

LOUISIANA BOARD OF ETHICS
MINUTES
November 15, 2019

The Board of Ethics met on November 15, 2019 at 9:00 a.m. in the LaBelle Room on the 1st floor of the LaSalle Building located at 617 North Third Street, Baton Rouge, Louisiana with Board Members Colomb, Dittmer, Grand, Lavastida, Leggio, McAnelly, Meinert, Roberts and Smith present. Absent were Board Members Bruneau and Couvillon. Also present were the Ethics Administrator, Kathleen Allen; the Executive Secretary, Carolyn Landry; and Counsel Tracy Barker, David Bordelon, Matthew DeVille, LaToya Jordan, Jennifer Land and Greg Thibodeaux.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-1018 for a waiver of the \$1,000 late fee assessed against Raymond C. "Bubba" Harris, a candidate for Livingston Parish Council, District 5, in the October 24, 2015 election, for filing his 30-P campaign finance disclosure report 85 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred the matter until later in the meeting.

The Board considered an untimely request in Docket No. 19-1025 for a waiver of the \$1,500 late fee assessed against Gregory M. Jordan, with the Jefferson Parish Economic Development and Port District (JEDCO), for filing his 2016 Tier 2.1 Annual personal financial disclosure statement 250 days late. On motion made, seconded and unanimously passed, the Board continued the matter.

Ms. Kellyn LaCour-Conant, M.Sc., a coastal research scientist with Coastal Protection and Restoration Authority (CPRA), appeared before the Board in connection with a request for an advisory opinion in Docket No. 19-1071 regarding her receipt of a monetary award from the American Association for the Advancement of Science (AAAS). After hearing from Ms. LaCour-

Conant, on motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics is presented by Ms. LaCour-Conant's receipt of the \$5,000 award from the AAAS in connection with the If/Then Program, since the award is not based on her employment or work with CPRA and since the AAAS has no contractual or financial relationship with her agency.

The Board considered a request in Docket No. 19-1085 for a waiver of \$1,500 late fee assessed against Ricky LaMar Coleman, a member of the Tangipahoa Board of Aldermen, Tangipahoa Parish, for filing his amended 2017 Tier 3 Annual personal financial disclosure statement 62 days late. On motion made, seconded and unanimously passed, the Board temporarily deferred the matter until later in the meeting.

Ms. Melissa Young, an employee of the Department of Public Safety and Corrections (DPSC) and President of the Louisiana Correctional Association (LCA), appeared before the Board in connection with a request for an advisory opinion in Docket No. 19-1081 regarding her receipt of a car that she won in a drawing held at the American Correctional Association's (ACA) annual Conference of Correction held in Boston, Massachusetts. After hearing from Ms. Young, on motion made, seconded and unanimously passed, the Board concluded that no violation of the Code of Governmental Ethics is presented by Ms. Young's receipt of the car she won in the drawing, since the contract between Attenti, a sponsor who donated funds to purchase the car to be given away in the drawing, and the Division of Probation and Parole is limited to services it provides to the Technology and Records Program, a unit that is distinct in organization and responsibilities from the Reentry Program in which Ms. Young is employed, and since the receipt

of the car by Ms. Young was not for the performance of her duties and responsibilities as an employee of the DPSC.

On motion made, seconded and unanimously passed, the Board agreed to take action on items G6-G19 en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on items G6-G19, excluding Items G10, G12, G13 and G19, taking the following action:

In connection with a request for reconsideration of an advisory opinion in Docket No. 19-496 regarding the West Feliciana Hospital Board contracting with Dr. Nnamdi Nwabueze, husband of Board member Stacie Nwabueze, reaffirmed the prior advisory opinion concluding that (1) Section 1113B of the Code of Governmental Ethics would prohibit Dr. Nnamdi Nwabueze from entering into, or being in any way interested in, a renewal of the lease of the St. Francisville clinic, since the lease is under the supervision or jurisdiction of the West Feliciana Parish Hospital, while Dr. Nwabueze's wife, Stacie Nwabueze, serves as an appointed member of the West Feliciana Hospital Board. The Board further advised that Section 1113B of the Code of Governmental Ethics would also prohibit Dr. Nnamdi Nwabueze from entering into, or being in any way interested in, a lease or purchase of the Jackson clinic by the West Feliciana Parish Hospital, since the proposed lease or purchase of the Jackson clinic would be under the supervision or jurisdiction of the West Feliciana Parish Hospital, while Dr. Nwabueze's wife serves as an appointed member of the West Feliciana Parish Hospital Board. The Board further concluded that Dr. Nwabueze would not be prohibited from being hired as an employee of the West Feliciana Parish Hospital while his wife

serves as an appointed member of the West Feliciana Hospital Board, provided that all of the requirements of the exception in Section 1119B(2)(b) of the Code of Governmental Ethics are met. In the event Dr. Nwabueze is hired by the West Feliciana Parish Hospital, Stacie Nwabueze would be required to submit disclosure statements to the Board by January 30th of each year; (2) Section 1112B(1) of the Code of Governmental Ethics would prohibit Stacie Nwabueze from participating in any matter in which her husband, Dr. Nnamdi Nwabueze, has a substantial economic interest. Section 1120.4 of the Code of Governmental Ethics would require her to recuse herself from any discussion, debate, or vote on the matter; and Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Mrs. Nwabueze from receiving compensation for any services provided to her husband's clinics since her husband has a contractual, business, or financial relationship with her agency, the West Feliciana Hospital Board.

Adopted an advisory opinion in Docket No. 19-1007 concluding that Section 1121B of the Code of Governmental Ethics prohibits Darrell Montgomery, an employee of the Office of Behavioral Health (OBH) within the Louisiana Department of Health (LDH), for a period of two years following the termination of his public employment, (1) from rendering any service which he rendered to LDH during the term of his employment on a contractual basis, regardless of the parties to the contract, to, for, or no behalf of LDH; and, (2) from being compensated for assisting another person in a transaction or in an appearance in connection with a transaction in which he participated at any time during his employment with LDH and involving LDH. The Board further advised that should Mr. Montgomery receive an offer of employment that involves these services rendered and need clarification as to whether or not it may present a conflict, he may submit another request for an advisory opinion specifying the duties of the position.

Adopted an advisory opinion on Docket No. 19-1008 concluding that no violation of the Code of Governmental Ethics is presented by Erlingson Banks, PLLC, or Lee Ledet, a partner in the law firm, accepting new appointments from the Attorney General's Office Litigation Division's Civil Rights, General Liability and Transportation sections on a contract basis. The Board further concluded that (1) Section 1121B(1) of the Code of Governmental Ethics would prohibit Ashley Caruso, a former employee of the Attorney General's Office, from rendering services to Erlingson Banks for the Attorney General's Office for a period of two years from July 12, 2019 with respect to General Liability matters; and, (2) Section 1121C of the Code of Governmental Ethics prohibits Erlingson Banks, a legal entity employing Ashley Caruso, from assisting another person, for compensation, in a transaction in which Ms. Caruso participated in while she was employed with the Attorney General's Office.

Adopted an advisory opinion in Docket No. 19-1009 concluding that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Stephanie Reiners, the Curriculum Administrator for the Lafayette Parish School System (LPSS), from providing professional development services through Teaching Lab to the Lafayette Parish School System or other school systems, since Ms. Reiner's agency, the Lafayette Parish School System, has a contractual relationship with Teaching Lab.

Adopted an advisory opinion in Docket No. 19-1012 concluding that no violation of the Code of Governmental Ethics is presented by John A. Navy providing job readiness training to students with disabilities at Terrebonne High School while he is employed as a Guidance Counselor at Ellender High School. The Board further concluded that (1) Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Mr. Navy from receiving anything of economic

value from students that he is currently counseling at Ellender High School; and, (2) Section 1113A of the Code of Governmental Ethics would prohibit Mr. Navy (via Navy Enterprises, LLC D/B/A Job Readiness Solution) from entering into any contract, subcontract, or other transaction with Terrebonne High School.

Adopted an advisory opinion in Docket No. 19-1102 concluding that Section 1121B(1) of the Code of Governmental Ethics prohibits Eric Nail, for a period of two years following his termination from the Secretary of State's Office, from assisting his current employer, Pure Storage, in matters involving the Secretary of State's Office, since Mr. Nail was responsible for hardware issues while he was employed with the Secretary of State's Office. The Board further concluded that Mr. Nail's employment with Pure Storage would not negate any current contracts between Pure Storage and the Secretary of State's Office nor would his employment prohibit future contracts between Pure Storage and the Secretary of State's Office.

Adopted an advisory opinion in Docket No. 19-1103 concluding that no violation of the Code of Governmental Ethics is presented by Barbera Chevrolet entering into transactions with Assumption Parish, the Assumption Parish Water Works District or any other Assumption Parish subsidiary while an employee's husband serves as a member of the Assumption Parish Police Jury. The Board further concluded that Section 1117 of the Code of Governmental Ethics would prohibit Barbera Chevrolet from making payments to some elected official and certain public employees, or their respective spouses, if Barbera Chevrolet seeks a contractual, business or financial relationship with the parish.

Adopted an advisory opinion in Docket No. 19-1104 concluding that no violation of the Code of Governmental Ethics is presented by Dale L. Sibley continuing his practice of law after

he is sworn in as Caddo Parish's Registrar of Voter. The Board further concluded that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Mr. Sibley (as an attorney) from representing and/or entering into any contract, transaction, or other business relationship with the Office of the Caddo Parish Registrar of Voters.

Approved the disqualification plan submitted by the Department of Transportation and Development (DOTD) in Docket No. 19-1110 with respect to the employment of Brian North and his son, Jacob North, in Gang 752, District 4 providing that the supervision of Jason North would not be performed by Brian North. Specifically, the supervision of Jacob North as it relates to his pay, performance evaluation, promotion, or any decision involving a substantial economic interest would be under the authority of Shawn Ray, the Electronic Technician Supervisor.

Adopted an advisory opinion in Docket No. 19-1155 concluding that no violation of the Code of Governmental Ethics is presented by Skyra Rideaux's acceptance of the appointment to the Board of Commissioners of the Lafayette Economic Development Authority while she is the Administrator of Project Front Yard. The Board further advised the Section 1120.4 of the Code of Governmental Ethics would require Ms. Rideaux to recuse herself from any voting that would violate Section 1112A of the Code of Governmental Ethics, particularly any vote related to donations or funding involving the Project Front Yard Program.

Adopted an advisory opinion in Docket No. 19-1010 concluding that Section 1113A of the Code of Governmental Ethics would prohibit Joseph Gordon-Wiltz from accepting an appointment to the Board of Commissioners of the Lafayette Economic Development Authority while he is employed with the Lafayette City-Parish Consolidated Government (LCG).

Mr. Gordon-Wiltz appointment to the Board of Commissioners of LEDA by the Council is a

transaction that is prohibited by the statute

Adopted an advisory opinion in Docket No. 19-1053 concluding that (1) no violation of the Code of Governmental Ethics is presented by Lance Ledford, a volunteer fireman for the Ward 4 Fire Protection District (District) in Jackson Parish, contracting with the District to provide regular and routine maintenance on fire trucks and other emergency vehicles while he serves as a volunteer firefighter, provided he continues to receive only payment from the District for costs associated with his service and not for his time as a fireman, as allowed by the exception found in Section 1123(35) of the Code of Governmental Ethics; and, (2) no violation of the Code of Governmental Ethics is presented by Mitchell Nunn, son of District Commissioner Scotty Nunn and a volunteer fireman, volunteering his services to assist with the maintenance of District vehicles, as Section 1123(3) of the Code of Governmental Ethics provides an exception which allows him to donate his services to his agency. The Board further concluded that Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Mitchell Nunn from receiving compensation from Lance Ledford to assist him with regular and routine maintenance on fire trucks and other emergency vehicles through a contract with the District.

Adopted an advisory opinion in Docket No. 19-1090 concluding that no violation of the Code of Governmental Ethics is presented by Lehman Raphael, the former City Clerk for the City of St. Gabriel, accepting employment with Adcomp System Group or working on future Adcomp System Group contracts that he was not previously involved with while City Clerk of St. Gabriel. However, the Board further advised that Section 1121 of the Code of Governmental Ethics will prohibit Mr. Raphael from working on the existing Adcomp System Group contract with the City of St. Gabriel that came into existence while he was employed as the City Clerk.

Adopted an advisory opinion in Docket No. 19-1179 concluding that (1) Section 1113B of the Code of Governmental Ethics would prohibit Brian Marts, a member of the Lafourche Ambulance District No. 1 and an employee of Clarence Marts Contractors, Inc., and his father's company, Clarence Marts Contractors, Inc., from bidding on, entering into, or being in any way interested in any contract, subcontract, or other transaction involving the construction of the Operations Station, since the construction project is under the supervision and jurisdiction of Mr. Brian Marts' agency, the Lafourche Ambulance District No. 1; (2) Section 1111C(2)(d) of the Code of Governmental Ethics would prohibit Brian Marts and Clarence Marts Contractors, Inc. from receiving anything of economic value for services rendered to any person who has a contractual, business or financial relationship with the Lafourche Ambulance District No. 1; and, (3) Section 1111E(1) of the Code of Governmental Ethics would prohibit Brian Marts and Clarence Marts Contractors, Inc. from receiving anything of economic value for assisting a person, such as Picciola Construction Company, Inc., in a transaction with the Lafourche Ambulance District No. 1.

Mr. Raymond C. "Bubba" Harris, a candidate for Livingston Parish Council, District 5, in the October 24, 2015 election, and Ms. Kimberly L. Resetar, attorney for Mr. Harris, appeared before the Board, in its capacity as the Supervisory Committee on Campaign Finance Disclosure, in connection with a request in Docket No. 19-1018 for a waiver of the \$1,000 late fee assessed against Mr. Harris for filing his 30-P campaign finance disclosure report 85 days late. After hearing from Mr. Harris and Ms. Resetar, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,000 late fee but suspended \$900 conditioned upon future

compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

Mr. Ricky LaMar Coleman, a member of the Tangipahoa Board of Aldermen, Tangipahoa Parish, appeared before the Board in connection with a request in Docket No. 19-1085 for a waiver of \$1,500 late fee assessed against him for filing his amended 2017 Tier 3 Annual personal financial disclosure statement 62 days late. After hearing from Mr. Coleman, on motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$1,250 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered the following general business agenda items:

On motion made, seconded and unanimously passed, the Board approved the minutes of the October 17-18, 2019 meetings.

The Board considered a proposed consent opinion in Docket No. 17-148 regarding GraceHebert Architects, APAC and the "Building 10 Project" by the Department of Corrections-Prison Enterprises. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which GraceHebert Architects, APAC agrees that a violation of Section 1113A of the Code of Governmental Ethics occurred by entering into transactions with the Department of Corrections for preliminary design and feasibility services related to the "Prison Enterprise Relocation Project at Building 10 Headquarters" and in which GraceHebert Architects,

APAC agrees to pay a fine of \$17,216. The Board further dismissed the charges against GraceHebert Architects, APAC, Gerald Hebert, II and Joseph Buttross.

The Board considered a proposed consent opinion in Docket No. 18-800 regarding Kurt Mikesell, a member of the Livingston Parish Fire Protection District No. 5 Board, representing the Board in a real estate transaction. On motion made, seconded and unanimously passed, the Board adopted for publication the consent opinion in which Kurt Mikesell agrees that (1) a violation of Section 1111E(1) of the Code of Governmental Ethics occurred by virtue of his receipt of \$937.50 in sales commission for assisting a person in the sale of the “Linda Lee Pond” property while the transaction was with his agency, the Livingston Parish Fire Protection District No. 5; (2) a violation of Section 1112A of the Code of Governmental Ethics occurred by virtue of his vote on October 11, 2006 authorizing him to receive a 5% sales commission in the sale of the “Linda Lee Pond” property while he knew, or reasonably should have known, that he had a substantial economic interest in the transaction; and, (3) a violation of Section 1113B of the Code of Governmental Ethics occurred by entering into, or being in any way interested in, contracts, subcontracts, and/or transactions, which were under the supervision and jurisdiction of his agency, the Livingston Parish Fire Protection District No. 5 and in which Mr. Mikesell agrees to pay a fine of \$500 and that in the event of a failure to pay, the Board of Ethics may file suit to compel immediate payment of any balance due.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board unanimously agreed to take action on the requests for “good cause” waivers of late fees assessed

against candidates and committees included in the Campaign Finance Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Campaign Finance Waiver Chart taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 19-448 from Huey Borill of a \$680 late fee;
Docket No. 19-487 from Terence Vinson of a \$640 late fee; and,
Docket No. 19-767 from Lisa Tregre-Wilder of a \$1,000 late fee.

The Board unanimously waived the late fees assessed against the following:

Docket No. 19-452 from Virgie C. LeMaire of a \$1,000 late fee; and,
Docket No. 19-1017 from Miracle Myles of a \$2,000 late fee.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-766 for a waiver of the \$880 late fee assessed against Don Thanars, a candidate for Aldermen, Town of Pearl River, St. Tammany Parish, in the November 6, 2018 election, for filing the 10-P campaign finance disclosure report 22 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$880 late fee but suspended \$780 conditioned upon future compliance with the Campaign Finance Disclosure Act and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a request in Docket No. 19-1016 for a waiver of the \$200 late fee assessed against New Orleans Hospitality Coalition PAC, Inc., a political action committee; its committee's chairperson,

Terry Epton; and, treasurer, Dickie Brennan, for filing the June 2019 Monthly campaign finance report 1 day late. On motion made, seconded and unanimously passed, the Board rescinded the \$200 late fee pursuant to Rule 1205B(3).

The Board considered a request in Docket No. 19-1005 for a waiver of the \$500 late fee assessed against Naomi Tsu for failure to timely file a Lobbyist Supplemental Registration Report. On motion made, seconded and unanimously passed, the Board waived the \$500 late fee, since it was Ms. Tsu's first late filing.

The Board considered a request in Docket No. 19-1052 for a waiver of the \$100 late fee assessed against V. Thomas Clark, Jr. for the late filing of the July 2019 Lobbying Expenditure Report. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee.

The Board unanimously agreed to take action on the requests for "good cause" waivers of late fees assessed against individuals contained in the Personal Financial Disclosure Waiver Chart en globo subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in the Personal Financial Disclosure waiver chart taking the following action:

The Board unanimously declined to waive the late fees assessed against the following:

Docket No. 19-1023 from Louis Johnson of a \$2,500 late fee;
Docket No. 19-1026 from Damon Hardesty of a \$2,500 late fee;
Docket No. 19-1028 from Julia Perrier of a \$2,500 late fee;
Docket No. 19-1038 from Dana Aucoin of a \$1,500 late fee;

Docket No. 19-1039 from Benjamin Castoriano of a \$150 late fee;
Docket No. 19-1082 from Cathy Charbonnet of a \$1,500 late fee; and,
Docket No. 19-1083 from Vanner Erikson of a \$1,500 late fee.

The Board considered a request in Docket No. 19-1022 for a waiver of the \$6,000 late fee assessed against Johnny Glenn Anderson, the former Deputy Chief of Staff/ Program and Planning in the Office of the Governor, for filing his 2017 Tier 1 Annual personal financial disclosure statement 12 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$6,000 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Anderson's first late filing and he is no longer employed with the Governor's Office.

The Board considered a request in Docket No. 19-1024 for a waiver of the \$1,500 late fee assessed against Leon Boudreaux, St. Landry Parish Constable, District 8, for filing his 2016 Tier 3 Annual personal financial disclosure statement 301 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended \$500 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 19-1026 for a waiver of the \$2,500 late fee assessed against Damon Quenton Hardesty, a member of the Calcasieu Parish School Board, District 9, for filing his amended 2017 Tier 2 Annual personal financial disclosure statement 101 days late. On motion made, seconded and unanimously passed, the Board declined to waive the

\$2,500 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics.

The Board considered a request in Docket No. 19-1027 for a waiver of the \$1,200 late fee assessed against Mayor Eddie Jones, Jr., Village of Florien, Sabine Parish, for filing his 2018 Tier 3 Annual personal financial disclosure statement 24 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,200 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mayor Jones' first late filing.

The Board considered a request in Docket No. 19-1030 for a waiver of the \$1,050 late fee assessed against Omar M. Elgourani, a member of the West Monroe Convention and Visitors Bureau, for filing his 2017 Tier 2.1 Annual personal financial disclosure statement 21 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,050 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Elgourani's first late filing.

The Board considered a request in Docket No. 19-1031 for a waiver of the \$1,500 late fee assessed against Brian Kiapeace Blockwood, a member of the East Carroll Parish Police Jury, District 3, for filing his 2018 Tier 3 Annual personal financial disclosure statement 36 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Blockwood's first late filing.

The Board considered a request in Docket No. 19-1032 for a waiver of the \$450 late fee assessed against Paul David Jacob, a member of the Audubon Charter School, for filing his 2018 Tier 3 Annual personal financial disclosure statement 9 days late. On motion made, seconded and unanimously passed, the Board waived the \$450 late fee, since it was Mr. Jacob's first late filing and he submitted documentation showing his house flooded the day before the Notice of Delinquency was received.

The Board considered a request in Docket No. 19-1033 for a waiver of the \$700 late fee assessed against Bradley Wayne Eastman, a member of the Jefferson Davis Police Jury, District 4, for filing his 2018 Tier 3 Annual personal financial disclosure statement 14 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$700 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Eastman's first late filing.

The Board considered a request in Docket No. 19-1034 for a waiver of the \$1,400 late fee assessed against Jill Broussard Demeritt, a member of the Developmental Disabilities Council, for filing her amended 2017 Tier 2.1 Annual personal financial disclosure statement 28 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,400 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Ms. Demeritt's first late filing and it involved an amendment.

The Board considered a request in Docket No. 19-1035 for a waiver of the \$600 late fee assessed against Gerry Wayne Lummus, Atlanta Chief of Police, Winn Parish, for filing his 2018 Tier 3 Annual personal financial disclosure statement 12 days late. On motion made, seconded

and unanimously passed, the Board declined to waive the \$600 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Lummus' first late filing.

The Board considered a request in Docket No. 19-1036 for a waiver of the \$1,300 late fee assessed against Anthony J. Fontana, Jr, a member of the Vermilion Parish School Board/District E, for filing his 2018 Tier 2 Annual personal financial disclosure statement 13 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,300 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Fontana's first late filing.

The Board considered a request in Docket No. 19-1037 for a waiver of the \$750 late fee assessed against Joseph Henry "Joe" Lang, with the Atlanta Board of Aldermen, Winn Parish, for filing his 2018 Tier 3 Annual personal financial disclosure statement 15 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$750 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Lang's first late filing.

The Board considered a request in Docket No. 19-1040 for a waiver of the \$100 late fee assessed against Yvette Fairley, a member of the Tangipahoa Charter School Board, for filing her 2017 Tier 3 Annual personal financial disclosure statement 2 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Ms. Fairley's first late filing.

The Board considered a request in Docket No. 19-1041 for a waiver of the \$1,500 late fee assessed against Lionel Bailey, Sr., a member of the Amite River Basin and Water Conservation District, for filing his 2017 Tier 2.1 Annual personal financial disclosure statement 37 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Bailey's first late filing.

The Board considered a request in Docket No. 19-1042 for a waiver of the \$400 late fee assessed against Albert James Nelson, IV, a member of the New Orleans Charter School Foundation, for filing his 2018 Tier 3 Annual personal financial disclosure statement 8 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$400 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Nelson's first late filing.

The Board considered a request in Docket No. 19-1044 for a waiver of the \$1,500 late fee assessed against Janice C. Rike, a member of the Noble Board of Aldermen, Sabine Parish, for filing her 2018 Tier 3 Annual personal financial disclosure statement 46 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Ms. Rike's first late filing.

The Board considered a request in Docket No. 19-1045 for a waiver of the \$1,500 late fee assessed against Brian David Adams, a member of the Laurel Oaks Charter School, for filing his 2017 Tier 3 Annual personal financial disclosure statement 55 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,500 late fee but suspended

\$1,300 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and provided payment is made within 30 days. If the payment is not received in 30 days, the full amount becomes due and owing.

The Board considered a request in Docket No. 19-1047 for a waiver of the \$100 late fee assessed against Todd Robert Slack, a member of the Advocates for Academic Excellence in Education, Inc. Charter School Board, for filing his 2018 Tier 3 Annual personal financial disclosure statement 2 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$100 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Mr. Slack's first late filing.

The Board considered a request in Docket No. 19-1048 for a waiver of the \$2,500 late fee assessed against Paul G. Landry, a member of the Iberia Parish Council, District 7, for filing his 2017 Tier 2 Annual personal financial disclosure statement 163 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$2,500 late fee but suspended \$1,750 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and instructed the staff to offer Mr. Landry a payment plan.

The Board considered a request in Docket No. 19-1086 for a waiver of the \$2,500 late fee assessed against Louis Joseph Schexnayder, Sr., Terrebonne Parish Constable Ward 2, for filing his 2018 Tier 2 Annual personal financial disclosure statement 87 days late. On motion made, seconded and unanimously passed, the Board rescinded the \$2,500 late fee, since Mr. Schexnayder did attempt to timely file the report after receipt of the Notice of Delinquency to the correct postal box number, but it was returned by the post office for an unknown reason.

The Board unanimously agreed to take action on the untimely requests for waivers of late fees assessed against individuals in Item #27 subject to any items being removed from the en globo listing for further discussion.

On motion made, seconded and unanimously passed, the Board adopted the staff recommendations on the items in Item #27 taking the following action:

The Board considered an untimely request in Docket No. 19-1046 for a waiver of the \$1,350 late fee assessed against Dr. Addison Carey, Jr., a member of the James M. Singleton Charter School/Dryades YMCA, for filing his 2017 Tier 3 Annual personal financial disclosure statement 27 days late. On motion made, seconded and unanimously passed, the Board agreed to consider the waiver request and waived the \$1,350 late fee, since Dr. Carey is not a participating member of the board.

The Board considered a request in Docket No. 19-1050 for a waiver of the \$2,200 late fee assessed against Aaron Joseph Flegeance, a former member of the St. Martin School Board, District 3, for filing his 2018 Tier 2 Annual personal financial disclosure statement 22 days late. On motion made, seconded and unanimously passed, the Board agreed to consider the waiver request and waived the \$2,200 late fee, since Mr. Flegeance suffers from early onset dementia resulting from a stroke.

The Board considered a request in Docket No. 19-1051 for a waiver of the \$1,500 late fee assessed against Janice Simon, a member of the Oberlin Board of Aldermen, District 4, Allen Parish, for filing her amended 2017 Tier 3 Annual personal financial disclosure statement 35 days late. On motion made, seconded and unanimously passed, the Board agreed to consider the waiver

request and declined to waive the \$1,500 late fee but suspended the entire late fee conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics, since it was Ms. Simon's first late filing and involved an amendment.

The Board considered a request in Docket No. 19-1089 for a waiver of the \$1,500 late fee assessed against Emily Mishalanie, a member of the NET Charter High School Board, for filing her amended 2016 Tier 3 Annual personal financial disclosure statement 302 days late. On motion made, seconded and unanimously passed, the Board agreed to consider the waiver request and waived the \$1,500 late fee, since Ms. Mishalanie did not receive the Notice of Delinquency.

The Board considered a request for reconsideration in Docket No. 19-709 for a waiver of the suspension of all but \$1,050 of a \$1,500 late fee assessed against Audrey P. Johnson, a member of the St. Tammany Council on Aging, for filing her 2016 Tier 2.1 Annual personal financial disclosure statement 520 days late. On motion made, seconded and unanimously passed, the Board declined to waive the \$1,050 suspended late fee but suspended all but \$250 conditioned upon future compliance with the reporting requirements under the Code of Governmental Ethics and instructed the staff to offer Ms. Johnson a payment plan.

In its capacity as the Supervisory Committee on Campaign Finance Disclosure, the Board considered a staff memorandum regarding the action taken when filers fail to file required campaign finance disclosure reports and a listing of candidates from the November 6, 2018 election who failed to file required campaign finance disclosure reports. Following discussion, on motion made, seconded and unanimously passed, the Board instructed the staff to proceed with the process as outlined in the memorandum.

On motion made, seconded and unanimously passed, the Board changed the meeting time for December 20, 2019 to 9:30 a.m.

The Board unanimously adjourned at 10:09 a.m.

Secretary

APPROVED:

Chairman