for purposes of ad valorem taxes.)

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<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Business(where amount of interest exceeds 10%) Type of Income: <input type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest Name of Business(if applicable): _____ Name of Income Source: _____ Address: _____ City, State, Zip: _____ Amount of Income (exact dollar amount): \$ _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Business(where amount of interest exceeds 10%) Type of Income: <input type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest Name of Business(if applicable): _____ Name of Income Source: _____ Address: _____ City, State, Zip: _____ Amount of Income (exact dollar amount): \$ _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse <input type="checkbox"/> Business(where amount of interest exceeds 10%) Type of Income: <input type="checkbox"/> State <input type="checkbox"/> Political Subdivision <input type="checkbox"/> Gaming Interest Name of Business(if applicable): _____ Name of Income Source: _____ Address: _____ City, State, Zip: _____ Amount of Income (exact dollar amount): \$ _____

- You are required to complete SCHEDULE F if you or your spouse received income (includes any income from public source such as employment income, retirement, etc.) from the State, any political subdivision, and/or a gaming interest OR if a business in which you or your spouse owns an interest which exceeds 10% (either individually or collectively) received income from the aforementioned sources.
- "Income" (for a business) means gross income less costs of goods sold, and operating expenses.
- "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- The definitions for (and examples of) *political subdivision, gaming interest, and business* are found in the *Instructions Section* of this form.

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Upload: <https://eap.ethics.la.gov/FileUpload>**Schedule G: Income Received from Employment**☐ Check if not applicable☐ Filer ☒ Spouse ☒ Full-time ☐ Part-timeName of Employer: Tulane University School of MedicineAddress: 1430 Tulane AvenueCity, State, Zip: New Orleans, LA 70112Nature of services (pursuant to such employment): physician, professor, Section Chief/Infectious DiseasesAmount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☒ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Full-time ☐ Part-time

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services (pursuant to such employment): \_\_\_\_\_

Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Full-time ☐ Part-time

Name of Employer: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services (pursuant to such employment): \_\_\_\_\_

Amount of Income: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

- \* You are required to complete SCHEDULE G to disclose the income received by you or your spouse for each full-time or part-time employment position held.
- \* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.
- \* Income that is reported on SCHEDULE F does not have to be restated on SCHEDULE G.
- \* Income received through self-employment is reported on SCHEDULE H, unless it is reported on Schedule F.



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Upload: <https://eap.ethics.la.gov/FileUpload>**Schedule H: Income Received From Business**☐ Check if not applicable**AGGREGATE AMOUNT OF INCOME RECEIVED FROM BUSINESS:**☒ Category I (less than \$5,000)☐ Category II (\$5,000-\$24,999)☐ Category III (\$25,000-\$100,000)☐ Category IV (more than \$100,000)☒ Filer ☐ SpouseName of Business: Quills & Quatos PublishingAddress: www.quillsandquartos.com

City, State, Zip: \_\_\_\_\_

Nature of services rendered or reason income was received: royalties from book sales☐ Filer ☐ Spouse

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services rendered or reason income was received: \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services rendered or reason income was received: \_\_\_\_\_

☐ Filer ☐ Spouse

Name of Business: \_\_\_\_\_

Address: \_\_\_\_\_

City, State, Zip: \_\_\_\_\_

Nature of services rendered or reason income was received: \_\_\_\_\_

\* You are required to complete SCHEDULE H if you or your spouse received income from a business.

\* "Income" (for an individual) means taxable income and shall not include any income received pursuant to a life insurance policy.

\* Income reported on SCHEDULE F or G does not have to be restated on SCHEDULE H.

\* Income received through *self-employment* is reported on SCHEDULE H.

\* "Business" means any corporation, partnership, limited liability company, sole proprietorship, firm, enterprise, franchise, association, business, organization, self-employed individual, holding company, trust, or any other legal entity or person.

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(any other income that exceeds \$1,000)

W 01:01 6/14/2023 10:16 PM

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(an investment holding that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ BothName of Security:  
see attached charts

Description of Security:

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

☐ Filer ☐ Spouse ☐ Both

Name of Security:

Description of Security:

\* You are required to complete SCHEDULE J if you or your spouse holds investment securities where each investment security has a value that exceeds \$5,000.

\* You are not required to disclose variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, and cash/cash equivalent investments.

\* You are not required to disclose information concerning any property held and administered for any person other than you or your spouse under a trust, tutorship, curatorship, or other custodial instrument.



SCHEDULE J: Investment Holdings

David + Mary Anne Murkett

## Positions

# Individual - TOD:

Brokerage: [REDACTED]

Overview

Dividend View

Fund Performance

AS OF 08/14/2023 5:06 PM ET

Symbol	Last Price	Last Price Change	\$ Today's Gain/Loss	% Today's Gain/Loss	\$ Total Gain/Loss	% Total Gain/Loss	Current Value	% of Account	Quantity	Average Cost Basis	Cost Basis Total	52-Week R
Individual - TOD [REDACTED]												
SPAXX** FIDELITY GOVERNME...	\$1.00	\$0.00	n/a	n/a	n/a	n/a	\$881.70	1.61%	881.7	n/a	n/a	
DNLDX BNY MELLON ACTIVE ...	\$53.57	-\$0.04	-\$39.45	-0.08%	+\$5,771.63	+12.26%	\$52,820.12	96.43%	986.002	\$47.72	\$47,048.49 <sup>d</sup>	\$46.56
NKE NIKE INC CLASS B CO...	\$107.64	-\$0.45	-\$4.50	-0.42%	+\$410.76	+61.70%	\$1,076.40	1.97%	10	\$66.56	\$665.64 <sup>t</sup>	\$82.22
Account Total			-\$4.50 <sup>l</sup>	-0.01%	+\$6,182.39	+12.96%	\$54,778.22					

Securities Priced Today -\$4.50

Securities Not Priced Today -\$39.45

Today

Some securities, such as mutual funds, are not priced until after the market closes.

Jun-30-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.40	\$874.74	✓
Jun-30-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.40	\$874.74	✓

### Don't see the activity you're looking for?

- Track a rollover or transfer from another firm >
- View orders for new issue bonds >
- See activity from over 5 years ago in Documents >
- Reassign the lots for an unsettled trade >
- See cost basis information in Positions >
- Status bid requests for bonds and CDs >
- View Your Commissions & Price Improvement Summary >

All transaction detail provided reflects the local currency of the security, as identified by the currency abbreviation.

Access features unavailable in the Beta experience on the [legacy portfolio activity page](#)

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Performance data shown represents past performance and is no guarantee of future results. Investment return and principal value will fluctuate, so investors may have a gain or loss when shares are sold. Current performance may be higher or lower than what is quoted. Click on a security for most recent month-end performance.

Cryptocurrency accounts, custody and trading provided by Fidelity Digital Asset Services, LLC, a New York State-chartered limited liability trust company (NMLS ID 1773897). Crypto is not insured by the Federal Deposit Insurance Corporation or the Securities Investor Protection Corporation ("SIPC"). Brokerage services in support of securities trading are provided by



# SCHEDULE J: Investment Holdings

Positions

David and Mary Anne Murchett

## Joint Tenants in Common:

Brokerage: [REDACTED]

Overview

Dividend View

AS OF 08/14/2023 4:50 PM ET

Symbol	Last Price	Last Price Change	\$ Today's Gain/Loss	% Today's Gain/Loss	\$ Total Gain/Loss	% Total Gain/Loss	Current Value	% of Account	Quantity	Average Cost Basis	Cost Basis Total	52-Week R
Joint Tenants in Common [REDACTED]												
FCASH** CASH	\$1.00	\$0.00	\$0.00	0.00%	n/a	n/a	\$20,385.64	14.04%	20,385.64	n/a	n/a	
SO SOUTHERN CO	\$68.83	-\$0.67	-\$200.44	-0.97%	+\$5,173.24	+33.55%	\$20,590.83	14.18%	299.155	\$51.54	\$15,417.59 <sup>C</sup>	 \$58.85
TSM TAIWAN SEMICONDU...	\$93.07	+\$1.08	+\$81.00	+1.17%	-\$3,602.25	-34.04%	\$6,980.25	4.81%	75	\$141.10	\$10,582.50	 \$59.43
VZ VERIZON COMMUNIC...	\$33.56	+\$0.20	+\$206.80	+0.59%	-\$26,503.62	-43.31%	\$34,701.71	23.90%	1,034.02	\$59.19	\$61,205.33 <sup>C</sup>	 \$31.25
XOM EXXON MOBIL CORP	\$111.92	+\$0.09	+\$50.30	+0.08%	+\$2,331.56	+3.87%	\$62,558.91	43.08%	558.961	\$107.75	\$60,227.35 <sup>C</sup>	 \$83.89
Account Total			+\$137.66 <sup>I</sup>	+0.09%	-\$22,601.07	-15.33%	\$145,217.34					

Symbol	Last Price	Last Price Change	\$ Today's Gain/Loss	% Today's Gain/Loss	\$ Total Gain/Loss	% Total Gain/Loss	Current Value	% of Account	Quantity	Average Cost Basis	Cost Basis Total	52-Week R
Securities Priced Today												
+\$137.66												
Securities Not Priced Today												
\$0.00												

Some securities, such as mutual funds, are not priced until after the market closes.

## Important Information

- Amount of uninvested cash which can be used for processing transactions.
- Indicates that the security has not priced today. Some securities, such as mutual funds, are not priced until after the market closes.
- The Cost basis information shown includes information that has been provided by you, or the security has changed ownership and the cost basis relies on the accuracy of customer gift or inheritance designations. Customer-provided basis is not a tax reportable attribute.
- Indicates an Earnings event exists within 5 calendar days. Earnings beat or miss is based on the actual earnings compared to the I/B/E/S consensus estimate.
- Indicates a Dividend or Capital Gains Distribution exists.

• Indicates that the security has not priced today. Some securities, such as mutual funds, are not priced until after the market closes.

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Performance data shown represents past performance and is no guarantee of future results. Investment return and principal value will fluctuate, so investors may have a gain or loss when shares are sold. Current performance may be higher or lower than what is quoted, and investors should visit [Fidelity.com/performance](https://www.fidelity.com/performance) for most recent month-end performance.

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(a transaction that exceeds \$5,000)

☐ Filer ☐ Spouse ☒ Both

Transaction Date: \_\_\_\_\_

Description of Transaction:  
see attached chartsAmount of Transaction: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both

Transaction Date: \_\_\_\_\_

Description of Transaction:

Amount of Transaction: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)☐ Filer ☐ Spouse ☐ Both

Transaction Date: \_\_\_\_\_

Description of Transaction:

Amount of Transaction: ☐ Category I (less than \$5,000) ☐ Category II (\$5,000-\$24,999)  
☐ Category III (\$25,000-\$100,000) ☐ Category IV (more than \$100,000)

\* You are required to complete SCHEDULE K if you or your spouse purchased or sold any immovable property, personally owned tax credit certificates, stocks, bonds, or commodities futures including any option to acquire or dispose of any immovable property or of any personally owned tax credit certificates, stocks, bonds, or commodities futures (when the value of the transaction exceeded \$5,000 in the previous calendar year).

\* You are not required to report variable annuities, variable life insurance, variable universal life insurance, whole life insurance, any other life insurance product, mutual funds, education investment accounts, retirement investment accounts, government bonds, cash or cash equivalent investments.





SCHEDULE K: Transactions  
Activity & Orders

David and Mary Anne Marshall  
11/1/23

# Individual - TOD:

Brokerage: [REDACTED]

Search Activity & Orders



Past 60 days

Orders

History

Transfers

More filters

Past 60 days

AS OF 08/14/23 at 5:03 PM ET


Date	Description	Amount	Cash Balance	
Jul-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.56	\$881.70	✓
Jul-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.56	\$881.70	✓
Jul-05-2023	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$878.14	✓

2  
8/23

## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]

Search Activity & Orders 



Q2 (Apr - Jun) ▾


Orders

History

Transfers

 More filters

**Q2 (Apr - Jun)**

AS OF 08/14/23 at 5:03 PM ET 

Date ▾	Description	Amount	Cash Balance	
Jun-30-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.40	\$874.74	▾
Jun-30-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.40	\$874.74	▾
May-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.47	\$871.34	▾

May-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.47	\$871.34	✓
Apr-28-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.19	\$867.87	✓
Apr-28-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.19	\$867.87	✓
Apr-03-2023	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$864.68	✓

### Don't see the activity you're looking for?

Track a rollover or transfer from another firm	>	View orders for new issue bonds	>
See activity from over 5 years ago in Documents	>	Reassign the lots for an unsettled trade	>
See cost basis information in Positions	>	Status bid requests for bonds and CDs	>
View Your Commissions & Price Improvement Summary	>		

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Access features unavailable in the Beta experience on the [legacy portfolio activity page](#)

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23.4

## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]

Search Activity & Orders



Q1 (Jan - Mar) ▾

Orders

History

Transfers

⚙ More filters

Q1 (Jan - Mar)

AS OF 08/14/23 at 5:03 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Mar-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.14	\$861.28	▾
Mar-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.14	\$861.28	▾
Mar-31-2023	REINVESTMENT as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$191.36	\$858.14	▾

Mar-31-2023	REINVESTMENT as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$43.18	\$1,049.50	✓
Mar-31-2023	DIVIDEND RECEIVED as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$43.18	\$1,092.68	✓
Mar-31-2023	LONG-TERM CAP GAIN as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$191.36	\$1,049.50	✓
Feb-28-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.75	\$858.14	✓
Feb-28-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.75	\$858.14	✓
Jan-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.83	\$855.39	✓
Jan-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.83	\$855.39	✓

### Don't see the activity you're looking for?

Track a rollover or transfer from another firm	>	View orders for new issue bonds	>
See activity from over 5 years ago in Documents	>	Reassign the lots for an unsettled trade	>
See cost basis information in Positions	>	Status bid requests for bonds and CDs	>
View Your Commissions & Price Improvement Summary	>		

K3.6



## Activity & Orders

# Individual - TOD:

Brokerage: ██████████



Q4 (Oct - Dec 2022) ▾

Orders

History

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⚙ More filters

**Q4 (Oct - Dec 2022)**

AS OF 08/14/23 at 5:02 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Dec-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.60	\$852.56	▾
Dec-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.60	\$852.56	▾
Dec-28-2022	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$849.96	▾

K3.7

Dec-16-2022	REINVESTMENT as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$2,033.17	\$846.56	▼
Dec-16-2022	REINVESTMENT as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$266.16	\$2,879.73	▼
Dec-16-2022	DIVIDEND RECEIVED as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$266.16	\$3,145.89	▼
Dec-16-2022	LONG-TERM CAP GAIN as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$2,033.17	\$2,879.73	▼
Nov-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.25	\$846.56	▼
Nov-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.25	\$846.56	▼
Oct-31-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.86	\$844.31	▼

Load more results

### Don't see the activity you're looking for?

Track a rollover or transfer from another firm	>	View orders for new issue bonds	>
See activity from over 5 years ago in Documents	>	Reassign the lots for an unsettled trade	>
See cost basis information in Positions	>	Status bid requests for bonds and CDs	>



\$3.8

## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]



- Q3 (July - Sept 2022) ▾
- Orders
- History
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Q3 (July - Sept 2022)

AS OF 08/14/23 at 5:02 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Sep-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.42	\$839.40	▾
Sep-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$1.42	\$839.40	▾
Aug-31-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.25	\$837.98	▾



Aug-31-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$1.25	\$837.98	✓
Jul-29-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$0.82	\$836.73	✓
Jul-29-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$0.82	\$836.73	✓
Jul-01-2022	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.05	\$835.91	✓

### Don't see the activity you're looking for?

- |   |  |
|---|--|
| Track a rollover or transfer from another firm >    | View orders for new issue bonds >          |
| See activity from over 5 years ago in Documents >   | Reassign the lots for an unsettled trade > |
| See cost basis information in Positions >           | Status bid requests for bonds and CDs >    |
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Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]

Search Activity & Orders



Past 60 days ▾

Orders

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⚙ More filters

Past 60 days

AS OF 08/14/23 at 5:15 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Jul-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.56	\$881.70	▾
Jul-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.56	\$881.70	▾
Jul-05-2023	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$878.14	▾

K 1.2

Jun-30-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.40	\$874.74	▼
Jun-30-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.40	\$874.74	▼

### Don't see the activity you're looking for?

- Track a rollover or transfer from another firm >
- View orders for new issue bonds >
- See activity from over 5 years ago in Documents >
- Reassign the lots for an unsettled trade >
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## Activity & Orders

# Individual - TOD:

Brokerage: ██████████

Search Activity & Orders



Q2 (Apr - Jun) ▾

Orders

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⚙ More filters

**Q2 (Apr - Jun)**

AS OF 08/14/23 at 5:14 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Jun-30-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.40	\$874.74	✓
Jun-30-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.40	\$874.74	✓
May-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.47	\$871.34	✓

May-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.47	\$871.34	✓
Apr-28-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.19	\$867.87	✓
Apr-28-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.19	\$867.87	✓
Apr-03-2023	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$864.68	✓

### Don't see the activity you're looking for?

- |   |  |
|---|--|
| Track a rollover or transfer from another firm >    | View orders for new issue bonds >          |
| See activity from over 5 years ago in Documents >   | Reassign the lots for an unsettled trade > |
| See cost basis information in Positions >           | Status bid requests for bonds and CDs >    |
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## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]

Search Activity & Orders



Q1 (Jan - Mar) ▾

Orders

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Q1 (Jan - Mar)

AS OF 08/14/23 at 5:14 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Mar-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$3.14	\$861.28	▾
Mar-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$3.14	\$861.28	▾
Mar-31-2023	REINVESTMENT as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$191.36	\$858.14	▾

K1.6

Mar-31-2023	REINVESTMENT as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$43.18	\$1,049.50	▼
Mar-31-2023	DIVIDEND RECEIVED as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$43.18	\$1,092.68	▼
Mar-31-2023	LONG-TERM CAP GAIN as of 03/30/2023 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$191.36	\$1,049.50	▼
Feb-28-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.75	\$858.14	▼
Feb-28-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.75	\$858.14	▼
Jan-31-2023	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.83	\$855.39	▼
Jan-31-2023	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.83	\$855.39	▼

### Don't see the activity you're looking for?

- |   |  |
|---|--|
| Track a rollover or transfer from another firm >    | View orders for new issue bonds >          |
| See activity from over 5 years ago in Documents >   | Reassign the lots for an unsettled trade > |
| See cost basis information in Positions >           | Status bid requests for bonds and CDs >    |
| View Your Commissions & Price Improvement Summary > |  |

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## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]

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Q4 (Oct - Dec 2022) ▾

Orders

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⚙ More filters

**Q4 (Oct - Dec 2022)**

AS OF 08/14/23 at 5:13 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Dec-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.60	\$852.56	▾
Dec-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.60	\$852.56	▾
Dec-28-2022	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.40	\$849.96	▾



Dec-16-2022	REINVESTMENT as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$2,033.17	\$846.56	✓
Dec-16-2022	REINVESTMENT as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	-\$266.16	\$2,879.73	✓
Dec-16-2022	DIVIDEND RECEIVED as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$266.16	\$3,145.89	✓
Dec-16-2022	LONG-TERM CAP GAIN as of 12/15/2022 BNY MELLON ACTIVE MIDCAP A (DNLDX) (Cash)	+\$2,033.17	\$2,879.73	✓
Nov-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$2.25	\$846.56	✓
Nov-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$2.25	\$846.56	✓
Oct-31-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.86	\$844.31	✓

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### Don't see the activity you're looking for?

<a href="#">Track a rollover or transfer from another firm</a>	>	<a href="#">View orders for new issue bonds</a>	>
<a href="#">See activity from over 5 years ago in Documents</a>	>	<a href="#">Reassign the lots for an unsettled trade</a>	>
<a href="#">See cost basis information in Positions</a>	>	<a href="#">Status bid requests for bonds and CDs</a>	>

## Activity & Orders

# Individual - TOD:

Brokerage: [REDACTED]



Q3 (July - Sept 2022) ▾

Orders

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**Q3 (July - Sept 2022)**

AS OF 08/14/23 at 5:13 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Sep-30-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.42	\$839.40	▾
Sep-30-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$1.42	\$839.40	▾
Aug-31-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$1.25	\$837.98	▾

K 1010

Aug-31-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$1.25	\$837.98	✓
Jul-29-2022	REINVESTMENT FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	-\$0.82	\$836.73	✓
Jul-29-2022	DIVIDEND RECEIVED FIDELITY GOVERNMENT MONEY MARKET (SPAXX) (Cash)	+\$0.82	\$836.73	✓
Jul-01-2022	DIVIDEND RECEIVED NIKE INC CLASS B COM NPV (NKE) (Cash)	+\$3.05	\$835.91	✓

### Don't see the activity you're looking for?

Track a rollover or transfer from another firm >	View orders for new issue bonds >
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SCHEDULE K: Transactions

David and Mary Anne Murhatt  
10.3

Activity & Orders

# Joint Tenants in Common:

Brokerage: [REDACTED]

Search Activity & Orders



Past 60 days

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Past 60 days

AS OF 08/14/23 at 4:58 PM ET

Date	Description	Amount	Cash Balance	
Aug-01-2023	DIVIDEND RECEIVED VERIZON COMMUNICATIONS INC (VZ) (Cash)	+\$674.70	\$20,385.64	✓
Jul-31-2023	REINVESTMENT CASH (315994103) (Cash)	-\$43.16	\$19,710.94	✓
Jul-31-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$43.16	\$19,710.94	✓
Jul-13-2023	FOREIGN TAX PAID TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	-\$6.91	\$19,667.78	✓

12 2.1

Jul-13-2023	DIVIDEND RECEIVED TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	+\$32.92	\$19,674.69	✓
Jun-30-2023	REINVESTMENT CASH (315994103) (Cash)	-\$40.92	\$19,641.77	✓
Jun-30-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$40.92	\$19,641.77	✓

### Don't see the activity you're looking for?

- |   |  |
|---|--|
| Track a rollover or transfer from another firm >    | View orders for new issue bonds >          |
| See activity from over 5 years ago in Documents >   | Reassign the lots for an unsettled trade > |
| See cost basis information in Positions >           | Status bid requests for bonds and CDs >    |
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## Activity & Orders

# Joint Tenants in Common:

Brokerage: [REDACTED]

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Q2 (Apr - Jun) ▾


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**Q2 (Apr - Jun)**

AS OF 08/14/23 at 4:57 PM ET 

Date ▾	Description	Amount	Cash Balance	
Jun-30-2023	REINVESTMENT CASH (315994103) (Cash)	-\$40.92	\$19,641.77	▾
Jun-30-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$40.92	\$19,641.77	▾
Jun-09-2023	DIVIDEND RECEIVED EXXON MOBIL CORP (XOM) (Cash)	+\$508.65	\$19,600.85	▾
Jun-06-2023	DIVIDEND RECEIVED SOUTHERN CO (SO) (Cash)	+\$209.41	\$19,092.20	▾
May-31-2023	REINVESTMENT CASH (315994103) (Cash)	-\$40.81	\$18,882.79	▾

K2.3

May-31-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$40.81	\$18,882.79	✓
May-01-2023	DIVIDEND RECEIVED VERIZON COMMUNICATIONS INC (VZ) (Cash)	+\$674.70	\$18,841.98	✓
Apr-28-2023	REINVESTMENT CASH (315994103) (Cash)	-\$36.33	\$18,167.28	✓
Apr-28-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$36.33	\$18,167.28	✓
Apr-13-2023	FOREIGN TAX PAID TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	-\$7.10	\$18,130.95	✓

Load more results

### Don't see the activity you're looking for?

- |   |  |
|---|--|
| Track a rollover or transfer from another firm >    | View orders for new issue bonds >          |
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## Activity & Orders

# Joint Tenants in Common:

Brokerage: [REDACTED]

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Q1 (Jan - Mar) ▾


Orders

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**Q1 (Jan - Mar)**

AS OF 08/14/23 at 4:56 PM ET 

Date ▾	Description	Amount	Cash Balance	
Mar-31-2023	REINVESTMENT CASH (315994103) (Cash)	-\$35.61	\$18,104.22	▾
Mar-31-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$35.61	\$18,104.22	▾
Mar-10-2023	DIVIDEND RECEIVED EXXON MOBIL CORP (XOM) (Cash)	+\$508.65	\$18,068.61	▾
Mar-06-2023	DIVIDEND RECEIVED SOUTHERN CO (SO) (Cash)	+\$203.43	\$17,559.96	▾
Feb-28-2023	REINVESTMENT CASH (315994103) (Cash)	-\$30.67	\$17,356.53	▾



Feb-28-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$30.67	\$17,356.53	✓
Feb-01-2023	DIVIDEND RECEIVED VERIZON COMMUNICATIONS INC (VZ) (Cash)	+\$674.70	\$17,325.86	✓
Jan-31-2023	REINVESTMENT CASH (315994103) (Cash)	-\$30.89	\$16,651.16	✓
Jan-31-2023	INTEREST EARNED CASH (315994103) (Cash)	+\$30.89	\$16,651.16	✓
Jan-12-2023	FOREIGN TAX PAID TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	-\$7.04	\$16,620.27	✓

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### Don't see the activity you're looking for?

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## Activity & Orders

# Joint Tenants in Common:

Brokerage: [REDACTED]



- Q4 (Oct - Dec 2022) ▾
- Orders
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**Q4 (Oct - Dec 2022)**

AS OF 08/14/23 at 4:55 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Dec-30-2022	REINVESTMENT CASH (315994103) (Cash)	-\$28.75	\$16,593.76	▾
Dec-30-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$28.75	\$16,593.76	▾
Dec-09-2022	DIVIDEND RECEIVED EXXON MOBIL CORP (XOM) (Cash)	+\$508.65	\$16,565.01	▾
Dec-06-2022	DIVIDEND RECEIVED SOUTHERN CO (SO) (Cash)	+\$203.43	\$16,056.36	▾
Nov-30-2022	REINVESTMENT CASH (315994103) (Cash)	-\$20.40	\$15,852.93	▾

K27

Nov-30-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$20.40	\$15,852.93	✓
Nov-01-2022	DIVIDEND RECEIVED VERIZON COMMUNICATIONS INC (VZ) (Cash)	+\$674.70	\$15,832.53	✓
Oct-31-2022	REINVESTMENT CASH (315994103) (Cash)	-\$20.17	\$15,157.83	✓
Oct-31-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$20.17	\$15,157.83	✓
Oct-13-2022	FOREIGN TAX PAID TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	-\$6.85	\$15,137.66	✓

Load more results

### Don't see the activity you're looking for?

Track a rollover or transfer from another firm	>	View orders for new issue bonds	>
See activity from over 5 years ago in Documents	>	Reassign the lots for an unsettled trade	>
See cost basis information in Positions	>	Status bid requests for bonds and CDs	>
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## Activity & Orders

# Joint Tenants in Common:

Brokerage: [REDACTED]

Search Activity & Orders



Q3 (July - Sept 2022) ▾

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Transfers

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**Q3 (July - Sept 2022)**

AS OF 08/14/23 at 4:53 PM ET ↻

Date ▾	Description	Amount	Cash Balance	
Sep-30-2022	REINVESTMENT CASH (315994103) (Cash)	-\$15.81	\$15,111.89	✓
Sep-30-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$15.81	\$15,111.89	✓
Sep-09-2022	DIVIDEND RECEIVED EXXON MOBIL CORP (XOM) (Cash)	+\$491.89	\$15,096.08	✓
Sep-06-2022	DIVIDEND RECEIVED SOUTHERN CO (SO) (Cash)	+\$203.43	\$14,604.19	✓
Aug-31-2022	REINVESTMENT CASH (315994103) (Cash)	-\$14.52	\$14,400.76	✓

Aug-31-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$14.52	\$14,400.76	✓
Aug-01-2022	DIVIDEND RECEIVED VERIZON COMMUNICATIONS INC (VZ) (Cash)	+\$661.77	\$14,386.24	✓
Jul-29-2022	REINVESTMENT CASH (315994103) (Cash)	-\$8.71	\$13,724.47	✓
Jul-29-2022	INTEREST EARNED CASH (315994103) (Cash)	+\$8.71	\$13,724.47	✓
Jul-14-2022	FOREIGN TAX PAID TAIWAN SEMICONDUCTOR MANUFACTURING S... (TSM) (Cash)	-\$7.27	\$13,715.76	✓

Load more results

### Don't see the activity you're looking for?

- |   |   |  |   |
|---|---|--|---|
| Track a rollover or transfer from another firm    | > | View orders for new issue bonds          | > |
| See activity from over 5 years ago in Documents   | > | Reassign the lots for an unsettled trade | > |
| See cost basis information in Positions           | > | Status bid requests for bonds and CDs    | > |
| View Your Commissions & Price Improvement Summary | > |  |   |

All transaction detail provided reflects the local currency of the security, as identified by the currency abbreviation.

Access features unavailable in the Beta experience on the [legacy portfolio activity page](#)

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**LOUISIANA BOARD OF ETHICS**

Mail: P.O. Box 4368, Baton Rouge, LA 70821

Fax: 225-381-7271

Upload: <https://cap.ethics.la.gov/FileUpload>**Schedule L: Liabilities**☒ Check if not applicable

(a liability that exceeds \$10,000)

<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____
<input type="checkbox"/> Filer <input type="checkbox"/> Spouse Name of Creditor: _____ Address: _____ City, State, Zip: _____ Name of Guarantor (If applicable): _____

\*You are required to complete SCHEDULE L if you or your spouse owes any liability which exceeds \$10,000 on the last day of the reporting period.

\*You are not required to disclose any loan secured by movable property, if such loan does not exceed the purchase price of the movable property which secures the loan.

\*You are not required to disclose any liability, secured or unsecured, which is guaranteed by you or your spouse for a business in which you or your spouse owns any interest, provided that the liability is in the name of the business and, if the liability is a loan, that you or your spouse does not use proceeds from the loan for personal use unrelated to business.

\*You are not required to disclose any loan by a licensed financial institution which loans money in the ordinary course of business.

\*You are not required to disclose any liability resulting from a consumer credit transaction as defined in R.S. 9:3516(13).

\*You are not required to disclose any loan from an immediate family member, unless such family member is a registered lobbyist, or his principal or employer is a registered lobbyist, or he employs or is a principal of a registered lobbyist, or unless such family member has a contract with the State.

\*"Consumer Credit Transaction" in R.S. 9:3516(13) means a consumer loan or a consumer credit sale but does not include a motor vehicle credit transaction made pursuant to R.S. 6:969.1 et seq.